AGENDA

AUDIT AND FINANCE COMMITTEE

JULY 24, 2019

PREVIOUS BUSINESS:

APPROVING PREVIOUS MEETING MINUTES

1. RESOLUTION NO. 280 FOR 2018: AUTHORIZING ALBANY COUNTY'S PARTICIPATION IN THE SALES TAX FREE WEEK FOR 2018 ON ITEMS OF CLOTHING AND SHOES COSTING LESS THAN $110

2. LOCAL LAW NO. "R" FOR 2018: A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK PROHIBITING ALBANY COUNTY GOVERNMENT FROM ASSISTING IN THE INVESTIGATION OF CITIZENSHIP OR IMMIGRATION STATUS OF ANY PERSON

3. RESOLUTION NO. 376 FOR 2018: PUBLIC HEARING ON PROPOSED LOCAL LAW NO. "R" FOR 2018

4. LOCAL LAW NO. "C" FOR 2019: A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK, AMENDING AND UPDATING LOCAL LAW NO. 2 FOR 2011 REQUIRING ITEM PRICING BY RETAIL STORES IN THE COUNTY OF ALBANY

5. RESOLUTION NO. 204: AUTHORIZING THE CONVEYANCE OF REAL PROPERTY LOCATED AT 171 TROY SCHENECTADY ROAD (TAX MAP NO. 32.1-2-5.2) IN THE TOWN OF COLONIE
6. ADOPTING THE 2019-2023 ALBANY COUNTY CAPITAL PLAN

CURRENT BUSINESS:


8. AUTHORIZING THE SUBMISSION OF A GRANT APPLICATION AND AGREEMENT WITH THE NEW YORK STATE OFFICE OF VICTIM SERVICES REGARDING THE CRIME VICTIMS ASSISTANCE GRANT AND AMENDING THE 2019 DISTRICT ATTORNEY OFFICE BUDGET

9. AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DIVISION OF HOMELAND EMERGENCY SERVICES REGARDING THE STATE HOMELAND SECURITY AND LAW ENFORCEMENT PREVENTION GRANT AND AMENDING THE 2019 SHERIFF'S OFFICE BUDGET

10. AMENDING THE 2019 SHERIFF'S OFFICE BUDGET: VEHICLE SALVAGE FUNDS

11. AMENDING THE 2019 DEPARTMENT OF HEALTH BUDGET: ADMINISTRATIVE ADJUSTMENTS

12. AUTHORIZING THE SUBMISSION OF A GRANT APPLICATION AND AGREEMENT WITH HEALTH RESEARCH INC. REGARDING THE OVERDOSE DATA TO ACTION PLAN AND AMENDING THE 2019 DEPARTMENT OF HEALTH BUDGET

13. AMENDING THE 2019 DEPARTMENT OF MENTAL HEALTH BUDGET: OVERTIME


15. AMENDING THE 2019 DEPARTMENT OF MENTAL HEALTH BUDGET: STAFF ADJUSTMENTS

16. AMENDING RESOLUTION NO. 203 FOR 2019 REGARDING THE AUTHORIZATION TO CONVEY PARCELS OF REAL PROPERTY TO ACLB HOLDINGS, LLC.

17. AUTHORIZING THE CONVEYANCE OF REAL PROPERTY LOCATED AT 89 THIRD STREET (TAX MAP NO. 65.74-2-7) IN THE CITY OF ALBANY
18. AMENDING RESOLUTION NO. 251 FOR 2019 REGARDING THE CONVEYANCE OF REAL PROPERTY AT 182 BREVATOR STREET (TAX MAP NO. 53.82-1-9) IN THE CITY OF ALBANY

19. AUTHORIZING THE ALBANY COUNTY EXECUTIVE TO SUBMIT MULTIPLE APPLICATIONS FOR VARIOUS PROJECTS THROUGH THE NEW YORK STATE 2019 CONSOLIDATED FUNDING APPLICATION (CFA) PROCESS

20. AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE DEPARTMENT OF HEALTH REGARDING THE MATERNAL AND INFANT COMMUNITY HEALTH COLLABORATIVE AND AMENDING THE 2019 DEPARTMENT OF HEALTH BUDGET
Honorable Andrew Joyce and Members of the Albany County Legislature:

LADIES AND GENTLEMEN:

The Audit and Finance Committee of the Albany County Legislature met on July 8, 2019. Messrs. Clay, R. Joyce, O’Brien, Burgdorf, Grimm and Mendick were present. Messrs. Dawson, Higgins and Ms. Willingham were excused. The following items were discussed and/or acted upon:

1. **Resolution No. 215: Amending the 2019 Albany County Budget: Contingent Account Adjustment to Make the Albany County Rail Trail Safer:** After a brief discussion, the Committee voted 4-2 to move the proposal forward for legislative action with a favorable recommendation, with Messrs. Grimm and Mendick opposed.

2. **Refunding Bond Resolution Of The County Of Albany, New York, Authorizing The Issuance Of Refunding Bonds In An Aggregate Principal Amount Not To Exceed $37,500,000 Of The County Of Albany, New York, Pursuant To The Local Finance Law And Providing For Other Matters In Relation Thereto:** The County Comptroller indicated that Albany County heretofore issued its (A) $23,031,965 original aggregate principal amount General Obligation Serial Bonds – 2011, and (B) $55,910,000 original aggregate principal amount General Obligation Refunding Serial Bonds – 2012 Series B (collectively, the “Prior Bonds”) to finance various capital improvements. The Comptroller requested that the Legislature authorize the refund of all or a portion of the Prior Bonds by issuing certain refunding bonds and selling such bonds pursuant to a public sale pursuant to the Local Finance Law, and that it would allow for the refinancing all or a portion of the Prior Bonds at more favorable rates of interest. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

Respectfully Submitted,
THE AUDIT AND FINANCE COMMITTEE

WANDA WILLINGHAM, Chairperson
WILLIAM CLAY
CHRISTOPHER HIGGINS
RAYMOND F. JOYCE
JOSEPH O’BRIEN

CHARLES DAWSON, JR.
PAUL BURGDORF
RICHARD MENDICK
MARK E. GRIMM
Honorable Andrew Joyce and Members of the Albany County Legislature:

LADIES AND GENTLEMEN:

The Audit and Finance Committee of the Albany County Legislature met on June 26, 2019. Chairperson Willingham, Messrs. Clay, Higgins, R. Joyce, O’Brien, Burgdorf, Grimm and Mendick were present. Mr. Dawson was excused. The following items were discussed and/or acted upon:

Approving Previous Meeting Minutes: Unanimously approved.

1. **Resolution No. 280 for 2018**: Authorizing Albany County’s Participation in the Sales Tax Free Week for 2018 on Items of Clothing and Shoes Costing Less Than $110: Tabled at the Request of the Sponsor.


5. **Resolution No. 204**: Authorizing the Conveyance of Real Property Located at 171 Troy Schenectady Road (Tax Map No. 32.1-2-5.2) in the Town of Colonie: After a brief discussion, the Committee voted unanimously to table the proposal.


7. **Resolution No. 211**: Amending the 2019 Albany County Budget: Contingent Account Adjustment to Create Recreational Opportunities for Children: After a brief discussion, the Committee voted 6-2 to move the proposal forward for legislative action with a favorable recommendation, with Messrs. Grimm and Mendick opposed.

8. **Resolution No. 212**: Amending the 2019 Albany County Budget: Contingent Account Adjustment to Create Learning Opportunities for Disabled Children:
After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

9. Resolution No. 213: Amending the 2019 Albany County Budget: Contingent Account Adjustment for Housing Rehabilitation and Blight Prevention: After a brief discussion, the Committee voted 6-2 to move the proposal forward for legislative action with a favorable recommendation, with Messrs. Grimm and Mendick opposed.

10. Resolution No. 214: Amending the 2019 Albany County Budget: Contingent Account Adjustment to Reduce Recidivism by Supporting Jail Transition Program: After a brief discussion, the Committee voted 7-1 to move the proposal forward for legislative action with a favorable recommendation, with Mr. Mendick opposed.


12. Resolution No. 217: Amending the Rules and Regulations for Albany County Employees Regarding Health Insurance Benefits for Retirees: Mr. Commissio indicated that individuals leaving County service without twenty years of qualifying services were not eligible for health insurance benefits for retirees. Furthermore municipalities within the County and throughout New York State have set a lower threshold regarding the number of years of eligible service, and that a lower threshold of fifteen (15) years of eligible service would serve as an incentive for current employees to continue their work in the County and may attract new employees to come to work for Albany. After a brief discussion, the Committee voted 6-2 to move the proposal forward for legislative action with a favorable recommendation, with Messrs. Grimm and Mendick opposed.

13. Resolution No. 221: Amending the 2019 Albany County Budget: Contingent Account Adjustment to Keep Albany County Seniors on the Move: After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

14. Authorizing an Agreement With Meggitt Training Systems, Inc. Regarding the Purchase of a Mobile Range Trailer and amending The 2019 Sheriff’s Office Budget: The Sheriff indicated that after the completion of the RFP process, the sole bidder was Meggitt Training Systems, Inc. in the amount of $274,999 regarding the purchase of a mobile range trailer. The Sheriff further indicated that the New York State Division of Criminal Justice Services (“DCJS”) requires peace officers who are authorized to carry a firearm must receive five hours of firearm recertification training annually, and the mobile range trailer will be
used for onsite firearm training for Albany County Law Enforcement and Correction Officers. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

15. Authorizing The Albany County Sheriff To Create Two Deputy Sheriff Positions And Amending The 2019 Sheriff’s Office Budget: The Albany County Sheriff requested authorization to create two Deputy Sheriff positions, by the reallocation of funds for two vacant Correction Officers positions, and indicated that the new positions within the Law Enforcement Division will enhance the goals and objectives of the Sheriff’s Office and maintain the highest level of public safety for the citizens of Albany County. The Sheriff requested a budget amendment to create and appropriate funds for the new positions. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

16. Authorizing An Agreement With The U.S. Department Of Justice, Bureau Of Justice Assistance Regarding Reimbursement For The Incarceration Of Criminal Aliens And Amending The 2019 Sheriff’s Office Budget: The Albany County Sheriff indicated that the United States Department of Justice, Bureau of Justice Assistance, has made funding available through the State Criminal Alien Assistance Program (SCAAP) regarding reimbursement for incarceration costs for undocumented criminal aliens at the Albany County Correctional Facility, and requested authorization to enter into an agreement with the United States Department of Justice, Bureau of Justice Assistance, in the amount of $58,147 regarding the SCAAP reimbursement. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

17. Authorizing The Purchase Of Real Property Located At 510 Albany Shaker Road (Tax Map No. 43.13-1-1) In The Town Of Colonie Regarding The Installation Of A Traffic Signal: The Commissioner of the Department of Public Works requested authorization for the County to purchase +0.014 acres of real property located at 510 Albany Shaker Road (Tax Map No. 43.13-1-1) in the Town of Colonie from the Good Shepherd Evangelical Lutheran Church for the purposes of constructing a traffic signal at the intersection of Albany Shaker Road and Shaker El, and indicated that the traffic signal will improve traffic conditions in the area, and that the appraised value of the property will not exceed $2,000. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

18. Amending The 2019 Albany County Budget: Contractual Expenses: The Department of Management and Budget requested authorization to move funds from the contingency account to the computer supplies account in the amount
of $54,095 to fund an unanticipated purchase for the Division of Information Services. The Commissioner indicated that Information Services went to the contract board to purchase the Sophos Advanced Endpoint protection software needed to safeguard Albany County servers and workstations from advanced malware, including ransomware. After a brief discussion, the Committee voted 6-2 to move the proposal forward for legislative action with a favorable recommendation, with Messrs. Higgins and Burgdorf opposed.

19. Authorizing an Agreement with Horan, Martello and Marrone, C.P.As., LLP. Regarding Ongoing Accounting And Consulting Services At The Albany County Nursing Home: The Executive Director of the Residential Healthcare Facility requested authorization to renew the contract and enter into the first year of the optional one year agreements with Horan, Martello and Morrone, CPAs LLP, for accounting and consulting services related to Medicaid and Medicare programs for the facility, including cost reporting, variance reports and training of staff. The Executive Director further indicated that the agreement would be for a term commencing November 1, 2019 and ending October 31, 2020 in the amount of $44,500. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

20. Authorizing An Agreement With Tc Equipment LLC Regarding The Purchase Of Furniture At The Albany County Nursing Home: The Executive Director of the Albany County Residential Healthcare Facility requested authorization to enter into an agreement with TC Equipment, LLC in the amount of $354,415 for a term commencing July 1, 2019 and ending June 30, 2020 regarding the provision of residential furniture related to the ongoing renovation of the facility. The Executive Director further indicated that the agreement will facilitate the purchase of dressers, bedside tables, wardrobes, mattresses, and head and foot boards which will update the amenities available to residents at the facility. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

21. Authorizing An Agreement With Standard Commercial Interiors Regarding The Purchase, Storing And Installation Of Office Furniture At The Albany County Nursing Home: The Executive Director of the Albany County Residential Healthcare Facility requested authorization to enter into an agreement with Standard Commercial Interiors, LLC in the amount of $600,304 for a term commencing July 1, 2019 and ending December 31, 2020 regarding the purchase, storing, and installation of office furniture related to the ongoing renovation of the Nursing Home. The Executive Director indicated that the aforementioned agreement will facilitate the purchase of various office furniture which is currently available at discounted prices through the NYS state contract system, and that Standard Commercial Interiors, LLC will install the above
referenced office furniture pursuant to the instruction of current architectural specifications which will contribute to the overall design and modernization taking place as part of the renovation project at the Residential Health Care Facility. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

22. Authorizing An Agreement With Standard Commercial Interiors Regarding The Purchase, Storing And Installation Of Residential Furniture At The Albany County Nursing Home: The Executive Director of the Albany County Residential Healthcare Facility requested authorization to enter into an agreement with Standard Commercial Interiors, LLC in the amount of $584,584 for a term commencing July 1, 2019 and ending December 31, 2020 regarding the purchase, storing, and installation of various residential furniture related to the ongoing renovation of the Nursing Home. The Executive Director has indicated that the agreement will facilitate the purchase of various residential furnishings in order to update the amenities available to residents at the facility, and that Standard Commercial Interiors, LLC will install the above referenced office furniture which will contribute to the overall design and modernization taking place as part of the renovation project at the Residential Health Care Facility. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

23. Authorizing The Purchase Of Real Property Located At 81 Orange Street (Tax Map No. 76.25-1-33) In The City Of Albany Regarding County Snow Removal Services: The Commissioners of the Departments of General Services and Public Works requested authorization for the County to purchase real property located at 81 Orange Street (Tax Map No. 76.26-1-33) in the City of Albany from the Albany County Land Bank Corporation for the purposes of assisting with snow removal, and the Commissioners indicated that currently snow is transported to the Water Purification District location for disposal, and that use of this vacant lot would reduce the costs associated with such snow removal. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

24. Authorizing The Conveyance Of Parcels Of Real Property Located At 215, (Tax Map No. 64.54-4-6) 219 (Tax Map No. 64.54-4-7) And 221 Seminole Avenue (Tax Map No. 64.54-4-8) In The City Of Albany: It was indicated that the County of Albany acquired through in rem foreclosure title to 3 parcels of real property in the City of Albany located at 215 Seminole Avenue (Tax Map No. 64.54-4-6), 219 Seminole Avenue (Tax Map No. 64.54-4-7) and 221 Seminole Avenue (Tax Map No. 64.54-4-8). Kyle Dalton, an abutting property owner, expressed an interest in acquiring these parcels for $1 per parcel, and the County's Real Property Disposition Plan approved by Resolution No. 29 for 2019 implemented
procedures for properties to be sold to abutting property owners. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

25. Rescinding The Authorization To Convey Real Property Pursuant To Resolution No. 250 For 2019 And Authorizing The Conveyance Of 54 Bridge Street (Tax Map No. 121.03-2-21) And The Property Known As Bridge Street (Tax Map No. 121.03-2-22) In The Town Of Bethlehem: It was indicated that the Albany County Real Property Disposition Plan, adopted by Resolution No. 29 for 2019, implemented procedures for properties to be sold to immediate previous property owners, including that any and all liens extinguished as a result of the foreclosure shall be reinstated. Gregory and Paulette Albright, the immediate former owners of record at the time the County foreclosed on 54 Bridge Street and the property known as Bridge Street in the Town of Bethlehem property indicated that they are committed to paying the full amount of taxes, interest, penalties and closing costs necessary to reacquire these properties. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

26. Authorizing A Release Of Rights Of Reacquisition To 10 Osborne Street (Tax Map No. 76.56-3-16) In The City Of Albany: The Commissioner of the Department of Management and Budget indicated that the Albany Housing Authority expressed interest in conveying 10 Osborne Street to the abutting property owner Mr. Lachgar. The Commissioner requested the Legislature release the County's right of reacquisition (held pursuant to Resolution No. 494 for 2002) based upon documentation that Mr. Lachgar has resided at 8 Osborne Street for seventeen years and is in good standing in his community. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

27. Authorizing The Cancelation And Charge Back Of Unenforceable Delinquent Real Property Tax Liens On 344 South Pearl Street (Tax Map No. 76.65-4-35) And 89 North Swan Street (Tax Map No. 65.82-2-59) In The City Of Albany: It was indicated that based on information provided by the Tax District Enforcing Officer determined pursuant to RPTL, §1138 (subd. 6 (a)) that, since 344 South Pearl Street and 89 North Swan Street in the City of Albany have, pursuant to § 577 of Public Housing Finance Law, been by duly authorized municipal PILOT Agreement with the owner(s) thereof wholly exempted from all municipal taxes and school taxes during the aforesaid time period, there is no legal ability or practical method to enforce the collection of delinquent tax liens on them. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.
28. Authorizing A Correction To The Tax Roll For The Cities Of Albany And Watervliet And The Towns Of Berne, Coeymans, Colonie And Rensselaerville: It was indicated that Albany County received multiple applications from the Director of the Real Property Tax Service Agency (Director) for corrected real property tax. These applications have been investigated by the Director who recommends that the assessment rolls involved be corrected. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

29. Authorizing The Distribution Of Mortgage Taxes For The Period October 1, 2018 Through March 31, 2019: It was indicated that the joint report of the Albany County Division of Finance and the County Clerk of Albany County showing the collection of mortgage taxes for the period of October 1, 2018 through March 31, 2019 shows the Albany County Division of Finance has on hand for distribution the sum of $3,425,810.64 which has been apportioned for the various municipalities in Albany County. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

30. Amending Resolution No. 248 For 2019 Regarding The Conveyance Of Real Property At 543 North Pearl Street (Tax Map No. 65.44-1-4) In The City Of Albany: It was indicated that an amendment to the language of Resolution No. 248 for 2019 is needed to move forward with the conveyance of 543 North Pearl Street. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

31. Public Hearing On Proposed 2019-2023 Albany County Capital Program: It was indicated that the Legislature has received the proposed 2020-2024 Albany County Capital Program, and that a public hearing was required, to be held on July 23rd, 2019 in the William J. Conboy II Legislative Chambers, Albany County Courthouse, and Albany, New York. After brief discussion, the Committee voted unanimously to move the proposal forward for legislative action with a favorable recommendation.

32. Adopting the 2020-2024 Albany County Capital PLAN: Tabled at the Request of the Sponsor.

Respectfully Submitted,
THE AUDIT AND FINANCE COMMITTEE

WANDA WILLINGHAM, Chairperson
WILLIAM CLAY
CHRISTOPHER HIGGINS
RAYMOND F. JOYCE
JOSEPH O'BRIEN

CHARLES DAWSON, JR.
PAUL BURGDORF
RICHARD MENDECK
MARK E. GRIMM
RESOLUTION NO. 280

AUTHORIZING ALBANY COUNTY’S PARTICIPATION IN THE SALES TAX FREE WEEK FOR 2018 ON ITEMS OF CLOTHING AND SHOES COSTING LESS THAN $110

Introduced: 6/11/18
By Messrs. Frainier, Feeney, A. Joyce, Mauriello, Beston, Bullock, Ms. Cunningham, Messrs. Domalewicz, Higgins, R. Joyce, Ms. Lekakis, Mr. Mayo, Ms. McKnight, Mr. O’Brien, Ms. Plotsky, Mr. Ward, Ms. Willingham, Messrs. Burgdorf, Crouse, Drake, Grimm and Ms. Lockart:

WHEREAS, Pursuant to New York State Tax Law Section 1115(a)(30) individual items of clothing and footwear costing less than $110 are exempted from the state’s 4% sales tax, and

WHEREAS, The [action by the State Legislature cancels the State’s 4⅞ percent (sales tax on these items for the week-long period and gives] Counties have the opportunity to decide whether to participate, and

WHEREAS, The Albany County Legislature wants to provide Albany County residents with the benefit of this State legislation and desire to enhance the State’s sales tax-exempt period by providing Albany County residents with an exemption from the 4 percent local sales tax on individual items of clothing and footwear costing less than $110 for [this important back-to-school] shopping period commencing September 1, 2018 and ending September 3, 2018 [set forth by the State of New York for 2018], now, therefore be it

RESOLVED, That the Albany County Legislature authorizes the County’s participation in the sales tax-free period commencing September 1, 2018 and ending September 2, 2018 [week that the State of New York adopts] for the year 2018, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Referred to Audit and Finance Committee – 6/11/18
LOCAL LAW NO. “R” FOR 2018

A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK PROHIBITING ALBANY COUNTY GOVERNMENT FROM ASSISTING IN THE INVESTIGATION OF CITIZENSHIP OR IMMIGRATION STATUS OF ANY PERSON

Introduced: 7/9/18
By Messrs. Fein, Simpson and Bullock:

BE IT ENACTED, by the Legislature of the County of Albany as follows:

Section 1. Title

This local law shall be known as the “Welcoming Albany County Act”

Section 2. Legislative Intent

The Legislature finds that Albany County has a diverse population, with residents from many different cultures and backgrounds, including many different races, ethnicities, faiths, and national origins.

The Legislature further finds that the residents of Albany County benefit from the County’s diverse cultural heritage.

The Legislature further finds that it is in the best interest of the residents of Albany County to be a welcoming place for all people of all walks of life with no deference to nationality or citizenship.

Therefore, the purpose of this local law is to ensure that Albany County is a welcoming place for all individuals and that Albany County officials do not investigate individuals’ immigration or citizenship status, do not participate in the enforcement of Federal immigration law, and leave the enforcement of Federal immigration law to Federal officials.

Section 3. Definitions

As used in this local law, the following terms shall have the meanings indicated:

A. “Administrative warrant” means an immigration warrant of arrest, order to detain or release aliens, notice of custody determination, notice to appear, removal order, warrant of removal, or any other document, issued for a civil immigration enforcement purpose and that is not issued or signed by a judge
appointed pursuant to article III of the United States constitution or a federal magistrate judge appointed pursuant to 28 U.S.C. § 631. This definition includes, but is not limited to, administrative warrants entered into the Federal Bureau of Investigation's National Crime Information Center database. This definition does not include any criminal warrants issued upon a judicial determination of probable cause and in compliance with the requirements of the Fourth Amendment to the U.S. Constitution and New York law.

B. "Agency" means every Albany County department, agency, division, commission, council, committee, board, or other body established by authority of a local law, resolution, or executive order, and shall encompass, for the purposes of this local law, all contractors performing work on behalf of the county.

C. "Agent" means any person employed by or acting on behalf of an agency or county contractor.

D. "CBP" means the United States Customs and Border Protection agency and shall include any successor agency charged with the enforcement of federal civil immigration laws.

E. "Certification" means any law enforcement certification or statement required by federal immigration law including, but not limited to, the information required by Section 1184(p) of Title 8 of the United States Code (including current United States Citizenship and Immigration Service Form I-918, Supplement B, or any successor forms) for purposes of obtaining a U visa, or by Section 1184(o) of Title 8 of the United States Code (including current United States Citizenship and Immigration Service Form I-914, Supplement B, or any successor forms) for purposes of obtaining a T visa.

F. "Certifying agency" means Albany County law enforcement agency or other authority that has responsibility for the investigation, prosecution, or sentencing of qualifying criminal activity. "Certifying agency" includes any agency that has criminal investigative jurisdiction in its respective areas of expertise.

G. "Citizenship or immigration status" means an individual's recorded citizenship or immigration status, as such status is defined in the federal immigration and nationality act, at the time an agent or agency receives such information.

H. "Contact information" means home address, work address, telephone number, electronic mail address, social media information, or any other information that can be used as a means of locating or contacting an individual.
I. “Eligible for release from custody” means that the person may be released from custody because one of the following conditions has occurred:

a. All criminal charges against the person have been dropped or dismissed.
b. The person has been acquitted of all criminal charges filed against him or her.
c. The person has served all the time required for his or her jail or prison sentence.
d. The person is ordered to be released from custody pending the disposition of his or her pending criminal case.
e. The person has posted a bond.
f. The person is otherwise eligible for release under state or local law, or local policy.

J. “Family member” means a person’s (i) mother, father, spouse, brother or sister (including blood, step or half), son or daughter (including blood, step or half), father-in-law, mother-in-law, daughter-in-law, son-in-law, brother-in-law, sister-in-law, grandparent or grandchild; or (ii) court-appointed legal guardian or a person for whom the person is a court-appointed legal guardian; or (iii) domestic partner or the domestic partner’s mother, father, brother, sister (including blood, step, or half), son or daughter (including blood, step or half).

K. “ICE” means the United States Immigration and Customs Enforcement agency and shall include any successor agency charged with the enforcement of federal civil immigration laws.

L. “Immigration detainer” means a request by ICE or CBP to a federal, state, or local law enforcement agency that requests that the law enforcement agency provide notice of release or maintain custody of an individual, including detainers issued pursuant to Sections 1226 or 1357 of Title 8 of the United States Code or 287.7 or 236.1 of Title 8 of the Code of Federal Regulations. These detainers include DHS Form I-247-A “Immigration Detainer – Notice of Action”; DHS Form I-247D “Immigration Detainer – Request for Voluntary Action”; DHS I-247X “Request for Voluntary Transfer”; DHS Form I-247N “Request for Voluntary Notification of Release,” or any successor forms.

M. “Immigration enforcement operation” means any operation that is primarily for the purpose of identifying or apprehending a person or persons; 1) in order to subject them to civil immigration detention, removal or deportation proceedings, and/or removal or deportation from the United States; or 2) to criminally prosecute a person or persons for offenses related to immigration status, including but not limited to violations of Sections 1253, 1304, 1306(a) and (b), 1325, or 1326 of Title 8 of the United States Code, or violations of Sections 1028A or 1546 of Title 18 of the United States Code.
N. "Judicial warrant" means a warrant based on probable cause and issued by a judge appointed pursuant to article III of the United States constitution or a federal magistrate judge appointed pursuant to 28 USC 631, that authorizes federal immigration authorities to take into custody the person who is the subject of such warrant. This does not include warrants or orders issued by employees of the Department of Homeland Security, the Department of Justice, or the Executive Office for Immigration Review.

O. "Qualifying criminal activity" means any activity involving one or more of the following or any similar activity in violation of federal, state, or local criminal law: rape; torture; trafficking; incest; domestic violence; sexual assault; abusive sexual contact; prostitution; sexual exploitation; female genital mutilation; being held hostage; peonage; involuntary servitude; slave trade; kidnapping; abduction; unlawful criminal restraint; false imprisonment; blackmail; extortion; manslaughter; murder; felonious assault; witness tampering; obstruction of justice; perjury; fraud in foreign labor contracting (as defined in Section 1351 of Title 18 of the United States Code); or attempt, conspiracy, or solicitation to commit any of the above-mentioned crimes. This list of qualifying criminal activity is not a list of specific statutory violations, but instead a list of general categories of criminal activity. Activity not listed in the first sentence of this definition shall be presumed to be qualifying criminal activity when its nature and elements are substantially similar to any qualifying criminal activity listed herein. Qualifying criminal activity that occurs during the commission of non-qualifying criminal activity shall be considered qualifying criminal activity regardless of whether criminal prosecution was sought for the qualifying criminal activity.

P. "Victim of qualifying criminal activity" means any individual who has reported qualifying criminal activity to a law enforcement agency or certifying agency, or has otherwise participated in the detection, investigation, or prosecution of qualifying criminal activity, who has suffered direct or proximate harm as a result of the commission of any qualifying criminal activity and may include, but is not limited to, an indirect victim, regardless of the direct victim's immigration or citizenship status, including the spouse, children under 21 years of age, and, if the direct victim is under 21 years of age, deceased, incompetent or incapacitated, parents and unmarried siblings under 18 years of age of the direct victim. A bystander victim may also be considered as a "victim of qualifying criminal activity." More than one victim may be identified and provided with certification depending upon the circumstances. For purposes of this definition, the term "incapacitated" means unable to interact with law enforcement agency or certifying agency personnel as a result of a cognitive impairment or other physical limitation, or because of physical restraint or disappearance.

Section 4. Requesting information prohibited
No agent or agency shall request information about or otherwise investigate or assist in the investigation of the citizenship or immigration status of any person, except as may be required under Section 10 of this local law, unless such inquiry or investigation is required by court order. Notwithstanding this provision, the Albany County attorney may investigate and inquire about citizenship or immigration status when relevant to potential or actual litigation or an administrative proceeding in which the county is or may be a party.

Section 5. Conditioning benefits, services, or opportunities on immigration status prohibited

A. No agent or agency shall condition the provision of Albany County benefits, services, or opportunities on matters related to citizenship or immigration status unless required to do so by state or federal law, or court order.

B. Where presentation of a driver’s license or identification card issued by New York State or any U.S. State or U.S. territory is accepted as adequate evidence of identity, presentation of a photo identity document issued by another country, such as a driver’s license, passport, or consular identification document or presentation of a photo identity issued by a school, college, or employer located in the United States, shall be accepted and shall not subject the person to a higher level of scrutiny or different treatment than if the person had provided a driver’s license or identification card issued by New York State or any U.S. State or U.S. territory except that this subsection (b) shall not apply to the completion of the federally mandated I-9 forms.

C. In order to ensure that eligible persons are not deterred from seeking Albany County benefits, services, or opportunities, all agencies shall review their confidentiality policies and identify any changes necessary to ensure that information collected from individuals is limited to that necessary to perform agency duties and is not used or disclosed for any other purpose, except as may be required under Section 10 of this local law. Any necessary changes to those policies shall be made within 60 days of the adoption of this local law, consistent with agency procedures.

D. All applications, questionnaires, and interview forms used in relation to Albany County benefits, opportunities, or services shall be promptly reviewed by the pertinent agencies and any questions regarding citizenship or immigration status, other than those required by statute, federal law, or court order, shall be deleted within 60 days of the adoption of this local law.

Section 6. Immigration enforcement actions and Federal responsibility
A. No agency or agent shall stop, arrest, detain, or continue to detain a person after that person becomes eligible for release from custody or is free to leave an encounter with an agency or agent, based on any of the following:

a. an immigration detainer;
b. an administrative warrant; or
c. any other basis that is based solely on the belief that the person is not present legally in the United States, or that the person has committed a civil immigration violation.

B. No agency or agent shall accept requests by ICE, CBP, or other agencies to support or assist in any capacity with immigration enforcement operations, including but not limited to requests to (1) provide information (including custody status, release date, home address, or work address) regarding persons who may be the subject of immigration enforcement operations except as may be required under Section 10 of this local law, (2) investigate or interrogate such persons, (3) establish traffic perimeters, or (4) otherwise be present to assist or support an operation. In the event an agent receives a request to support or assist in an immigration enforcement operation, he or she shall report the request to his or her supervisor, who shall decline the request, except as may be required under Section 10 of this local law, and document the declination in an interoffice memorandum to the agency director through the chain of command.

C. No agency or agent shall enter into an agreement under Section 1357(g) of Title 8 of the United States Code or any other federal law that authorizes or permits state or local governmental entities to enforce federal civil immigration laws.

D. Unless presented with a valid and properly issued judicial warrant, no agency or agent shall:

a. stop, arrest, detain, or continue to detain a person after that person becomes eligible for release from custody or is free to leave an encounter with an agency or agent;
b. permit ICE or CBP agents access to a person being detained by, or in the custody of, the agency or agent;
c. transfer any person into ICE or CBP custody;
d. permit ICE or CBP agents use of agency facilities, information (except as may be required under Section 10 of this local law), or equipment, including any agency electronic databases, for investigative interviews or other investigative purpose or for purposes of executing an immigration enforcement operation; or
e. expend time to respond to ICE or CBP inquiries or communicate with ICE or CBP regarding a person's custody status, release date, home address, work address, or other information, except as may be required under Section 10 of this local law.
Section 7. Certifications for victims of qualifying criminal activity

A. A certifying agency shall execute any certification requested by any victim of qualifying criminal activity or representative of the victim including, but not limited to, the victim's attorney, accredited representative, or domestic violence service provider, within 45 days of receiving the request. If the victim seeking certification is in federal immigration removal proceedings, the certifying agency shall execute the certification within 14 days of receiving the request. If the victim or the victim's children would lose any benefits under Sections 1184(p) and 1184(o) of Title 8 of the United States Code by virtue of having reached the age of 21 years within 90 days after the certifying agency receives the certification request, the certifying agency shall execute the certification no later than 14 days before the date on which the victim or child would reach the age of 21 years. Requests for expedited certification must be affirmatively raised by the victim.

B. If a certifying agency denies a request for certification, the agency shall notify the applicant in writing of the basis for the denial and the process for appealing the denial to the agency head. Within 90 days of receiving an applicant's letter appealing a denial, the certifying agency head shall notify the applicant in writing that the appeal is rejected and the initial denial is upheld or that the appeal is granted and the certifying agency will issue a certification.

C. The head of each certifying agency shall perform, or designate an agent with a supervisory role within the agency to perform, the following responsibilities:

   a. respond to requests for certifications;
   b. provide outreach to victims of qualifying criminal activity to inform them of the agency's certification process; and
   c. keep written records of all certification requests and responses.

D. All certifying agencies shall implement a language-access protocol for non-English speaking victims of qualifying criminal activity.

E. A certifying agency shall reissue any certification within 45 days of receiving a request from the victim of qualifying criminal activity or representative of the victim including, but not limited to, the victim's attorney, accredited representative, or domestic violence service provider.

F. There is no requirement that there be a current investigation, the filing of charges, a prosecution, or a conviction in order for a certifying agency to provide a certification, and there is no statute of limitations on providing a certification.
G. A certifying agency shall not refuse to provide certifications to applicants with criminal histories or outstanding warrants.

H. Notwithstanding any other provision of this section, a certifying agency’s completion of a certification shall not be considered conclusive evidence that the victim has met eligibility requirements for a U or T visa, and completion of a certification by a certifying agency shall not be construed to guarantee that a victim will receive federal immigration relief. It is the exclusive responsibility of federal immigration officials to determine whether a victim of qualifying criminal activity is eligible for a U or T visa. Completion of a certification by a certifying agency merely verifies factual information relevant to the immigration benefit sought including information relevant for federal immigration officials to determine eligibility for a U or T visa. By completing a certification, the certifying agency attests that the information is true and correct to the best of the certifying official’s knowledge. If after completion of a certification, the victim unreasonably refuses to assist in the investigation or prosecution of the qualifying criminal activity of which he or she is a victim, then the certifying agency may notify the United States Citizenship and Immigration Services in writing.

Section 8. Federal registry programs

No agency or agent shall expend any time, facilities, equipment, information, or other resources of the agency or agent to facilitate the creation, publication, or maintenance of any federal program to register individuals present in the United States based on their race, religion, gender, sexual orientation, gender identity or expression, or national or ethnic origin, or the participation of any residents of the Albany County in such a registry.

Section 9. Commitments

A. The county commits to working with community advocates, policy experts, and legal advocates to defend the human rights of immigrants.

B. The Albany County Sheriff’s Department will continue to respond to requests from immigrant communities to defend them against all crimes, including hate crimes, to assist people with limited language proficiency, and to connect immigrants with social services.

C. The county recognizes the arrest of an individual increases that individual’s risk of deportation even in cases where the individual is found to be not guilty, creating a disproportionate impact from law enforcement operations. Therefore, for all individuals, the Albany County Sheriff’s Department will recognize and consider the extreme potential negative consequences of an arrest in exercising its discretion regarding whether to take such an action, and will arrest
an individual only after determining that less severe alternatives are unavailable or would be inadequate to effect a satisfactory resolution.

Section 10. Information regarding citizenship or immigration status

Nothing in this local law prohibits any Albany County agency from sending to, or receiving from, any local, state, federal agency, information regarding an individual's citizenship or immigration status.

Section 11. Severability

If any clause, sentence, paragraph, section, subdivision, or other part of this local law or its application shall be inconsistent with any federal or state statute, law, regulation or rule then the federal or state statute, law, regulation, or rule shall prevail. If any clause, sentence, paragraph, section, subdivision, or other part of this local law or its application shall be adjudged by a court of competent jurisdiction to be invalid or unconstitutional, such order or judgment shall not affect, impair, or invalidate the remainder of the local law which shall remain in full force and effect except as limited by such order or judgment.

Section 12. Effective Date and Applicability

This local law shall be effective immediately upon filing in the Office of the Secretary of State.

Referred to Law, Public Safety and Audit and Finance Committees – 7/9/18
RESOLUTION NO. 376

PUBLIC HEARING ON PROPOSED LOCAL LAW NO. “R” FOR 2018

Introduced: 8/13/18
By: Messrs. Fein and Simpson

RESOLVED, By the County Legislature of the County of Albany that a public hearing on proposed Local Law No. “R” for 2018, “A Local Law of the County of Albany, New York Prohibiting Albany County Government from Assisting in the Investigation of Citizenship or Immigration Status of Any Person,” be held by the County Legislature in the Legislative Chamber, Albany County Courthouse, Albany, New York at 7:15 p.m. on Tuesday, August 28, 2018, and the Clerk of the County Legislature is directed to cause notice of such hearing to be published containing the necessary information in accordance with the applicable provisions of law.

Referred to Law, Audit and Finance and Public Safety Committees – 8/13/18
LOCAL LAW NO. "C" FOR 2019

A LOCAL LAW OF THE COUNTY OF ALBANY, NEW YORK, AMENDING AND UPDATING LOCAL LAW NO. 2 FOR 2011 REQUIRING ITEM PRICING BY RETAIL STORES IN THE COUNTY OF ALBANY

Introduced: 3/11/19
By Messrs. Feeney, Ward and Ethier:

BE IT ENACTED by the County Legislature of the County of Albany as follows:

Local Law No. 2 for 2011 is amended to read as follows:

SECTION 1. Title.

This Law shall be known as the “Albany County Item Pricing Law.”

SECTION 2. Legislative Intent.

This Law recognizes that clear, accurate item pricing is a basic consumer right which is no longer protected under New York State Law. It is the purpose of this Law to ensure that consumer goods offered for sale in the County of Albany are clearly, accurately and adequately marked as to their selling price. The County Legislature does, at the same time, recognize the numerous efficiencies and economies available to the retail food industry through use of computer-assisted checkout systems (together with shelf tag labeling) as the primary method of pricing consumer goods. It is the intention of this Legislature to require that retail food stores place individual item prices on products that they sell and to require accuracy at the checkout registers. It is also the intention of this Legislature to provide for a waiver of the item pricing requirement for certain stores that demonstrate and maintain a very high degree of computer-assisted pricing accuracy and that provide certain consumer protections and services that enhance the ability of consumers to record and verify individual item prices.

SECTION 3. Definitions.

A. “Stock keeping unit” shall mean each group of items offered for sale of the same brand name, quantity of contents, retail price, and variety within the following categories:
1. food, including all material, solid, liquid or mixed, whether simple or compound, used or intended for consumption by human beings or domestic animals normally kept as household pets and all substances or ingredients to be added thereto for any purpose; and

2. napkins, facial tissues, toilet tissues, and any disposable wrapping or container for the storage, handling or serving of food; and

3. detergents, soaps, other cleansing agents, and cleaning implements; and

4. non-prescription drugs, feminine hygiene products and health and beauty aids.

B. “Stock keeping item” shall mean each individual item of a stock keeping unit offered for sale.

C. “Universal product coding” shall mean any system of coding which entails electronic pricing.

D. “Item price” shall mean the tag, stamp or mark affixed to a stock keeping item by an authorized person which sets forth, in clearly readable Arabic numerals, the selling price.

E. “Computer-assisted checkout system” shall mean any electronic device, computer system or machine which determines the selling price of a stock keeping item by interpreting its universal product code, or by use of its price look-up function.

F. “Price look-up function” shall mean the capability of any checkout system to determine the selling price of a stock keeping item by way of the manual entry into the system of a code number assigned to that particular unit by the retail store or by way of the checkout operator’s consultation of a file maintained at the point of sale.

G. “Person” shall mean an individual, firm, partnership, association, or corporation

H. “Inspector” shall mean the authorized government official or his agents or employees having jurisdiction to enforce the provisions of this Local Law.

I. “Retail store” shall mean a store selling stock keeping units at retail including, but not limited to, grocery retailers, pharmacies and
department stores. A store which is not open to the general public but is reserved for use by its members shall come within the provisions of this definition unless the members must pay a direct fee to the store to qualify for membership and the store is not required to collect sales tax on transactions with members. Pursuant to this section, a retail store shall not include any store which:

1. has as its only full-time employee the owner thereof, or the parent, or the spouse or child of the owner, or in addition thereto not more than two full-time employees; or

2. had annual gross sales in a previous calendar year of less than three million dollars, unless the retail store is part of a network of subsidiaries, affiliates or other member stores, under direct or indirect common control, which, as a group, had annual gross sales in the previous calendar year of three million dollars or more; or

3. engages primarily in the sale of food for consumption on the premises or in a specialty trade which the Director of Weights and Measures determines, by regulation, would be inappropriate for item pricing.

J. “Retail Area” shall mean the area designated in a retail store to display and sell products, provide customer service, and check out. The retail area does not include the storage area, back rooms, stock area, maintenance areas, or other locations which are not intended to be accessible to consumers.

SECTION 4. Item Pricing Required.

A. Subject to the waiver provisions contained in Section 7 of this Local Law, every retail store, person, individual, firm, partnership, association, or corporation which sells, offers for sale or exposes for sale in a retail store a stock keeping unit that bears a Universal Product Code shall disclose to the consumer the price of each stock keeping item by individually marking each such item with the item price.

B. Certain items exempted. The following stock keeping items need not be item priced as provided in Subdivision A of this section provided that a shelf price and a price look-up function are maintained for such stock keeping items:

1. Snack foods such as cakes, gum, candies, chips and nuts offered for sale in single packages and weighing five ounces or less;
2. Stock keeping items which are under three cubic inches in size, and weigh less than three ounces, and are priced under one dollar;

3. Items sold through a vending machine;

4. Fresh milk, cream, half and half and other similarly packaged liquid dairy products and orange juice;

5. Fresh eggs;

6. Unpackaged fresh produce;

7. Food offered for sales in bulk;

8. Items offered for sale which are packaged in poly-plastic frozen food bags;

9. All sale items merchandised in segregated off-shelf displays for a period of up to 21 days provided the name of the product and the advertised or sale price is clearly and conspicuously posted on a sign at the point of display;

10. Individual jars of strained and junior size baby food;

11. Individual boxes of dry gelatin and pudding;

12. Ice cream and frozen yogurt; and

13. Stock keeping items within a multi-package that is properly item priced.

SECTION 5. Pricing Accuracy.

A. No retail store, person, individual, firm, partnership, association, or corporation shall charge a price for any exempt or non-exempt stock keeping item which exceeds the lower of any item price, shelf price, sale price or advertised price of such stock keeping item. In the event that the programmed computer price exceeds the lowest price a store is permitted to charge for a stock keeping unit, the store will be subject to a penalty as described in Section 6, Paragraph E.

B. In a store with a laser scanning or other computer assisted checkout system, the Inspector shall be permitted to compare the item, shelf, sale or
advertised price of any stock keeping item sold in the store with the programmed computer price.

SECTION 6. Enforcement.

A. Item Pricing Inspection Procedures. For the purpose of determining a store’s compliance with the requirements of Section 4, an inspection shall be conducted of a sample of no less than ten stock keeping units. However, in the event the Inspector has received a specific written complaint, no such minimum sample shall be required in the investigation of same.

B. Laser Scanner Accuracy Inspection Procedures. For any inspection under Section 5 or Section 7, the store representative shall afford the Inspector access to the test mode of the checkout system in use at that store or to a comparable function of said system and the retail price information contained in a price look-up function. All inspections conducted pursuant to this section shall consist of a random sample of not less than one hundred nor more than two hundred stock keeping units. In the event the Inspector has received a specific written complaint, the Inspector, may, in his discretion, conduct an inspection of only those items.

C. Stop Removal Order. An Inspector shall have the authority to issue a stop removal order with respect to any device, system, or stock keeping unit being used, handled or offered for sale in violation of Section 4 or 5. Any such order shall be in writing and directed that the device, system or stock keeping unit, as the case may be, shall be removed from use or sale pending correction.

D. Penalties for Item Pricing Violations. Any retail store which fails to mark any stock keeping item in violation of Section 4 shall be subject to the penalties of not less than five dollars and not more than fifty dollars per violation. For additional violations during a subsequent inspection in a twelve month period, the above penalties shall be doubled. In doubling penalties, an Inspector shall not be limited to the doubling of any specific fine previously issued. No penalties shall be imposed for a violation of Section 4 if more than ninety-five percent of all the stock keeping items inspected in each stock keeping unit at an individual point of sale contain clearly readable and correct item prices.

E. Penalties for Scanner Accuracy Violations. Upon a violation of the provisions of Section 5, a penalty in the amount of one hundred dollars per violation shall be imposed for the first two violations of the stock keeping items compared; two hundred dollars per violation for the next two violations; two hundred fifty dollars per violation for the next two violations; and three hundred fifty dollars for each additional violation. For additional violations
during a subsequent inspection in a twelve month period, the above penalties shall be tripled and suspension of a Section 7 waiver for one year.

SECTION 7. Waiver of Item Pricing Requirements Based Upon Pricing Accuracy; Consumer Protections.

A. Every retail store, person, individual, firm, partnership, association, or corporation subject to this Local Law which would otherwise be required to item price as provided in Section 4 may make an application in writing to the Department of Weights and Measures for a waiver of the item pricing requirements as contained herein. The application shall be submitted to the Director of Weights and Measures for the County of Albany.

[A separate applications shall be required for each retail store, person, firm, partnership, or corporation subject to this Local Law.

B. Each application for a waiver of the item pricing requirements contained herein shall be subject to a non-refundable annual waiver fee based on the retail square footage of the retail area of each store as set forth according to the following schedule:

<table>
<thead>
<tr>
<th>Retail Area</th>
<th>Waiver Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less than 5,000 sq. ft.</td>
<td>$750.00</td>
</tr>
<tr>
<td>5,000 sq. ft. or more</td>
<td>$3,000.00</td>
</tr>
</tbody>
</table>

[Under 3,000 sq. ft. $500.00] [10,000- 30,000 sq. ft. $1500.00] [10,000 to 30,000 sq. ft. $1,500.00] [30,000 to 90,000 sq. ft. $3,000.00]  

[B. All written requests for an item pricing waiver shall include an annual waiver application fee in the amount of three thousand dollars made payable to the County.]  

C. Waiver applications and the required fee submitted under this section must be received by the Department of Weights and Measures on or before May 1 of each year. New stores which did not previously hold waivers may apply after the May 1 deadline and the application and length of the waiver will be prorated accordingly.

D.[C]. Upon receipt of an application and fee as provided in subsections A and B of this section, the Director of Weights and Measures shall cause to be
conducted two scanner accuracy inspections of the store for which the application has been submitted. These inspections shall be conducted on two separate days, in a manner prescribed by the Director of the Department of Weights and Measures, and shall consist of comparing the shelf, sale or advertised price of any stock keeping unit with the computer-assisted checkout system price. At stores with a retail area in excess of 30,000 square feet a minimum of fifty (50) items shall be checked at each inspection. At stores with a retail area of less than 30,000 square feet a minimum of twenty-five (25) items shall be checked. In the event that any violations are detected, penalties shall be assessed as provided in Section 6, subsection E. If, considering both inspections together, the number of stock keeping units found to be in violation does not exceed two percent of all stock keeping units inspected, the Director of Weights and Measures shall grant to the applicant a revocable one year waiver from item pricing requirements provided that the applicant has paid all outstanding penalties imposed in connection with this Local Law. Any store with a current waiver shall not be subject to the item pricing provisions set forth in Section 4 herein.

E. A waiver from item pricing requirement contained herein shall be valid for a period of one year from the date of issuance. Stores must reapply annually for the renewal of a waiver at the rates established in Section 7(B) of this law. The waiver fee and two inspections shall be required for each annual renewal application, as required for an original waiver application.

F.[D]. In the event that total violations in excess of two percent are discovered in the inspections provided for in subsection D [C] herein, the Director of Weights and Measures shall not grant a waiver to the applicant. Such a store may reapply for a waiver by submitting another application with the required fee.

G.[E]. Stores that have less than 5,000 sq. ft. are not required to install a separate scanner in retail area but must comply with all other pricing requirements, contained in section 214-h of the New York State Agriculture and Markets Law, have an Uniform Pricing Code System and shall maintain registers with a scanner system that clearly displays to the consumer the price of each product that is scanned.

Any retail store that obtains an annual waiver from item pricing shall be required to:

1. Display easy-to-read and properly located shelf tags or signs on every stock keeping unit or group of stock keeping units of the same brand, size and price. Shelf tags shall contain all pricing information
required by section 214-h of the New York State agriculture and markets law, as such law is amended from time to time.

2. Post a notice for the consumer, in a conspicuous location, of the granting of the item pricing waiver which shall indicate consumer rights with respect to the accurate pricing of items and price discrepancies.

3. Designate and make available price check scanners to enable consumers to confirm the price of the stock keeping item. These price check scanners shall be in locations that are centrally located in the store and convenient to consumers, with signs of sufficient lettering to identify the scanners to consumers. The minimum number of price check scanners shall be dependent on the stores retail area as follows: [Designate and make available the number of price check scanners set forth in the following table to enable consumers to confirm the price of a stock keeping item]:

<table>
<thead>
<tr>
<th>Retail Area</th>
<th>Minimum # of Scanners</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Square footage of selling space]</td>
<td>Number of Scanners</td>
</tr>
<tr>
<td>Less than 5,000 sq. ft.</td>
<td>Register Only</td>
</tr>
<tr>
<td>Less than 30,000 sq. ft.</td>
<td>1</td>
</tr>
<tr>
<td>30,000- 90,000 sq. ft.</td>
<td>2</td>
</tr>
<tr>
<td>Over 90,000 sq. ft.</td>
<td>4</td>
</tr>
</tbody>
</table>

[Less than 30,000 1]
[30,000 – 9(6)0,000 2][3]
[Over 90,000 4][5]

Stores shall have the discretion to install price check scanners which are capable of printing an adhesive label containing the price of the stock keeping item. Stores which choose to have scanners, in an amount which complies with the requirements noted above, but which do not print adhesive labels shall be considered in compliance with the provisions of this local law for purposes of granting a waiver. [One such price check scanner shall be capable of printing an adhesive label containing the price of the stock keeping item. Price check scanners shall be placed in a location convenient to consumers with a sign of sufficient sized lettering identifying this unit to consumers.] Price check scanners may be used by the retail store to meet unanticipated customer checkout needs.
4. Assist county inspectors with store inspections. The retail store may make store personnel or hand-held price scanners available to a county inspector to assist with price accuracy inspections. Inspections of retail stores may be unannounced, provided however, that the inspector shall notify the store upon arrival.

A retail store failing to comply with any of the requirements of section 7 of this local law [this subsection E] shall be subject to a penalty in the amount of no more than three hundred dollars per violation.

H.[F]. An annual waiver from item pricing shall be valid until such time as a store falls below 98% accuracy on two consecutive pricing accuracy inspections. Failure to meet the scanning accuracy requirement or failure to pay the annual application fee shall subject the retail store to the item pricing requirements of this Local Law within ten days of the last inspection.

I.[G]. In the event that the Director of Weights and Measures is unable to conduct inspections pursuant to [subsection C] of this section within thirty days of receipt of a completed written waiver application, the Director of Weights and Measures shall grant a temporary waiver pending completion of the inspections. If, upon completion, the item pricing inspections detect a violation rate of two percent or less, the Director of Weights and Measures shall issue an annual waiver. If the inspections detect a violation rate in excess of two percent, the temporary waiver shall be immediately revoked and the item pricing provisions of this Local Law shall apply.

SECTION 8. Regulations

In addition to the powers and duties elsewhere prescribed in this local law, the Director of the Department of Weights and Measures shall have the power to adopt, amend or rescind, after a public hearing, such regulations that may be necessary to effectuate the purposes of this law with respect to item pricing and accuracy. At least seven days prior notice of such public hearing on proposed regulations shall be published in the official newspapers of the County of Albany. Any regulations adopted pursuant to this local law shall be filed in the Office of the Clerk of the Albany County Legislature.

SECTION 9[8]. Jurisdiction.

The provisions of this Local Law and any Regulations promulgated hereunder shall be enforced by the Director of Weights and Measures for the County of Albany. The Director of Weights and Measures shall refer cases of unpaid penalties to the Albany County Attorney for appropriate legal action.
SECTION 10[9]. Appointment of Review Committee.

Upon the expiration of the first six months of operation, the Chairman of the County Legislature shall appoint a three-member Review Committee to study this Law's strengths and weaknesses and make appropriate recommendations for amendments to the Finance Committee.

SECTION 11[0]. Severability.

If any section of this Local Law, or the application thereof to any person or circumstance shall be adjudged invalid by a Court of competent jurisdiction, such order or judgment shall be confined in its operation to the controversy in which it was rendered and shall not affect or invalidate the remainder of any provision of any section or the application of any part thereof to any other person or circumstance and to this end the provisions of each section of this Law are hereby declared to be severable.

SECTION 12[1]. Effective Date.

This local law shall take effect immediately.

Referred to Law and Audit and Finance Committees - 3/11/19
RESOLUTION NO. 204

AUTHORIZING THE CONVEYANCE OF REAL PROPERTY LOCATED AT 171 TROY SCHENECTADY ROAD (TAX MAP NO. 32.1-2-5.2) IN THE TOWN OF COLONIE

Introduced: 5/13/19
By Audit and Finance Committee:

WHEREAS, The County of Albany has acquired through in rem foreclosure title to a parcel of real property at 171 Troy Schenectady Road (Tax Map No. 32.1-2-5.2) in the Town of Colonie, and

WHEREAS, ACLB Holdings, LLC., a subsidiary of the Albany County Land Bank Corporation has expressed an interest in acquiring this parcel of real property to carry out its mission to revitalize communities, and

WHEREAS, It is in the best interests of County taxpayers to support the Land Bank in its efforts to develop affordable housing as a means to stabilize neighborhoods, return properties to the tax rolls and provide pro-active tools to mitigate costs, spur investment and improve property values, now, therefore be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to execute on behalf of the County any documents necessary to convey 171 Troy Schenectady Road (Tax Map No. 32.1-2-5.2) in the Town of Colonie to ACLB Holdings, LLC., and, be it further

RESOLVED, That the County Attorney is authorized to approve said conveyance as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Referred to Audit and Finance Committee - 5/13/19
April 8, 2019

Honorable Andrew Joyce
Chair, Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairman Joyce:

The Albany County Land Bank (ACLB) has created a subsidiary, ACLB Holdings, LLC. This subsidiary will enable the Land Bank to acquire property with environmental impediments (e.g., hazardous waste sites, prior gas stations).

Legislative authorization is requested in two parts, rescind the sale of seven parcels approved previously for transfer to the ACLB, and to allow one additional parcel along with these seven to be transferred to ACLB Holdings, LLC. Upon approval, these eight parcels will become the LLC’s initial portfolio which will allow the redeveloping of more challenging properties.

If you have any questions regarding this request I can make myself available at your earliest convenience. Thank you for your consideration.

Sincerely Yours,

Shawn A. Theilen

cc:
Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauroiello, Minority Leader
Majority Counsel
Minority Counsel
REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):

Authorization is requested to rescind the sale of 7 parcels to the Albany County Land Bank approved by prior ABL resolutions. Authorization is also requested to transfer these parcels to ACLB Holdings, LLC. Authorization is also requested to transfer 1 additional parcel to the ACLB Holdings, LLC - Town of Colonie -171 Troy Schenectady Road Map No. 32.1-2-5.2

Click or tap here to enter text.

Date: 3/7/19
Submitted By: Shawn Thelen
Department: Management and Budget
Title: Commissioner
Phone: 447-7040
Department Rep.: Anthony Di Lella
Attending Meeting: Michael Mc Laughlin

Purpose of Request:

☐ Adopting of Local Law
☐ Amendment of Prior Legislation
☐ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☐ Budget Amendment
☐ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQIR
☐ Home Rule Request
☒ Property Conveyance
☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
- Change Order/Contract Amendment
- Purchase (Equipment/Supplies)
- Lease (Equipment/Supplies)
- Requirements
- Professional Services
- Education/Training
- Grant
  - Choose an item.
  - Submission Date Deadline Click or tap to enter a date.
- Settlement of a Claim
- Release of Liability
- Other: (state if not listed)

Contract Terms/Conditions:

Party (Name/address):
  Click or tap here to enter text.

Additional Parties (Names(addresses)):
  Click or tap here to enter text.

Amount/Raise Schedule/Fee:
  Click or tap here to enter text.
Scope of Services:
  Click or tap here to enter text.

Bond Res. No.:
  Click or tap here to enter text.
Date of Adoption:
  Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service:
  Yes ☐ No ☐
Justification: (state briefly why legislative action is requested)

The Land Bank Act (NYS law) authorizes land banks to form subsidiaries to help further their mission by allowing them to redevelop more challenging properties which may present an increased risk of liability. The Albany County Land Bank (ACLB) has created ACLB Holdings, LLC, this entity will enable the Land Bank to acquire property with environmental impediments (hazardous waste, prior gas stations etc.) which will help tremendously in furthering its mission of returning foreclosed properties to a productive use in Albany County.

Legislative authorization is requested to rescind the sale of 7 parcels approved previously for transfer to the ACLB (see attached list) and transfer these to ACLB Holdings, LLC. Authorization is also requested to transfer property located in the Town of Colonie, 171 Troy Schenectady Road Map No. 32.1-2-5.2 to ACLB Holdings, LLC.

ACLB Holdings, LLC. has requested transfer of these parcels to be part of their initial portfolio of properties.
April 9, 2019

Michael McLaughlin  
Director of Policy and Research  
Office of the Albany County Executive  
112 State Street, Room 1200  
Albany, NY 12207

Re: Legislative authorization to transfer real property from Albany County to  
ACLB Holdings, LLC

Dear Mr. McLaughlin,

Pursuant to Section 1607 of the New York State Not-for-Profit Law, New York State Land Banks have the statutory authority to organize a subsidiary for a project or projects which the land bank has the power to pursue under Article 1600 of the New York State Not-for-Profit Law when the primary reason for which the subsidiary shall be organized shall be to limit the potential liability impact of the subsidiary’s project or projects on the land bank or because state or federal law requires that the purpose of a subsidiary be undertaken through a specific corporate or business structure.

As part of our continued efforts to expand the number of properties our organization can return to productive use, reduce the burden that vacant and abandoned properties impose on Albany County, its municipal governments and taxpayers the Albany County Land Bank has formed a single member New York limited liability corporation.

ACLB Holdings, respectfully requests that Albany County authorize the properties contained in “Exhibit A” for transfer to ACLB Holdings, LLC.

Please be advised that as a single member New York limited liability corporation all properties acquired by ACLB Holdings, LLC are subject to approval by its Board of Directors.

Sincerely,

Adam Zaranko  
Executive Director  
ACLB Holdings, LLC

69 State Street, 8th Floor  
Albany, NY 12207  
518-407-0309  
www.albanycountylanbank.org
<table>
<thead>
<tr>
<th>ADDRESS</th>
<th>MUNICIPALITY</th>
<th>PARCEL/TAX ID NUMBER</th>
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<tr>
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<tr>
<td>88 ALEXANDER STREET</td>
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<td>228 ELK STREET</td>
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<td>65.72-4-10</td>
</tr>
<tr>
<td>293 ORANGE STREET</td>
<td>CITY OF ALBANY</td>
<td>65.73-2-25</td>
</tr>
<tr>
<td>295 ORANGE STREET</td>
<td>CITY OF ALBANY</td>
<td>65.73-2-26</td>
</tr>
<tr>
<td>2638 SR 145</td>
<td>TOWN OF RENSSELAERVILLE</td>
<td>181-2-18</td>
</tr>
<tr>
<td>171 TROY-SCHENECTADY ROAD</td>
<td>TOWN OF COLONIE</td>
<td>32.1-2-5.2</td>
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## PROPERTIES TO BE TRANSFERRED TO ACLB HOLDINGS, INC.

<table>
<thead>
<tr>
<th>Tax Map Number</th>
<th>City or Town</th>
<th>Property Address</th>
<th>Property Type</th>
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<tbody>
<tr>
<td>181.-2-18</td>
<td>Rensselaerville</td>
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<td>Commercial Building</td>
</tr>
<tr>
<td>65.72-4-10</td>
<td>Albany</td>
<td>228 Elk Street</td>
<td>Commercial Building</td>
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<tr>
<td>65.73-2-25</td>
<td>Albany</td>
<td>293 Orange Street</td>
<td>Commercial Building</td>
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<tr>
<td>65.73-2-26</td>
<td>Albany</td>
<td>295 Orange Street</td>
<td>Commercial Building</td>
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<tr>
<td>65.63-1-30.10  N/K/A 65.63-1-30.1</td>
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<td>393 Sheridan Avenue</td>
<td>Auto Body Shop</td>
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<td>76.64-2-15.20  N/K/A 76.64-2-15.2</td>
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RLA NO. TMP-0710
# PROPERTIES TO BE TRANSFERRED TO ACLB HOLDINGS, INC.

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RLA NO. TMP-0710
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<th>Property Type</th>
<th>Year/Resolution to Rescind Sale</th>
<th>ACLB Holdings</th>
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</thead>
<tbody>
<tr>
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<tr>
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<tr>
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<tr>
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<td>Commercial Building</td>
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<td>Auto Body Shop</td>
<td>2016-173</td>
<td>YES</td>
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<td>76.64-2-15.20 N/K/A 76.64-2-15.2</td>
<td>Albany</td>
<td>88 Alexander Street</td>
<td>Auto Body Shop</td>
<td>2016-173</td>
<td>YES</td>
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<tr>
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<td>91 Alexander Street</td>
<td>Auto Body Shop</td>
<td>-2016-173</td>
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<td>32.1-2-5.2</td>
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<td>171 Troy Schdy Road</td>
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</table>
RESOLUTION NO. 173

AUTHORIZING THE CONVEYANCE OF VARIOUS PARCELS OF REAL PROPERTY LOCATED IN THE CITIES OF ALBANY, COHOES AND WATERVLIET AND TOWNS OF BERNE, BETHLEHEM, COLONIE, COEYMANS, NEW SCOTLAND, RENSSELAERVILLE AND WESTERLO TO THE ALBANY COUNTY LAND BANK CORPORATION

Introduced: 4/11/16
By Audit and Finance Committee:

WHEREAS, The County of Albany has acquired, through in rem foreclosure, title to 91 parcels of real property in the City of Albany, 7 parcels in the City of Cohoes, 1 parcel in the City of Watervliet, 2 parcels in the Town of Berne, 5 parcels in the Town of Bethlehem, 9 parcels in the Town of Colonie, 2 parcels in the Town of Coeymans, 2 parcels in the Town of New Scotland, 1 parcel in the Town of Rensselaerville and 1 parcel in the Town of Westerlo, and

WHEREAS, The Albany County Land Bank Corporation (Land Bank) has expressed an interest in acquiring the 121 parcels of real property to carry out its mission to revitalize and build communities, and

WHEREAS, It is in the best interests of County taxpayers to support the Land Bank in its efforts to develop affordable housing as a means to stabilize the neighborhood, encourage further development and return properties to the tax rolls, now, therefore be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to execute on behalf of the County any documents necessary to convey the parcels of real property located in the Cities of Albany, Cohoes and Watervliet, and Towns of Berne, Bethlehem, Colonie, Coeymans, New Scotland, Rensselaerville and Westerlo to the Albany County Land Bank Corporation as indicated in a spreadsheet filed with the Clerk of the Legislature, and, be it further

RESOLVED, That the County Attorney is authorized to approve said conveyances as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote. 4/11/16
RESOLUTION NO. 481

AUTHORIZING THE CONVEYANCE OF VARIOUS PARCELS OF REAL PROPERTY TO THE ALBANY COUNTY LAND BANK CORPORATION

Introduced: 11/13/17
By Audit and Finance Committee:

WHEREAS, The County of Albany has acquired, through in rem foreclosure, title to 12 parcels of real property in the City of Cohoes, 1 parcel in the Town of Berne, 2 parcels in the Town of Bethlehem, 8 parcels in the Town of Colonie, 2 parcels in the Town of Guilderland, 4 parcels in the Town of Knox, 1 parcel in the Town of New Scotland and 4 parcels in the Town of Rensselaerville and

WHEREAS, The Albany County Land Bank Corporation (Land Bank) has expressed an interest in acquiring these 34 parcels of real property to carry out its mission to revitalize and build communities, and

WHEREAS, It is in the best interests of County taxpayers to support the Land Bank in its efforts to develop affordable housing as a means to stabilize the neighborhood, encourage further development and return properties to the tax rolls, and

WHEREAS, Pursuant to the Albany County Disposition Plan adopted per Resolution No. 453 of 2016, The Albany County Department of Management and Budget has forwarded a spreadsheet for high value properties containing the amounts due to Albany County upon the sale of a listed parcel by the Albany County Land Bank Corporation, now, therefore be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to execute on behalf of the County any documents necessary to convey the parcels of real property located in the City of Cohoes and the Towns of Berne, Bethlehem, Colonie, Guilderland, Knox, New Scotland and Rensselaerville to the Albany County Land Bank Corporation as indicated on the spreadsheet annexed hereto, and, be it further

RESOLVED, That the County Attorney is authorized to approve said conveyances as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.
<table>
<thead>
<tr>
<th>TOWN LOCATIONS</th>
<th>FORECLOSURE NO</th>
<th>PARCEL OWNER(S)</th>
<th>PARCEL LOCATION</th>
<th>TAX MAP NO</th>
<th>CLASS CODE</th>
<th>BASE TAXES</th>
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<td>LINDA CT</td>
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<td>COLONIE</td>
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<td>COLONIE</td>
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<td>COLONIE</td>
<td>1946-12-000303</td>
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<td>2634 SR 145</td>
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<td>322</td>
<td>$11,035.31</td>
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RESOLUTION NO. 171

AUTHORIZING THE CONVEYANCE OF VARIOUS PARCELS OF REAL PROPERTY TO THE ALBANY COUNTY LAND BANK CORPORATION

Introduced: 4/9/18
By Audit and Finance Committee:

WHEREAS, The County of Albany has acquired, through in rem foreclosure, title to 36 parcels of real property in the City of Albany, 1 parcel in the City of Cohoes, 1 parcel in the City of Watervliet, 1 parcel in the Town of Bethlehem, 1 parcel in the Town of Colonie, 1 parcel in the Town of Knox, and

WHEREAS, The Albany County Land Bank Corporation (Land Bank) has expressed an interest in acquiring these 40 parcels of real property to carry out its mission to revitalize and build communities, and

WHEREAS, It is in the best interests of County taxpayers to support the Land Bank in its efforts to develop affordable housing as a means to stabilize the neighborhood, encourage further development and return properties to the tax rolls, and

WHEREAS, Pursuant to the Albany County Disposition Plan adopted per Resolution No. 453 of 2016, The Albany County Department of Management and Budget has forwarded a spreadsheet for high value properties containing the amounts due to Albany County upon the sale of a listed parcel by the Albany County Land Bank Corporation, now, therefore be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to execute on behalf of the County any documents necessary to convey the parcels of real property located in the Cities of Albany, Cohoes and Watervliet and the Towns of Bethlehem, Colonie, and Knox to the Albany County Land Bank Corporation as indicated on the spreadsheet annexed hereto, and, be it further

RESOLVED, That the County Attorney is authorized to approve said conveyances as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote. 4/9/18
June 25th, 2019

Honorable Andrew Joyce  
Chairman, Albany County Legislature  
112 State Street, Suite 710  
Albany, New York 12207

Dear Chairman Joyce:

In accordance with Article 6, Section 608 of the Albany County Charter, I hereby submit the 2020-2024 Albany County Capital Program for legislative review and action. The plan provided is based on the recommendations made by the Capital Projects Committee at its June 17th, 2019 meeting.

The attached plan provides an estimate of Albany County's capital needs, their associated costs and funding mechanisms over the course of the next five (5) years. It is important to note that the Capital Program is a plan that does not commit the County to any particular project, schedule, cost or funding source. The projects found in the Capital Program will be continually revisited taking into consideration changes in project needs and priorities, cash flow, and budget constraints. Given the impact of property tax cap legislation on the County's budget processes and the County's cash situation, the flexibility to undertake capital projects, in general and defined as part of this plan, may be limited.

If there are any questions regarding this plan, please contact Shawn Thelen who will be available during the legislative committee schedule to address any questions.

Sincerely,

Daniel P. McCoy

cc. Dennis A. Feeney, Majority Leader  
Frank Mauriello, Minority Leader  
Kevin Cannizzaro, Majority Counsel  
Arnis Zilgme, Minority Counsel  
Shawn A. Thelen
REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):
Approval for the Albany County 2020-2024 Capital Program

Date: 6/25/2019
Submitted By: Shawn Thelen
Department: Management and Budget
Title: Commissioner
Phone: 5184475525
Department Rep.
Attending Meeting: Click or tap here to enter text.

Purpose of Request:

☐ Adopting of Local Law
☐ Amendment of Prior Legislation
☒ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☐ Budget Amendment
☐ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQR
☐ Home Rule Request
☐ Property Conveyance
☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
☐ Contractual
☐ Equipment
☐ Fringe
☐ Personnel
☐ Personnel Non-Individual
☐ Revenue

Increase Account/Line No.: Click or tap here to enter text.
Source of Funds: Click or tap here to enter text.
Title Change: Click or tap here to enter text.
CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☐ Grant

Choose an item.
Submission Date Deadline Click or tap to enter a date.

☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee:
Scope of Services:
Click or tap here to enter text.
Click or tap here to enter text.

Bond Res. No.:
Date of Adoption:
Click or tap here to enter text.
Click or tap here to enter text.

---

CONCERNING ALL REQUESTS

Mandated Program/Service:
☐ Yes ☐ No
If Mandated Cite Authority:
Article 6 of Section 608 of the Albany County Charter.

Is there a Fiscal Impact:
☐ Yes ☐ No
Anticipated in Current Budget:
☐ Yes ☐ No

County Budget Accounts:
Revenue Account and Line:
Revenue Amount:
Click or tap here to enter text.
Click or tap here to enter text.

Appropriation Account and Line:
Appropriation Amount:
Click or tap here to enter text.
Click or tap here to enter text.
### Source of Funding – (Percentages)
- Federal: 
- State: 
- County: 
- Local: 

### Term
- Term: (Start and end date) 2020-2024
- Length of Contract: 5 Years

### Impact on Pending Litigation
- Yes □ No ☒ If yes, explain: 

### Previous requests for Identical or Similar Action:
- Resolution/Law Number: Click or tap here to enter text.
- Date of Adoption: Click or tap here to enter text.

### Justification: (state briefly why legislative action is requested)
Article 6 of Section 608 of the Albany County Charter requires the submission of a 5-year capital plan from the Office of the County Executive to the Legislature. The plan provided is based on the recommendations made by the Capital Projects Committee at its June 17th, 2019 meeting. Please see attachments for a detailed breakdown of the proposed plan.
New Projects

Civic Center

Additional Show Power and Transformer Replacement
We have 2000 amps of show power. Shows often require more and they need to bring in a generator. This may make the building less desirable to put a show in than the next arena.

Low Roof Replacement
There have been several leaks over renovated areas that need patching. The leaks seem to be coming from age, seals coming loose and general wear and tear.

General Services

Building Renovations at 175 Green St. & 240,250 & 260 S Pearl St
As part of our continuing program to maintain existing facilities, this project addresses the building renovations at our structures located at 175 Green St., 240, 250 & 260 S. Pearl St.

Parking Facility Repairs & Maintenance
The purpose of this project is to address the ongoing needed parking facility repairs and preservation/upkeep to County owned garages & facilities.

Public Works

Replacement of Two Culverts on CR412 over Hannacrois Creek
This project will replace two sets of deteriorated culverts along CR 412 in the Town of Westerlo.

CR 108 over Tributary to Feuri Spruyt Culvert Replacement Project
This project replaces two parallel 55 foot long, 4 foot diameter corrugated metal culvert pipes with a single pre-cast concrete box culvert.

CR 201 over Black Creek Bridge Replacement
This project will replace the 28 foot long concrete superstructure bridge over Black Creek in Guilderland.

Culvert Replacement on CR256 over Tributary to Black Creek
This project proposes to remove the existing 30 foot long, 5.33 x 7.67 foot wide corrugated metal pipe connected to a 20 foot long 5.25 x 8 foot concrete box culvert and replace it with a pre-cast concrete box culvert.

CR352 over Fox Creek Bridge Replacement Project
This project will replace the existing 40' long A588 steel bridge over Fox Creek in the Town of Rensselaerville.

CR405 over 8 Mile Creek Culvert Replacement
This project replaces the existing triple, 60 foot long, 4 foot diameter corrugated metal pipe culverts with a single pre-cast concrete box culvert.
2020-2024 Capital Plan

New | Removed | Amended Projects

Water Purification Dist.

Clarifier Upgrade Project

Project to include the study, design, and construction of improvements to the existing primary and secondary clarifiers at both the North and South treatment plants.

High Voltage Upgrade

Project to include the study, design, and construction of improvement to the high voltage electrical service equipment at both the North and South treatment plants.
2020-2024 Capital Plan
New | Removed | Amended Projects

Removed Projects

Civic Center
Suite and Bathroom Renovations
This project includes the renovation of 23 suites by replacing 16 chairs per suite, replacing carpet and bathroom tiles as well as ceiling tiles and cabinets.

Fire Alarm and Security Systems Replacement
The Times Union Center has been trying to remedy many issues with the current fire alarm system. The current system is 25 years old and past its useful life.

General Services
Hall of Records Expansion
The Hall of records is in need of a capital building expansion project to meet the future needs of record storage.

Sheriff's Office
Countywide Interoperable Communications System Upgrade
Repair and upgrade present Countywide VHF Communications System and install a new 800 MHZ Trunking Communications System linkable to the City of Albany, Town of Colonie and other surrounding 800 MHZ systems.
Amended Projects

Civic Center
LED Expansion
Install New LED Fascia to run all the way around the bowl. Move the back lit signs up.
Amended for 2020-2024 - to increase funding requirements.

General Services
Albany County Office Building Renovations
This project provides for the renovation of the Harold L. Joyce Albany County Office Building.
Amended for 2020-2024 - to add funds and extend timelines for mechanical system upgrade.

Vehicle and Truck Replacement Project
This project would replace fleet pool vehicles in accordance with our Department Vehicle Replacement Plan.
Amended for 2020-2024 - to reflect a new project schedule and added project costs and new funding amounts.

County-wide Facilities Evaluation
The proposed evaluation will allow the County to make the best use of it's resources.
Amended for 2020-2024 - to new funding amounts.

Sheriff's Office
Clarksville Public Safety Building Renovations & Upgrade
Renovation and modification to upgrade the Public Safety Building in Clarksville, NY. This project includes modifications to the interior of the facility to maximize space and upgrade technology.
Project amended for 2020-2024 - timeline start pushed out, description modified, additional funding added.

Public Works
CR 157, SR 155 Watervliet-Shaker Road (New Karner Road to Sand Creek Road) [Airport Area FGEIS]
This project is Phase 3 of the Watervliet-Shaker Road Realignment Project. The section of Watervliet-Shaker Road (WSR) included in this project extends from New Karner Rd. to Sand Creek Rd.
Project amended in 2020-2024 - The project was amended to reflect a new project start and project end date as well as to update the cost estimate.

Highway Pavement Recycling Projects
Rehabilitation of several lane miles of County roadways by recycling pavement, re-establishing sub-base and repaving entire road.
Project amended in 2020-2024 to add additional year and increase total cost.
2020-2024 Capital Plan
New | Removed | Amended Projects

New Karner Road (NY 155) From US 20 to NY 5: Corridor Improvements
The project involves pavement rehabilitation, safety improvements, signal timing updates and
addition of complete streets components along New Karner Road (NY 155) from US 20 to
Watervliet Shaker Road.
Project amended for 2020-2024 - to reflect a new project schedule and updated project costs
and new funding amounts.

Vehicle and Truck Replacement
This project would replace heavy-duty trucks and equipment and light-duty pickup trucks and
cars in accordance with our Department Vehicle and Equipment Replacement Plan.
Project amended in 2020-2024 - to reflect up to date pricing of new equipment/vehicles

CR311 and CR 303 (Beaver Dam Road) Rehabilitation Project
This project will rehabilitate 1.7 miles along CR311 from CR303 to NY157 and 2.0 miles along
CR303 from CR303 to NY157A in the Towns of New Scotland and Berne.
Project amended in 2020-2024 to push out start date and add funding.

HHRT Bridge over State RTE 85
Replacement of the existing HHRT Bridge (BIN 7032650) over New Scotland Road (State RTE
85) with a pedestrian structure.
Project Amended for 2020-2024 Plan- Project start date was moved to August 2018 due to
poor condition of the bridge. Changed project cost based on updated replacement estimate.
Cost could be modified if rehabilitation option is chosen over replacement.

Water Purification Dist. South Plant Preliminary Treatment Building Repair Project
The Preliminary Treatment building, though built on bedrock, has shifted horizontally causing
two major cracks in two walls supporting the concrete roof panels each weighing over 2,000
lbs.
Amended for 2020-2024 - changed funding sources.
## 2020-2024 Capital Plan Summary

<table>
<thead>
<tr>
<th></th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
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<td><strong>State Reimbursement</strong></td>
<td><strong>Federal Reimbursement</strong></td>
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**Upper Level Seating Replacement**

The chairs in the upper level are 25 years old. The lower level seating was replaced in 2010. This plan was originally spread over two years utilizing Facility Fees. Combining the projects into the same year we would realize an approximate savings of $100,000. Given lead time of the chairs, installation of chairs will begin spring of 2019, purchase of chairs will be in 2018.

<table>
<thead>
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<th>New Project:</th>
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<th>Amended Projects:</th>
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</table>

**Management & Budget Recommendation:**

**Food Concession Upgrade**

The concession stands in the building have not been renovated in 11 years. New equipment and stand lay-outs would allow for higher quality service and a projected revenue increase due to more efficient opera-tion. Work include mechanical upgrades to the two Beer Draft Coolers and new cosmetic and equipment design for the concourse concession stands. The design has been out to bid. The amount was amended to include epoxy floor finishing in the kitchen and stands for a cleaner safe work environment.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
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<td><strong>Project Financing (in millions of dollars)</strong></td>
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<td><strong>Year</strong></td>
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<tr>
<td>Total County Cost</td>
<td>1.709</td>
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**Management & Budget Recommendation:**

**Exterior and Concourse Upgrades**

This project includes the renovation & re-facing the walls of the concourse. The concourse has been painted numerous times over the last 25 years. An Epoxy coating would give a new clean look and eliminate the split block look that exists now. There are many areas of the building that will not be affected by the enclosure of the front atrium. These areas will need to be renovated so they look new with the rest of the building. This work includes repairs of exterior stairs and ceilings, painted and the replace of many hollow framed metal doors and windows.

<table>
<thead>
<tr>
<th>New Project:</th>
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<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
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</tr>
<tr>
<td><strong>Year</strong></td>
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**Management & Budget Recommendation:**

**LED Expansion**

Install New LED Fascia to run all the way around the bowl. Move the back lit signs up. The fascia lights will increase the effects of the arena in the bowl and increased square footage could help increase revenues from these signs. Existing LED lights would relocate to the concourse for increased revenue. Back lit signs have been proven and long standing source of revenue and should be relocated and not replaced. Scoreboard modification would be replacing power supplies that are failing and cleaning.

<table>
<thead>
<tr>
<th>New Project:</th>
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<th>Amended Projects:</th>
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<tbody>
<tr>
<td><strong>Amended for 2020-2024 - to increase funding requirements.</strong></td>
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<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
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**Management & Budget Recommendation:**
### Garage Elevators and General Repairs

Elevators in the TUC garage have been repaired numerous times due to water infiltration. Service company has indicated the parts needed for repair are obsolete. Stairwell pans are rusting out on several stair cases. Various other repairs such as caulking is needed to divert water to drains and protect mechanical and electrical equipment as well as further deterioration.

<table>
<thead>
<tr>
<th></th>
<th>New Project:</th>
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<th>Amended Projects:</th>
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<tbody>
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<td><strong>Project Financing</strong></td>
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<tr>
<td><strong>Year</strong></td>
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<td>2020</td>
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### Main Arena Sound System

The intent is to design and install a new system. The existing system has been maintained for over 20 years. It has been maintained and still operates, but the sound quality is failing. The components are outdated and difficult to replace. There are few assisted listening devices active. The purchase of over 200 units will be needed to keep up.

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<thead>
<tr>
<th></th>
<th>New Project:</th>
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<th>Amended Projects:</th>
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<tbody>
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<td><strong>Project Financing</strong></td>
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<tr>
<td><strong>Year</strong></td>
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<td>2020</td>
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</table>

### WiFi Network

The wireless network system in the building has been pieced together over the years. The system does not have the capacity for the growing needs of the shows, media and patrons. The wired network has been upgraded. The awards of the NCAA Basketball Championship has put the need of this project to forefront to accommodate National Media needs.

<table>
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<tr>
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<th>Amended Projects:</th>
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### Arena Equipment Replacement

All equipment is at least 15 years old. Replace staging the is old and degrading. Spotlights are in need of frequent repair and replacement parts. Existing barricade lacks step to assist patrons from GA floor. The turnstiles are needed for accurate counts of patrons entering. Forklifts are up in age. The West End curtains will cover the lights in the suites for end stage shows that production often have concerns.

<table>
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</table>
Replacement of Chiller, BMS Control, Concourse heat/Fan Coil Replacement, Lighting Upgrade

Comfort Chiller is original to building construction and is past its useful life. The work for this project would also include replacement of motors, pumps, valves and suction diffusers. This work will offer significant energy reduction savings. This project is needed to run the building more efficiently and help accommodate the increased building load of the front atrium enclosure. Building sealing is needed to prevent loss of conditioned air. Concourse heat is needed rather than ambient from arena bowl. Additional BMS controls to automate more systems for energy conservation. Lighting upgrades are to replace high energy consumption bulbs.

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<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
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Management & Budget Recommendation:

Arena Floor, Kitchen Floor, Quad Stairs Refinishing and Atrium door patching

The main arena floor has sustained several significant gouges. Rebar is showing in several areas. There have been several attempts to patch, but they continue to come out. The kitchen floor has had years of wear and tear. The existing floor covering is coming up in pieces and makes the kitchen look unsanitary. The Quad stairs going to the bathrooms stick out because it sits next to the refinishing concourse and bathroom lobby floors.

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Management & Budget Recommendation:

Loading Dock Renovation

Design and construction of loading dock platforms, doors and bays. Trucks have found it increasingly more difficult to back into the dock area. The dock plates are old and in constant need of adjustment. Weather proof doorways to keep the elements out.

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<th>Amended Projects:</th>
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Management & Budget Recommendation:

Locker Room Renovations

The locker rooms are starting to look dated. They have not been renovated since 2014. Some of the rooms still have finishes from former teams. The heating and cooling in each room is controlled as one area. The modifications would allow for individual room temperature control.

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Management & Budget Recommendation:
### Additional Show Power and Transformer Replacement

Events are getting bigger and their expectations are higher. We have 2000 amps of show power. Shows often require more and they need to bring in a generator. This may make the building less desirable to put a show in than the next arena. There is available power in our switchgear, but work is needed to extend it and make it available. There are also several transformers that are over 20 years old and should be replaced before they fail.

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<th>Amended Projects:</th>
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### Low Roof Replacement

The low roof is the last of the roofs that need replacement. There have been several leaks over renovated areas that need patching. The leaks seem to be coming from age, seals coming loose and general wear and tear.

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<td>Management &amp; Budget Recommendation:</td>
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### Civic Center Capital Plan Summary: All Projects

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<th>Project Financing (in millions of dollars)</th>
<th>New Projects: 2</th>
<th>Existing Projects: 11</th>
<th>Amended Projects: 1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year</td>
<td>Pre 2019</td>
<td>2019</td>
<td>2020</td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>8.679</td>
<td>7.146</td>
<td>6.566</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>8.679</td>
<td>7.146</td>
<td>6.566</td>
</tr>
</tbody>
</table>
AFUND - General Services

Albany County Office Building Renovations
This project provides for the renovation of the Harold L. Joyce Albany County Office Building. The project includes a new roof, HVAC and electrical systems, ADA compliance, elevator modernization and various interior and exterior upgrades. This project began in the Fall of 2002 and is estimated to be complete in 2018. The project has a useful life of 20 to 30 years.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amended for 2020-2024 - to add funds and extend timelines for mechanical system upgrade.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Project Financing (in millions of dollars)

<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
<th>2024</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>19.734</td>
<td>-</td>
<td>0.750</td>
<td>0.500</td>
<td>0.500</td>
<td>-</td>
<td>-</td>
<td>21.484</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>19.734</td>
<td>-</td>
<td>0.750</td>
<td>0.500</td>
<td>0.500</td>
<td>-</td>
<td>-</td>
<td>21.484</td>
</tr>
</tbody>
</table>

Management & Budget Recommendation:

Facility Improvement Project
As part of a continuing program to maintain existing facilities, this project consists of interior painting, carpentry, HVAC modifications, departmental relocation costs, design fees, construction fit-up costs (retrofit / office buildout), moving expenses and the installation of energy management systems at various facilities. Also included are expenses for Times Union Center garage and Spruce Street garage from 2016.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amended for 2020-2024 - to reflect a new project schedule and added project costs and new funding amounts.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Project Financing (in millions of dollars)

<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
<th>2024</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>6.162</td>
<td>1.250</td>
<td>1.000</td>
<td>0.750</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>9.162</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>6.162</td>
<td>1.250</td>
<td>1.000</td>
<td>0.750</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>9.162</td>
</tr>
</tbody>
</table>

Management & Budget Recommendation:

Vehicle and Truck Replacement Project
This project would replace fleet pool vehicles in accordance with our Department Vehicle Replacement Plan. This plan would replace 11 +/- vehicles per year for the next 2 years and the vehicles being replace are 10 years old or older. This project was amended to change the completion date to 2019

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amended for 2020-2024 - to new funding amounts.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Project Financing (in millions of dollars)

<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
<th>2024</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>1.864</td>
<td>0.356</td>
<td>0.250</td>
<td>0.250</td>
<td>0.250</td>
<td>-</td>
<td>-</td>
<td>2.970</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>1.864</td>
<td>0.356</td>
<td>0.250</td>
<td>0.250</td>
<td>0.250</td>
<td>-</td>
<td>-</td>
<td>2.970</td>
</tr>
</tbody>
</table>

Management & Budget Recommendation:

County-wide Facilities Evaluation
Many of the County's facilities are aged and would benefit from a structural and engineering evaluation. The proposed evaluation will allow the County to make the best use of it's resources. This project will include evaluation and engineering fees starting in 2015, with any construction beginning in the out years.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amended for 2020-2024 - to new funding amounts.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Project Financing (in millions of dollars)

<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
<th>2024</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>1.000</td>
<td>0.350</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>1.350</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>1.000</td>
<td>0.350</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>1.350</td>
</tr>
</tbody>
</table>

Management & Budget Recommendation:
### Hockey Facility - Lockers, Bleachers, Warm Room & Turf

In 2015, the facility was renovated to address the foundation / slab as well as the size of the hockey rink and reduce it from Olympic to NHL size so that it would be more conducive to the local hockey team needs and replace an obsolete cooling system. This project would include the modification / improvement of bleachers, locker facilities, a warm room, and indoor turf.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Year</strong></td>
<td><strong>Pre 2019</strong></td>
<td><strong>2019</strong></td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>0.600</td>
<td>-</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>0.600</td>
<td>-</td>
</tr>
</tbody>
</table>

### Roof & Heating Project

This project would repair the roofs at the Cornell Co-op and the Dept of Social Services Building. It would also include the replacement of and add additional heating and cooling units at the Co-op.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Year</strong></td>
<td><strong>Pre 2019</strong></td>
<td><strong>2019</strong></td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>1.550</td>
<td>-</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>1.550</td>
<td>-</td>
</tr>
</tbody>
</table>

### Shaker Facility Complex

This project would stabilize County structures at the historic Shaker site, that the County is responsible for, including roofs.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Year</strong></td>
<td><strong>Pre 2019</strong></td>
<td><strong>2019</strong></td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>0.500</td>
<td>-</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>0.500</td>
<td>-</td>
</tr>
</tbody>
</table>

### Times Union Center Garage Egress & Parking Facility Repairs

The purpose of this project is to address the ongoing traffic issue when attending events at the Times Union Center and daily parkers by providing another egress. In addition, we will address needed parking facility repairs. We are proposing this project to alleviate the con-gested traffic concern in Downtown Albany in conjunction with the ongoing renovations at the TU Center and Civic Center. Due to the construction of the Civic Center, the TU Garage has lost its second egress point and it is imperative to develop and build another exit. This egress project has a projected completion date of 2017 while the parking facility repairs will be ongoing thru 2020.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Year</strong></td>
<td><strong>Pre 2019</strong></td>
<td><strong>2019</strong></td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>2.000</td>
<td>0.800</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>2.000</td>
<td>0.800</td>
</tr>
</tbody>
</table>
Energy Systems Upgrade

The purpose of this project is to upgrade the energy systems throughout the various County properties. Our energy systems have outlived their useful life (or are close to) and the repair costs are increasing. The completed changes will make our many structures more efficient and lower the overall operating costs. This project could also include solar systems, where feasible, along with other cost saving measures in the properties and continue to be more economical going forward.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year</td>
<td>Pre 2019</td>
<td>2019</td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>0.550</td>
<td>0.525</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>0.550</td>
<td>0.525</td>
</tr>
</tbody>
</table>

Management & Budget Recommendation:

Office Modernization & Relocation

The project will address the long term renovation of various County owned properties, including but not limited to DMV, Probation, BOE & Shaker Place, that require updating prior to the relocation of various departments. Improvements will include various upgrades such as mechanical, HVAC, chillers, electrical, roofs (when necessary), painting & carpeting, moving costs, and energy management systems. Initial expenses will be essentially centered in structural & engineering evaluations.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year</td>
<td>Pre 2019</td>
<td>2019</td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>0.500</td>
<td>2.500</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>0.500</td>
<td>2.500</td>
</tr>
</tbody>
</table>

Management & Budget Recommendation:

Youth Facility Renovation & Upgrade

The State of New York has stipulated that Albany County modify / renovate their youth facilities to be more conducive to both the age & sex of the child. Therefore, we must address revamping our facilities at DCVF as well as Family Court to comply. Initial cost estimates of this NYS reimbursable project are $6.2 million. The scope of the makeover project shall include, but are not limited to, design fees, HVAC modification, renovation and fit-up of viewing rooms & common areas, electrical, plumbing & bathroom facilities, painting & carpeting as well as furniture & fixtures. Reimbursement from NYS will be long term, most likely over a 20 year period.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year</td>
<td>Pre 2019</td>
<td>2019</td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>6.200</td>
<td></td>
</tr>
<tr>
<td>NYS Reimbursement</td>
<td>(0.310)</td>
<td>(0.310)</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>6.200</td>
<td>(0.310)</td>
</tr>
</tbody>
</table>

Management & Budget Recommendation:

Building Renovations at 175 Green St. & 240, 250 & 260 S Pearl St

As part of our continuing program to maintain existing facilities, this project addresses the building renovations at our structures located at 175 Green St., 240, 250 & 260 S. Pearl St. Improvements will primarily focus on HVAC, mechanicals, generators & energy management systems, construction fit-up costs (retro fit /office build out), design fees, office moving & relocation fees, painting & carpeting, the parking lot (paving & striping), and fencing. We anticipate this project to be completed in three to four years.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year</td>
<td>Pre 2019</td>
<td>2019</td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td></td>
<td>0.500</td>
</tr>
<tr>
<td>Total County Cost</td>
<td></td>
<td>0.500</td>
</tr>
</tbody>
</table>

Management & Budget Recommendation:
Parking Facility Repairs & Maintenance

The purpose of this project is to address the ongoing needed parking facility repairs and preservation/ upkeep to County owned garages & facilities. We are proposing this plan to address the overall concern over the needed maintenance. The project has a projected completion date of 2022.

<table>
<thead>
<tr>
<th></th>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project Financing (in millions of dollars)</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Year</td>
<td>Pre 2019</td>
<td>2019</td>
<td>2020</td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>-</td>
<td>-</td>
<td>1.500</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>-</td>
<td>-</td>
<td>1.500</td>
</tr>
</tbody>
</table>

Management & Budget Recommendation:

---

General Services Capital Plan Summary: All Projects

<table>
<thead>
<tr>
<th></th>
<th>New Projects:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2</td>
<td>8</td>
<td>3</td>
</tr>
</tbody>
</table>

Project Financing (in millions of dollars)

<table>
<thead>
<tr>
<th></th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
<th>2024</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>33.960</td>
<td>9.631</td>
<td>7.375</td>
<td>2.250</td>
<td>1.350</td>
<td>-</td>
<td>-</td>
<td>54.566</td>
</tr>
<tr>
<td>NYS Reimbursement</td>
<td>-</td>
<td>-</td>
<td>(0.310)</td>
<td>(0.310)</td>
<td>(0.310)</td>
<td>(0.310)</td>
<td>-</td>
<td>(1.240)</td>
</tr>
<tr>
<td>Total County Cost</td>
<td>33.960</td>
<td>9.631</td>
<td>7.065</td>
<td>1.940</td>
<td>1.040</td>
<td>(0.310)</td>
<td>-</td>
<td>53.326</td>
</tr>
</tbody>
</table>
**Energy Upgrade via NYSERDA Flextech Services**

This project would implement recommendations made pursuant to a New York State Energy and Research Development Authority (NYSERDA) Energy Assessment of the Albany County Correctional Facility. The assessment identified areas of potential energy savings with short term payback periods and incentive payments from NYSERDA offsetting the total cost.

<table>
<thead>
<tr>
<th>Project Financing (in millions of dollars)</th>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year</td>
<td>Pre 2019</td>
<td>2019</td>
<td>2020</td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>3.600</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Appropriations</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NYS Grant</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total County Cost</td>
<td>3.600</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

**Management & Budget Recommendation:**

---

**Switchgear Replacement**

This project will remove and replace the Facility's aging switchgear (25+) that serves the entire facility. The project will bring reliability to our power system with up to date technology and updated equipment ensuring uninterrupted services.

<table>
<thead>
<tr>
<th>Project Financing (in millions of dollars)</th>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year</td>
<td>Pre 2019</td>
<td>2019</td>
<td>2020</td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>2.700</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Appropriations</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NYS Grant</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total County Cost</td>
<td>-</td>
<td>2.700</td>
<td>-</td>
</tr>
</tbody>
</table>

**Management & Budget Recommendation:**

---

**Clarksville Public Safety Building Renovations & Upgrade**

Renovation and modification to upgrade the Public Safety Building in Clarksville, NY. This project includes modifications to the interior of the facility to maximize space and upgrade technology. This project also includes the erection of a large building to allow for the storage of numerous specialized vehicles and equipment.

Project amended for 2020-2024 - timeline start pushed out, description modified, additional funding added.

<table>
<thead>
<tr>
<th>Project Financing (in millions of dollars)</th>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year</td>
<td>Pre 2019</td>
<td>2019</td>
<td>2020</td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>-</td>
<td>2.200</td>
<td>1.500</td>
</tr>
<tr>
<td>Appropriations</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NYS Grant</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total County Cost</td>
<td>-</td>
<td>2.200</td>
<td>1.500</td>
</tr>
</tbody>
</table>

**Management & Budget Recommendation:**
911 Communication's Center & Emergency Management Relocation and Upgrade

Relocation, renovation and modification to existing space and structure, together with new construction, located at the Albany County Nursing Home, primarily the Shaker Wing located at 780 Albany Shaker Road in Albany. This project would include design, demolition, construction modifications, relocation and installation of existing communications equipment as well as the purchase of additional communications equipment which would maximize space and upgrade technology. This would afford the sheriff's office the ability to provide additional and enhanced services to the citizens of Albany County and allow for future growth and consolidation efforts.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project amended for 2019-2023 - increased cost of project and extended time line.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Project Financing (in millions of dollars)**

<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
<th>2024</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>8.000</td>
<td>1.000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>9.000</td>
<td></td>
</tr>
<tr>
<td>Appropriations</td>
<td></td>
<td>1.000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>1.000</td>
<td></td>
</tr>
<tr>
<td>Project Total</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>10.000</td>
<td></td>
</tr>
<tr>
<td>NYS Grant</td>
<td></td>
<td></td>
<td>(1.000)</td>
<td></td>
<td></td>
<td></td>
<td>(1.000)</td>
<td></td>
</tr>
<tr>
<td>Total County Cost</td>
<td>-</td>
<td>8.000</td>
<td>1.000</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>9.000</td>
</tr>
</tbody>
</table>

Management & Budget Recommendation:

**Sheriff's Capital Plan Summary: All Projects**

<table>
<thead>
<tr>
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<td>Amended Projects:</td>
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**Project Financing (in millions of dollars)**

<table>
<thead>
<tr>
<th>Year</th>
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<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
<th>2024</th>
<th>Total</th>
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<tbody>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td>3.600</td>
<td>12.900</td>
<td>2.500</td>
<td>-</td>
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<tr>
<td>Appropriations</td>
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</tr>
<tr>
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<td>-</td>
<td>-</td>
<td>-</td>
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<td>19.000</td>
</tr>
</tbody>
</table>
CR 157, SR 15S Watervliet-Shaker Road (New Karnor Rd to Sand Creek Rd) [Airport Area FGEIS]

This project is Phase 3 of the Watervliet-Shaker Road Realignment Project. The section of Watervliet-Shaker Road (WSR) included in this project extends from New Karnor Rd. to Sand Creek Rd. The project includes reconstruction and widening of approximately 0.75 miles of CR 157 which could include the addition of a center or two additional lanes (depending on traffic study outcome), a new traffic signal at the intersection of New Karnor Rd. and WSR, drainage improvements and new pavement. This last phase will complete the Albany-Shaker Rd/WSR Airport Improvement Project started in 2001.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects: 1</th>
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<tbody>
<tr>
<td>Project amended in 2020-2024 - The project was amended to reflect a new project start and project end date as well as to update the cost estimate.</td>
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**Project Financing (in millions of dollars)**

<table>
<thead>
<tr>
<th>Year</th>
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</table>

**Management & Budget Recommendation:**

CR 332 Fox Creek Road Bridge Replacement Project

Replacement of a 30'x30' twin cell concrete box culvert over the Squirmer Creek in the Town of Rensselaerville. The box culvert was built in 1951 and it NYS DOT rating is currently below the minimum acceptable level. The project also includes minor approach paving on each side of the structure. Additional money added for design of this project. The bridge is beyond its useful life.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project Financing (in millions of dollars)</td>
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<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
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<tbody>
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<tr>
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</tbody>
</table>

**Management & Budget Recommendation:**

CR 9 (Brad Hollow Road) Over Fox Creek Bridge Replacement Project

Replacement of a 156 ft. long x 32ft. wide, 3 span pre stressed concrete box beam bridge over Fox Creek in the Town of Berne. The bridge was built in 1985, and its NYS DOT rating is currently at the minimum acceptable level. The NYS DOT rating is expected to decrease despite continued maintenance and repairs. The bridge is currently been reduced in lane width due to deteriorated fascia beams.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project Financing (in millions of dollars)</td>
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<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
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<tr>
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</table>

**Management & Budget Recommendation:**
HHRT Phase I Paving Project

Pave approximately five miles of 10’ wide trail using 2” binder course asphalt and 2” top course asphalt. Subbase to be provided and installed by Albany County forces. Also included is installation of three rail wooden fencing, pedestrian fencing and misc. signage. County forces to provide shoulder backup and turf establishment.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
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</table>

| Project amended for 2019-2023 to reduce cost. |

**Project Financing (in millions of dollars)**

<table>
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<th>Year</th>
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<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
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<tr>
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<tr>
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</table>

Management & Budget Recommendation:

Highway Pavement Recycling Projects

Rehabilitation of several lane miles of County roadways by recycling pavement, re-establishing sub-base and repaving entire road. This pavement process is a very cost effective method of rehabilitating certain low traffic volume, rural County roadways.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects: 1</th>
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</thead>
</table>

**Project amended in 2020-2024 to add additional year and increase total cost.**

**Project Financing (in millions of dollars)**

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<th>Year</th>
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<th>2020</th>
<th>2021</th>
<th>2022</th>
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<td>0.950</td>
<td>0.950</td>
<td>0.950</td>
<td>0.950</td>
<td>8.800</td>
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<td>Mitigation Fees</td>
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<td>0.950</td>
<td>0.950</td>
<td>0.950</td>
<td>8.800</td>
</tr>
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</table>

Management & Budget Recommendation:

New Karner Road (NY 155) From US 20 to NY S: Corridor Improvements

The project involves pavement rehabilitation, safety improvements, signal timing updates and addition of complete streets components along New Karner Road (NY 155) from US 20 to Watervliet Shaker Road.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects: 1</th>
</tr>
</thead>
</table>

**Project amended for 2020-2024 - to reflect a new project schedule and updated project costs and new funding amounts.**

**Project Financing (in millions of dollars)**

<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
<th>2024</th>
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<td>0.920</td>
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</table>

Management & Budget Recommendation:

Vehicle and Truck Replacement

This project would replace heavy-duty trucks and equipment and light-duty pickup trucks and cars in accordance with our Department Vehicle and Equipment Replacement Plan.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects: 1</th>
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</table>

**Project amended in 2020-2024 - to reflect up to date pricing of new equipment/vehicles**

**Project Financing (in millions of dollars)**

<table>
<thead>
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<th>2020</th>
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<th>2022</th>
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<tr>
<td>Total County Cost</td>
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<td>1.100</td>
<td>1.255</td>
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<td>1.450</td>
<td>1.435</td>
<td>1.445</td>
<td>10.779</td>
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</tbody>
</table>

Management & Budget Recommendation:
**Weaver Road Bridge Rehabilitation Project**
Rehabilitation of an existing deteriorated pre-stressed concrete box beam structure over the Black Creek in the Town of Guilderland. The bridge was built in 1975 and is currently closed to traffic. It’s NYS DOT rating is currently below the minimum acceptable level. The bridge superstructure has failed and is in need of replacement.

<table>
<thead>
<tr>
<th>Project</th>
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</thead>
<tbody>
<tr>
<td><strong>Year</strong></td>
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<tr>
<td>Management &amp; Budget Recommendation:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Traffic Sign Compliance Project**
This project will ensure that the County of Albany complies with Federal and State regulations contained in the national Manual on Uniform Traffic Control Devices and the New York State Supplement to the Manual on Uniform Traffic Control Devices, primarily regarding traffic sign retro reflectivity (night-time visibility). All regulatory, warning and guide signs other than street name signs must meet minimum requirements for retro reflectivity as they are replaced. There are close to 6,000 signs on County roadways, in various conditions.

<table>
<thead>
<tr>
<th>Project</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Year</strong></td>
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<td><strong>2019</strong></td>
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<td>Total County Cost</td>
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<td>0.475</td>
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<tr>
<td>Management &amp; Budget Recommendation:</td>
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<td></td>
</tr>
</tbody>
</table>

**Various Culvert Replacement Projects**
The project includes removing existing deteriorated concrete box culverts and replacing with new pre-cast concrete box culverts at three (3) sites in the Town of Westerlo and repair to an existing concrete box culvert in the Town of New Scotland. The project also includes minor approach paving and guide rail installation.

<table>
<thead>
<tr>
<th>Project</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
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<tr>
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<tr>
<td>Total County Cost</td>
<td>0.840</td>
<td>-</td>
</tr>
<tr>
<td>Management &amp; Budget Recommendation:</td>
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</tbody>
</table>

**DPW Facilities Assessment/Building/Salt Sheds/Fuel Monitoring System**
This project is an assessment to determine the feasibility or repair/replacement of DPW facilities, buildings, salt sheds, and the fuel monitoring system. (Will also explore shared services)

<table>
<thead>
<tr>
<th>Project</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Year</strong></td>
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<td><strong>2019</strong></td>
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<tr>
<td>Mitigation Fees</td>
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<td>State Reimbursement</td>
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<tr>
<td>Federal Reimbursement</td>
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<td>1.000</td>
<td>-</td>
</tr>
<tr>
<td>Management &amp; Budget Recommendation:</td>
<td></td>
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</tr>
</tbody>
</table>
CR 11 Highway Rehabilitation Project
Rehabilitation of approximately 3/4 miles of CR11 between CR412 and NY85 in the Town of Berne. Work includes rehabilitation of roadway by recycling existing asphalt pavement and repaving with base binder and top course asphalt. Also includes modifications to intersection at NY85, replacement of deteriorated concrete box culvert and upgrades to poorly functioning drainage system. Spot full depth replacement will be required at various locations along roadway. Pavement striping will also be included.

<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
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Management & Budget Recommendation:

Heiderburg-Hudson Rail Trail Connecting Link between Phase I and Phase II
This project would include minor repairs to the New Scotland Avenue Bridge to safely accommodate pedestrians and bicyclists. It would also eliminate encroachments at a private residence and a business in the immediate area. Additional money has been added for the design of this project.

<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
<th>2024</th>
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<tr>
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</table>

Management & Budget Recommendation:

Large Culvert Replacement Project
The project includes removing large deteriorated concrete box culverts and large corrugated metal pipes and replacing with new pre-cast concrete box culverts at various sites throughout Albany county. Four sites are anticipated. Project will also include minor approach paving and guardrail installation.

<table>
<thead>
<tr>
<th>Year</th>
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<th>2019</th>
<th>2020</th>
<th>2021</th>
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</table>

Management & Budget Recommendation:

CR253 (Bozenkill Rd.) over CSX Tracks
CR253 (Bozenkill Rd.) over Rail Road Tracks (BIN 3301240) Bridge Rehabilitation Project. Rehabilitation of a pre-stressed concrete box beam superstructure and deck. Bridge was built in 1981 and is 95' long x 25' wide. Located in the Town of Knox. The bridge has a NYS DOT condition rating of 3.882 and is currently below NYS DOT acceptable standards.

<table>
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<tr>
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Management & Budget Recommendation:
**CR 404 Culvert Replacement Project**

Culvert Replacement Project CR404 between CR410 and Basic Lane. Replace existing concrete box culvert approximately 30.5’ x 12.5’ located in the Town of Westerlo. Built in 1934. The concrete box culvert is narrow and in poor shape. Because of its length, less than 20’ it is not subject to NYS DOT annual inspection. Project to include installing a wider concrete box culvert, minor approach work over both sides and new bridge rail.

<table>
<thead>
<tr>
<th>Year</th>
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<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
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<tbody>
<tr>
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<tr>
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<td>0.338</td>
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</table>

**CR 412 Culvert Replacement Project**

Culvert Replacement Project located in the Town of Westerlo on CR412. Replacement of three (3) sets of deteriorated culvert pipes. These culverts are prone to flooding and are in need of replacement. We anticipate using three (3) three sided low profile box culverts. Work would include paving and new bridge rail.

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<thead>
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<th>2019</th>
<th>2020</th>
<th>2021</th>
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<tbody>
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</table>

**CR55 (Creble Rd.) over Vlomankill Culvert Project**

CR55 (Creble Rd.) over Vlomankill Culvert Relining Project (BIN 3363610) - 3 deteriorated corrugated metal pipes built in 1976 145’ long x 35’ wide. The culverts have deteriorated to the point that rehabilitation or replacement is necessary. The cost to rehabilitate will be 3-4 times less expensive than replacement.

<table>
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<tr>
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<th>2019</th>
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<th>2021</th>
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**Gifford Hollow Rd. over Tributary to the Switzkill**

Gifford Hollow Rd. over Tributary to the Switzkill Bridge Rehabilitation Project (BIN 3300960). Replacement of a 27” x 18” concrete box culvert located in the Town of Barne. The box culvert was built in 1932 and its NYS DOT rating is approaching the minimum acceptable level. The project also includes minor approach paving on each side of the structure along with new bridge rail. The bridge is beyond its useful life.

<table>
<thead>
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<tr>
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<td>0.157</td>
<td>0.535</td>
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</tbody>
</table>

Albany County | 2020-2014 Capital Plan | 16
**Knox Cave Rd. Rehabilitation Project**

Knox Cave Rd. Rehabilitation Project - CR254 to NY157A includes replacing/rehabbing one large culvert. Mill out top and binder course asphalt approximately 4" depth. Recycle in-place base(3") course and (2"-3") of subbase and inject liquid asphalt, grade and compact. Place 21/2" binder course and 1-1/2" top course asphalt.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
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<td></td>
</tr>
<tr>
<td>Year</td>
<td>Pre 2019</td>
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<tr>
<td><strong>Total County Cost</strong></td>
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<td>0.492</td>
</tr>
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</table>

**Management & Budget Recommendation:**

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**Krumkilt Rd. Truss over Normanskill Bridge**

Krumkilt Rd. Truss over Normanskill Bridge Rehabilitation Project - B1N 3301270

Rehabilitation of a 137’ x 29’ steel truss structure. The bridge was built in 1939 and has undergone a few repairs over the past years. The bridge will be stripped and repainted along with minor repairs to the truss as part of this project. The bridge is located in the Town of New Scotland.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
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</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
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<tr>
<td>Year</td>
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<tr>
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<tr>
<td>Mitigation Fees</td>
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<tr>
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<tr>
<td>Federal Reimbursement</td>
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<tr>
<td><strong>Total County Cost</strong></td>
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</tbody>
</table>

**Management & Budget Recommendation:**

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**Lawson Lake Facility Improvements**

This project includes planning, studies, survey, mapping permitting design and construction required to update the infrastructure at Lawson Lake County Park. The project is a follow up to the Lawson Lake County Park Feasibility Study dated September 2016.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
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</tr>
<tr>
<td>Year</td>
<td>Pre 2019</td>
<td>2019</td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
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<td>0.700</td>
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<tr>
<td>Mitigation Fees</td>
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<tr>
<td>State Reimbursement</td>
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<tr>
<td>Federal Reimbursement</td>
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</tr>
<tr>
<td><strong>Total County Cost</strong></td>
<td>0.160</td>
<td>0.700</td>
</tr>
</tbody>
</table>

**Management & Budget Recommendation:**

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**Old Ravena Rd. over Coeymans Creek**

Old Ravena Road over Coeymans Creek (B1N 3301030) Bridge Rehabilitation Project. Repair/replace existing deteriorated pre-stressed concrete "t" beam structure. Bridge is 77' long by 23' wide. New bridge rail will also be installed. The bridge was built in 1975 and it NYS DOT condition rating is 4.891 and is currently below the minimum acceptable level. The NYS DOT rating is expected to decrease due to age and condition of structure.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
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</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
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<tr>
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<td>Pre 2019</td>
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**Management & Budget Recommendation:**

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Albany County | 2020-2014 Capital Plan
### Various Bridge Deck Replacement Projects


<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
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</thead>
<tbody>
<tr>
<td>Project Financing (in millions of dollars)</td>
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<tr>
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<tr>
<td>Total County Cost</td>
<td>0.435</td>
<td>1.789</td>
</tr>
</tbody>
</table>

Management & Budget Recommendation:

### CR311 and CR 303 (Beaver Dam Road) Rehabilitation Project

This project will rehabilitate 1.7 miles along CR311 from CR303 to NY157 and 2.0 miles along CR303 from CR303 to NY157A in the Towns of New Scotland and Berne. Full Depth Reclamation of 3.7 miles of existing asphalt followed by placing 3” base, 2 1/2” binder and 1 1/2” of top course asphalt over recycled roadway.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
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<tr>
<td>Year</td>
<td>Pre 2019</td>
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<td>2020</td>
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<tr>
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<tr>
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<td></td>
<td>2.300</td>
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</table>

Management & Budget Recommendation:

### 357 over Ten Mile Creek Superstructure Replacement

Superstructure replacement of CR357 over Ten Mile Creek (BIN 3301460). The existing 42' x 33' pre-stressed concrete box beam superstructure with a concrete deck was constructed in 1987. The superstructure is in need of replacement.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
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<tr>
<td>Total County Cost</td>
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</tr>
</tbody>
</table>

Management & Budget Recommendation:

### CR402 over Eight Mile Creek (BIN 3369800) Box Culvert Replacement

Replacement of Concrete Box Culvert on CR 402 over Eight Mile Creek. The NYSDOT condition rating of the structure is 4.545 which is considered deficient.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
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</thead>
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<tr>
<td>Total County Cost</td>
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</tbody>
</table>

Management & Budget Recommendation:
### CR403 over Wolf Fly Creek and CR 405 over Basic Creek Superstructure Repl.

Superstructure replacement of CR403 over Wolf Fly Creek (BIN 3301570) and CR 405 over Basic Creek (BIN 3301590). Both structures are currently rated below a 5 which is considered deficient by NYSDOT Standards.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
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</thead>
<tbody>
<tr>
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</tr>
<tr>
<td><strong>Year</strong></td>
<td><strong>Pre 2019</strong></td>
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<td>Federal Reimbursement</td>
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<tr>
<td>Total County Cost</td>
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</tbody>
</table>

### HHRT Bridge over State RTE 85

Replacement of the existing HHRT Bridge (BIN 7032650) over New Scotland Road (State RTE 85) with a pedestrian structure. The existing girder and floorbeam structure was built approximately in 1912. It is located in the Town of Bethlehem. The bridge is not currently posted as it is used for pedestrian traffic only, however advanced section loss in the many of the steel columns combined with severe impact distortion to two columns makes this bridge a candidate for replacement. The bridge also has substandard vertical clearance of 12'-2", 14' is the required minimum.

Project Amended for 2020-2024 Plan- Project start date was moved to August 2018 due to poor condition of the bridge. Changed project cost based on updated replacement estimate. Cost could be modified if rehabilitation option is chosen over replacement.

<table>
<thead>
<tr>
<th>New Project:</th>
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<tbody>
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<tr>
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<tr>
<td>County Debt (Bonds &amp; BANS)</td>
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<tr>
<td>Mitigation Fees</td>
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<tr>
<td>Federal Reimbursement</td>
<td></td>
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<tr>
<td>Total County Cost</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### DPW Facilities Improvement Project

Replacement of mechanical equipment, garage doors, entry doors, carwash updates, plumbing updates, roofing, windows, internet services, and flooring in various DPW subdivisions.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Year</strong></td>
<td><strong>Pre 2019</strong></td>
<td><strong>2019</strong></td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
<td></td>
<td></td>
</tr>
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<td>State Reimbursement</td>
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<tr>
<td>Federal Reimbursement</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total County Cost</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Replacement of Two Culverts on CR412 over Hannacrois Creek

This project will replace two sets of deteriorated culverts along CR 412 in the Town of Wasterlo. The first is a 50 foot long, 4 foot diameter corrugated metal pipe (CMP) culvert with a parallel 2 foot diameter concrete pipe culvert located just below the Bern Town line. The second are two 62 foot long 6 foot diameter reinforced concrete pipe culverts with CMP extensions located near Slade Hill Road. Both culverts will be replaced with Precast Concrete Box Culverts. Minor approach paving and new railing will be required.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Year</strong></td>
<td><strong>Pre 2019</strong></td>
<td><strong>2019</strong></td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
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<td>State Reimbursement</td>
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<td></td>
</tr>
<tr>
<td>Federal Reimbursement</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total County Cost</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### CR 108 over Tributary to Feurl Spruyt Culvert Replacement Project
This project replaces two parallel 55 foot long, 4 foot diameter corrugated metal culvert pipes with a single pre-cast concrete box culvert. The project is located in the Town of Coeymans. Minor approach work and new railing are included in the project.

<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
<th>2024</th>
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<tr>
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<td>0.175</td>
<td>0.250</td>
<td>0.425</td>
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</tbody>
</table>

Management & Budget Recommendation:

### CR 201 over Black Creek Bridge Replacement
This project will replace the 28 foot long concrete superstructure bridge over Black Creek in Guilderland. Minor approach work and new railing will be included in the project.

<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
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<th>2020</th>
<th>2021</th>
<th>2022</th>
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<td>Total County Cost</td>
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<td>1.075</td>
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</table>

Management & Budget Recommendation:

### Culvert Replacement on CR256 over Tributary to Black Creek
This project proposes to remove the existing 30 foot long, 5.33 x 7.67 foot wide corrugated metal pipe connected to a 20 foot long 5.25 x 8 foot concrete box culvert and replace it with a pre-cast concrete box culvert. The project is in the Town of Knox. It includes minor approach paving and railing.

<table>
<thead>
<tr>
<th>Year</th>
<th>Pre 2019</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
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</tbody>
</table>

Management & Budget Recommendation:

### CR352 over Fox Creek Bridge Replacement Project
This project will replace the existing 40' long A588 steel bridge over Fox Creek in the Town of Rensselaerville. Minor approach work and new railing will be included in the project.

<table>
<thead>
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<th>2020</th>
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</table>

Management & Budget Recommendation:
**CR403 over 8 Mile Creek Culvert Replacement**

This project replaces the existing triple, 60 foot long, 4 foot diameter corrugated metal pipe culverts with a single pre-cast concrete box culvert. The project is in the Town of Westerlo. Minor approach work and new railing will be included.

<table>
<thead>
<tr>
<th>Project Financing (in millions of dollars)</th>
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<tr>
<td>County Debt (Bonds &amp; BANS)</td>
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</table>

**Public Works Capital Plan Summary: All Projects**

<table>
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<tr>
<th>Project Financing (in millions of dollars)</th>
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<tbody>
<tr>
<td>Year</td>
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<td>2022</td>
<td>2023</td>
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<tr>
<td>Existing Projects:</td>
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<tr>
<td>Mitigation Fees</td>
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<tr>
<td>State Reimbursement</td>
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<td>-</td>
<td>-</td>
<td>-</td>
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<td>(1.003)</td>
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<tr>
<td>Federal Reimbursement</td>
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<td>(0.736)</td>
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<td>(3.681)</td>
<td>-</td>
<td>(5.289)</td>
</tr>
</tbody>
</table>

Albany County | 2020-2014 Capital Plan
**GFUND - Water Purification District**

### North and South Return and Waste Activated Sludge Pump Replacement Project
Replacement of 40 year old pumping equipment that is at the end of useful life which includes the return and waste activated pumping systems at both sites. The return and waste activated pump systems are integral to the secondary treatment process units. This project will also include energy efficiency components including energy efficient motors and variable frequency drives.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
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</tr>
<tr>
<td>Year</td>
<td>Pre 2019</td>
<td>2019</td>
</tr>
<tr>
<td>County Debt (Bonds &amp; BANS)</td>
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<tr>
<td>State Reimbursement</td>
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<tr>
<td>Federal Reimbursement</td>
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<tr>
<td><strong>Total County Cost</strong></td>
<td>3.280</td>
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</tr>
</tbody>
</table>

**Management & Budget Recommendation:**

### North and South Plant Electric Improvements
Upgrades/replacement of motor control centers at both North and South sites with replacement of the North Plant emergency generator and ancillary wiring and conduit upgrades.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
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</tr>
<tr>
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</tr>
<tr>
<td><strong>Total County Cost</strong></td>
<td>4.346</td>
<td></td>
</tr>
</tbody>
</table>

**Management & Budget Recommendation:**

### Anaerobic Digestion of Bio-solids / Regional Organics to Energy Facility
Design, construct and operate an anaerobic digester to energy facility at the North Plant to replace existing ultimate disposal method of sewage sludge. The facility will also be a regional source separated organic (SSO) facility. This project will replace aging equipment, generate electricity and provide a regional disposal location for SSO’s to remove organic waste from landfills. This project would be a major "green" initiative and beneficially use renewable energy resources. This project will be located at the North Plant, with related improvements at the South Plant.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project amended for 2019-2023 - updating funding sources and total cost of project.</strong></td>
<td></td>
<td></td>
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<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
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<tr>
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<tr>
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<td>Appropriations</td>
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<tr>
<td>Saratoga County, NY</td>
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<td>(2,000)</td>
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<td>State Reimbursement</td>
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</tr>
<tr>
<td><strong>Fund Balance</strong></td>
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</tr>
<tr>
<td><strong>Total County Cost</strong></td>
<td>0.161</td>
<td>1.839</td>
</tr>
</tbody>
</table>

**Management & Budget Recommendation:**
South Plant Preliminary Treatment Building Repair Project

The South plant was constructed in the early 1970's with much of the facility built on pilings. The Preliminary Treatment building, though built on bedrock, has shifted horizontally causing two major cracks in two walls supporting the concrete roof panels each weighing over 2,000 lbs. The District will perform an engineering evaluation to determine what step need to be taken to repair the building.

Amended for 2020-2024 - changed funding sources.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
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</tr>
<tr>
<td>Year</td>
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<tr>
<td>Private Investment</td>
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<tr>
<td>NYSERDA/ARRA/GIGP</td>
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</tr>
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<td>State Reimbursement</td>
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<tr>
<td>Other</td>
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<td>-</td>
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<tr>
<td>Total County Cost</td>
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</tr>
</tbody>
</table>

Management & Budget Recommendation:

Clarifier Upgrade Project

Project to include the study, design, and construction of improvements to the existing primary and secondary clarifiers at both the North and South treatment plants. The clarifiers are required for the removal of solids and are a critical process for meeting permit compliance. The majority of the clarifiers mechanical systems are original to both facilities and at the end of their useful life.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project Financing (in millions of dollars)</td>
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</tr>
<tr>
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<tr>
<td>Appropriations</td>
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<tr>
<td>Private Investment</td>
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<tr>
<td>NYSERDA/ARRA/GIGP</td>
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<tr>
<td>State Reimbursement</td>
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<td>-</td>
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<tr>
<td>Total County Cost</td>
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</tr>
</tbody>
</table>

Management & Budget Recommendation:

High Voltage Upgrade

Project to include the study, design, and construction of improvement to the high voltage electrical service equipment at both the North and South treatment plants. Electric service is critical to the operations of both facilities and the high voltage equipment is original to both facilities and at the end of its useful life.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects:</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
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</tr>
<tr>
<td>Year</td>
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<tr>
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<tr>
<td>State Reimbursement</td>
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Management & Budget Recommendation:
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<td>-</td>
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</table>
## NHFUND - Nursing Home

### Albany County Nursing Common Areas Renovations
The modernization of common areas. This would include remodeling the main bathrooms and showers to a functional level. Modernizing outer restrooms to a more appealing look and increased functionality. Replacing tables, chairs and wall coverings in common areas and to a more up to date style. Also, the remodeling of an area to an ADL (Activities of Daily Living) apartment for increased therapy billings.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
</tr>
</thead>
<tbody>
<tr>
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### Albany County Nursing Room Furnishings
The replacement of the current beds, bureaus, bedside stands, over-bed tables and high-back chairs in the resident’s personal rooms. This replacement would be to modernize the current room furnishings as many are past the end of their useful life, in disrepair or damaged, mismatched and/or outdated.

<table>
<thead>
<tr>
<th>New Project:</th>
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<tr>
<td>Total County Cost</td>
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</tbody>
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### Albany County Nursing Unit Living Areas Replacement
The modernization of resident rooms and living areas. This would include new drapes, cubicles, HVAC units, a facelift for personal bathrooms and updating the flooring on the units. Two units would be started and completed in 2015 with the four other units being completed in 2016.

<table>
<thead>
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<tbody>
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<td>Total County Cost</td>
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</table>

### Fire System and Kronos Workforce Management Upgrades
A Fire Alarm system, in working order, is required for the nursing home. The current system has many components that have reached the end of their useful lives. A modification to our existing system to a more modern one is necessary. This upgrade coincides with current capital projects that are involved in the renovation plan at the Nursing Home. This will also upgrade the system to current NFPA Standards of compliance.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
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<tbody>
<tr>
<td>Project Financing (in millions of dollars)</td>
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<td>Year</td>
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### Management & Budget Recommendation:
### Basement and Kitchen Renovation
Renovation and re-equipping of approximately 19,500 square feet of Nursing Home basement space consisting of: kitchen, dishwasher room, food storage room, boiler room, central supply, maintenance shops, compressor rooms, delivery area receiving area, dietary offices, housekeeping offices and supply and linen area.

<table>
<thead>
<tr>
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<th>Amended Projects:</th>
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<tbody>
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<tr>
<td><strong>Year</strong></td>
<td><strong>Pre 2019</strong></td>
<td><strong>2019</strong></td>
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<tr>
<td>Total County Cost</td>
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</table>

### Albany County Nursing Home Elevator Modernization
The Nursing Home elevators are original to the facility and date back to the early 1970’s when the building was first constructed. The mechanical system has reached the end of its useful life and are in need of major improvements to comply with current Department of Health regulations. Due to the age of the mechanical systems, frequent downtime of the elevators occur. The modernization will include updating the cars and the mechanical system to a compliant and reliable elevator system.

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<tr>
<th>New Project:</th>
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<th>Amended Projects:</th>
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<td><strong>Year</strong></td>
<td><strong>Pre 2019</strong></td>
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<td>-</td>
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</tbody>
</table>

### Exterior Renovations
To: a) Re-pave (asphalt) all of the parking areas and roadways of the Nursing Home and provide updated and proper lighting for those areas, b) Replace and upgrade the outdoor signage and c) Replace the entrance walkway and canopy.

<table>
<thead>
<tr>
<th>New Project:</th>
<th>Existing Projects: 1</th>
<th>Amended Projects:</th>
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<tr>
<td><strong>Project Financing (in millions of dollars)</strong></td>
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### Main Floor Renovations
Renovation of the main floor, unit hallways and resident’s outdoor area of the Nursing Home. This approximately 19,000 square feet of space contains the following functional services/areas: Physical Therapy, Occupational Therapy, Medical Records, Finance/Business, Social Work, Administration, Clinical, In-service (training), Maintenance Director, Nurse Manager, Staff Conference Room, Human Resources, Barber and Beauty Shops, Mail Room, Recreation Director and Recreation rooms, Infusion control, Lobby and 12 Bathrooms. This includes providing solaria-like inserts into the two hallways from the main floor to the North and South Wings and a Metal Sun-Protection Awning and Seating for the Resident’s Outdoor Area.

<table>
<thead>
<tr>
<th>New Project:</th>
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<th>Amended Projects:</th>
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<td><strong>Project Financing (in millions of dollars)</strong></td>
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</table>
### Renovation and Reconfiguration of North Wing (D, E & F)

Currently our resident wings D, E and F are 40 bed units and we are utilizing wing E only for residents and D and F for storage. Since all the units connect to one common area one of the three units would have no revenue value. Therefore, we are proposing to use all units for residents and through renovation increase our private rooms. The compliment would be 30 residents per unit, 8 double bedded rooms and 14 private.

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<th>New Project:</th>
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<tr>
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<tr>
<td>Total County Cost</td>
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</table>

Management & Budget Recommendation:

### Renovation of Shaker Place

Shaker Place is a 20 bed Skilled Nursing Facility wing, this unit was closed about a year ago. We are proposing that this unit be renovated as the others so all are in the same condition and can be used as needed. This unit could be used for a ventilator CON or a heavy care rehabilitation unit.

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<th>Amended Projects:</th>
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<td>Total County Cost</td>
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</table>

Management & Budget Recommendation:

### Renovation and re-purposing of South Wing (A, B & C)

In order for Units A, B, and C to have a positive economic value (revenue generating) for the Nursing Home, renovations of these units is required. These units are outdated and non-conforming. This renovation will bring the resident rooms to code requirements, allow for additional private rooms, permit the admission of higher acuity residents and create an environment that meets NYSDOH requirements.

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<tr>
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Management & Budget Recommendation:
Albany County Nursing Home Energy Upgrades

An Investment Grade Audit has been conducted by consultants retained by Albany County for the Albany County Nursing Home. This audit yielded considerable recommendations to improve the energy efficiency and the overall attractiveness of the facility. The plan includes lighting improvements, water conservation measures, improvement to the envelope of the building (windows, doors, insulation, etc.) a variety of HVAC measures and an overhaul of the kitchen. These improvements will be paid for in part by the NYSDOH Medicaid capital reimbursement methodology, lower energy costs and the possibility of NYS Energy Savings Programs. The total project cost is approximately $11 million and is part of the 2015 and 2016 Capital Plan, commencing in 2016.

Reso 18-310 An Investment Grade Audit was conducted in 2016 to determine the Energy Efficiencies. The plan included lighting improvements, water conservation measures, improvement to the envelope of the building, a variety of HVAC upgrades and an overhaul of the kitchen. Since that audit a architectural consultant and an energy efficiency expert was retained to validate the findings and issue a revised program. It was determined that upgrades or replacement of windows, boilers, HVAC, lighting, kitchen equipment, refrigerators, generators, insulation, doors, outdoor surfaces, alternative energy, circulating pipes and water conservation systems and or the purchase of new equipment were required. These energy efficiencies were incorporated into our NYSDOH CON and approved as part of the renovation and new construction project.

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<th>New Project:</th>
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Management & Budget Recommendation:

Albany County Nursing Home New Construction Project

The purpose of this project is to add an addition to the existing nursing home with approximately 125 new conforming beds, ancillary areas, resident dining and activity rooms, handicapped bathrooms and country style kitchens. This addition will be located on the same site as the existing nursing home. This project will require NYSDOH approval, architectural and engineering with drawings, land studies, surveys, subcontracting, construction management and will permit the current capital plan to be implemented that has been approved and funded by Albany County and the Legislature, however, this initiative will require additional funding. This construction project will require modifications to the existing high rise some mandated and others to accommodate alternate uses, since this part of the facility after the new construction will not be used by the nursing residents. This construction/renovation including the cost of architect, construction manager, subcontractors, HVAC, moveable equipment and non-moveable equipment under the NYSDOH capital expenditure regulations is considered reimbursable through our Medicaid Rate. A Certificate of Need application will be filed with the NYSDOH.

Reso 18-310 The purpose of this project is to add an addition to the existing nursing home with approximately 125 new conforming beds, ancillary areas, resident dining and activity rooms, handicapped bathrooms and country style kitchens. By creating this new facility that will be attached to the current nursing home we will meet the NYSDOH and ADA requirements. It has been determined that remediation of the Albany County Nursing Home is required. To perform this remediation which is outside of the original new construction and renovation project it will be necessary to remove in the existing nursing home all the of walls, partitions, showers, sinks and toilets. Through discussion with the New York State Department of Health they agreed since all resident rooms will be gutted that this new construction should be made handicap accessible and we agreed. This redesign will include the reconfiguration to accommodate a new medical record department with appropriate protected storage and a ADA staff bathing and locker facilities.

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</table>

Management & Budget Recommendation:
Albany County Nursing Home Vehicle Replacement

Albany County Nursing Home is in need of replacing our current vehicle fleet. The vehicles have been in service for over 15 years without replacement and are at end of life. It is our plan to replace the entire fleet over the next 2 years.

<table>
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<tbody>
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Management & Budget Recommendation:

Albany County Nursing Home Capital Plan Summary: All Projects

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RESOLUTION NO. 299

PUBLIC HEARING ON PROPOSED LOCAL LAW NO. “C” FOR 2019 - A LOCAL LAW OF THE COUNTY OF ALBANY AMENDING AND UPDATING LOCAL LAW NO. 2 FOR 2011 REQUIRING ITEM PRICING BY RETAIL STORES IN THE COUNTY OF ALBANY

Introduced: 7/8/19
By Messrs. Feeney and Ward:

RESOLVED, By the County Legislature of the County of Albany that a public hearing on proposed Local Law No. “C” for 2019, “A Local Law Of The County Of Albany Amending And Updating Local Law No. 2 For 2011 Requiring Item Pricing By Retail Stores In The County Of Albany” be held by the County Legislature in the William J. Conboy II Legislative Chambers, Albany County Courthouse, Albany, New York at 7:15 p.m. on Tuesday, August 27, 2019, and the Clerk of the County Legislature is directed to cause notice of such hearing to be published containing the necessary information in accordance with the applicable provisions of law.

_Referred to Law and Audit and Finance Committees – 7/8/19_
Brandon Russell, Majority Counsel
Albany County Legislature
112 State Street, Rm. 700
Albany, N.Y. 12207

Amis Zilgme, Minority Counsel
Albany County Legislature
112 State Street, Rm. 1360
Albany, N.Y. 12207

Dear Sirs:

I am requesting legislative action to further the mission of the Office of the Albany County District Attorney. The attached resolution seeks permission to:

- Accept funding from Governor’s Traffic Safety Highway Safety Program; and
- Apply and amend our budget to accept funding from NYS OVS for the Crime Victim Assistance Grant.

Attached is the request for legislative action and supporting documents. If you have any questions, please feel free to contact me at 275-4706.

Thank you for your assistance.

Sincerely,

P. David Soares
Albany County District Attorney
REQUEST FOR LEGISLATIVE ACTION

DATE: July 10, 2019

DEPARTMENT: Office of the District Attorney
Contact Person: Heather Orth
Telephone: 275 4704

Dept. Representative Attending
Committee Meeting: Heather Orth and/or David Soares, District Attorney

PURPOSE OF REQUEST:

Adoption of Local Law
Amendment of Prior Legislation
Approval/Adoption of Plan/Procedure
Bond Approval
Budget Amendment (See below)
Contract Authorization (See below) X
Environmental Impact
Home Rule Request
Property Conveyance

Other: (State briefly if not listed above) Authorization to submit an application and enter into a contract with the NYS Office of Victim Services to expand the Victim/Witness Advocate Program.

CONCERNING CONTRACT AUTHORIZATION (Cont’d)
STATE THE FOLLOWING:

Contract Terms/Conditions:
Party (Name/Address):
NYS Office of Victim Services
AE Smith Building 80 S. Swan Street, 2nd FL
Albany, NY 12210
Amount/Rate Schedule/Fee:
$139,313.95
Term: 10/1/10– 9/30/20
Scope of Services: The funds required will be used to support victims of crime in Albany County.

Contract Funding:
Anticipated in Current Budget: Yes X No
Funding Source: State Funds
County Budget Accounts:
Revenue: A9116503496
Appropriation: A91165 12237; 12262; 44038; 89010; 89030; 89060
CONCERNING ALL REQUESTS:
Mandated Program/Service: Yes ___ No X
If Mandated Cite: Authority
Anticipated in Current Adopted Budget: Yes X No ___
If yes, indicate Revenue/Appropriation Accounts:
Revenue: A9116503496
Appropriation: A91165 12237; 12262; 44038; 89010; 89030; 89060
Fiscal Impact – Funding: (Dollars or Percentages)
Federal
State 100%
County
Term/Length of Funding 10/1/19 – 9/30/20 - 12 months

Previous Requests for Identical or Similar Action:
Resolution/Law Number:
Date of Adoption:
Justification: (State briefly why legislative action is requested)
To apply for and accept the contract with the NYS Office of Victim Services for full funding for a Crime Victim Caseworker and a Crime Victim Program Coordinator along with funding for their fringe benefits.

Back-up Material Submitted:
Crime Victims Board Award Contract, budget spreadsheet

Submitted By: Heather Orth
Title: Confidential Assistant to the District Attorney
## Appropriations

<table>
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<th>RESOLUTION DESCRIPTION</th>
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<td>A9 1165</td>
<td>1 12237 002 Crime Victim Caseworker</td>
<td>10,491.00</td>
<td></td>
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<td>41,930.00</td>
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<tr>
<td>A9 1165</td>
<td>8 9060 Health and Medical Insurance</td>
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<tr>
<td>A9 1165</td>
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<td>A9 1165</td>
<td>8 9030 Social Security</td>
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<tr>
<td><strong>TOTAL APPROPRIATIONS</strong></td>
<td><strong>34,876.83</strong></td>
<td><strong>0.00</strong></td>
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</table>

## Estimated Revenues

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<tr>
<th>ACCOUNT N</th>
<th>RESOLUTION DESCRIPTION</th>
<th>DECREASE</th>
<th>INCREASE</th>
<th>UNIT COST</th>
<th>DEPARTMENT NAME</th>
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<tbody>
<tr>
<td>A9 1165</td>
<td>0 3496 Victims Assistance Grant</td>
<td></td>
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<td>34,876.83</td>
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</tr>
</tbody>
</table>

| **TOTAL ESTIMATED REVENUES** | **0.00** | **34,876.83** |

| **GRAND TOTALS** | **34,876.83** | **34,876.83** |
June 28, 2019

Albany County Of (District Attorney)
6 LODGE STREET
ALBANY, NY 12207

RE: VOCA Grant Program Application Number OVS01-VOCA-2019-00032

Funding Source: Federal VOCA Victim and Witness Assistance Grant Program

Dear Applicant:

It is my pleasure to inform you that the New York State Office of Victim Services (OVS) has awarded your Victim Assistance Program funding for the period October 1, 2019 through September 30, 2022 under the Victim and Witness Assistance Grant Program. Your tentative award amounts are:

- 2019-2020 $139,313.95
- 2020-2021 $142,100.24
- 2021-2022 $144,942.24

All award amounts are tentative pending successful contract negotiations and are dependent on the availability of funds. Funding is not guaranteed.

The response to this RFA was overwhelming, with requests for funding far exceeding the amount specified as available. Given this significant demand, OVS identified additional funding. Even with that increase, however, the agency could not bridge the gap between the funding available and funding requested by victim assistance programs. To address this, OVS reduced all awards to ensure sufficient funding for the contract period and access to victim services throughout the state.
Also, please note:

OVS has the funding to meet the three-year commitments outlined in this letter. However, we think it prudent to mention that the level of funding of federal Victim of Crime Act (VOCA) funds in the future is unclear. There are currently no large deposits for the fund on the horizon and we anticipate that future federal VOCA awards may not be at the extraordinarily high levels OVS has received since 2015. As the federal funding picture becomes more certain, we will continue to keep OVS grant recipients informed about anticipated future funding levels. However, grant recipients should be mindful that should federal funding levels be reduced, OVS may have to opt not to extend these three-year contracts for the two-year renewal option. If federal awards in the future are significantly lower, we would likely have to issue a new RFA for a significantly reduced amount compared to amounts awarded under this procurement. Grant recipients will be given ample warning of future funding levels, but we wanted to ensure that you knew the potential exists that funding levels for victim assistance programs may be very different by October 1, 2022.

Included with this letter is a contract checklist to assist you with finalizing your grant. Additional OVS documents required to execute your agency’s grant contract can be found on the OVS website OVS.ny.gov. Completed documents should be uploaded into the Grants Gateway in either the Pre-Submission Uploads or Grantee Document folders. Feel free to contact your OVS Contract Management Specialist Nancy Sniffen at Nancy.Sniffen@ovs.ny.gov or 518-474-9223 should you need assistance.

Please submit all the required documents and make all budget adjustments by the close of business on July 12, 2019. Your agency’s submission will be considered complete when it contains all the items on the attached checklist.

On behalf of the Office of Victim Services and the Grants Unit, we look forward to continuing our shared efforts to serve innocent victims of crime in New York State.

Sincerely,

Elizabeth Cronin, Esq.
Director
June 25, 2019

Honorable Andrew Joyce, Chairman
Albany County Legislature
Legislative Clerk’s Office
112 State Street, Room 710
Albany, New York 12207

Dear Chairman Joyce:

The attached correspondence is forwarded for presentation to the Albany County Legislature.

Legislative approval is required in order to allow Albany County to enter into a grant contract with the State of New York, Division of Homeland Security and Emergency Services for the 2019 State Homeland Security Program, State Law Enforcement Terrorism Prevention Program.

This grant program does not include an application process, but rather is a result of a specific amount of funds automatically designated for distribution to the Urban Area Work Group (UAWG) of Albany, Schenectady and Rensselaer Counties.

The grant funds awarded to the Albany County Sheriff’s Office is $151,400.00, with no match. These grant funds will be utilized to enhance/maintain the Albany County Interoperable communications system through the purchase of radio equipment for the 800 mhz Interoperable Communications project and to purchase equipment to strengthen our Law Enforcement Counter Terrorism/Search and Rescue capabilities.

The grant performance period is September 1, 2019 to August 31, 2022.

This grant application was authorized under resolutions 19-245.

Should there be any questions, do not hesitate to call.

Sincerely,

CRAIG D. APPLE, SR.
SHERIFF

Att.
Cc: Hon. Daniel P. McCoy, County Executive
    Hon. Paul Miller, Public Safety Chairman
    Hon. Wanda Willingham, Audit and Finance Committee
    Kevin Cannizzaro, Esq., Majority Counsel
    Hon. Arnis Zilgme, Esq., Minority Counsel
REQUEST FOR LEGISLATIVE ACTION

DATE: JUNE 25, 2019

DEPARTMENT: ALBANY COUNTY SHERIFF'S OFFICE

CONTACT PERSON: SHERIFF CRAIG D APPLE SR
TELEPHONE: 518-447-5440
DEPT. REPRESENTATIVE ATTENDING COMMITTEE MEETING: SHERIFF CRAIG D APPLE SR

PURPOSE OF REQUEST:
ADOPTION OF LOCAL LAW
AMENDMENT OF PRIOR LEGISLATION
APPROVAL/ADOPTION OF PLAN/PROCEDURE
BOND APPROVAL
BUDGET AMENDMENT (SEE BELOW)
CONTRACT AUTHORIZATION (SEE BELOW)
ENVIRONMENTAL IMPACT
HOME RULE REQUEST
PROPERTY CONVEYANCE
OTHER: (STATE BRIEFLY IF NOT LISTED ABOVE)

X

WE ARE LOOKING TO ACCEPT AND ENTER INTO AN AGREEMENT WITH NYS DIVISION OF HOMELAND SECURITY FOR A GRANT IN THE AMOUNT OF $151,400 TO BE USED TOWARD OUR INTEROPERABLE RADIO PROJECT AND TO STRENGTHEN OUR SECURITY NEEDS.

ALSO WE NEED THE ATTACHED 2019 BUDGET AMENDMENT PASSED.

CONCERNING BUDGET AMENDMENTS
STATE THE FOLLOWING:
INCREASE ACCOUNT/LINE NO.
SOURCE OF FUNDS:
TITLE CHANGE:

SEE ATTACHED BUDGET AMENDMENT
NYS OFFICE OF HOMELAND SECURITY.

CONCERNING CONTRACT AUTHORIZATION,
STATE THE FOLLOWING:
TYPE OF CONTRACT
CHANGE ORDER/CONTRACT AMENDMENT
PURCHASE (EQUIPMENT/SUPPLIES)
LEASE (EQUIPMENT/SUPPLIES)
REQUIREMENTS
PROFESSIONAL SERVICES
EDUCATIONAL/TRAINING
GRANT:
NEW
RENEWAL
X
SUBMISSION DEADLINE DATE

SETTLEMENT OF A CLAIM
RELEASE OF LIABILITY
OTHER: (STATE BRIEFLY)
CONCERNING CONTRACT AUTHORIZATION (CONT'D)

STATE THE FOLLOWING:

CONTRACT TERMS/CONDITIONS:  PARTY (NAME/ADDRESS):
Program Representative  Shelley Wahrlich
State Homeland Security Grant Program
1220 Washington Ave, State Office Bldg Campus, Bldg 7A, Albany 12242

AMOUNT/RATE SCHEDULE/FEES:  $151,400.00  ALBANY COUNTY SHERIFFS OFFICE

TERM:  9/1/2019-8/31/2022
SCOPE OF SERVICES:  TO HELP FURTHER DEVELOP THE ALBANY COUNTY INTEROPERABLE
COMMUNICATIONS SYSTEM AND ENHANCE OUR SECURITY FOOTPRINT.

CONTRACT FUNDING:
ANTICIPATED IN CURRENT BUDGET:  YES  NO  X
FUNDING SOURCE:  NYS DIVISION OF HOMELAND SECURITY

COUNTY BUDGET ACCOUNTS:
REVENUE:  A3110.03306 (HOMELAND SECURITY)
APPROPRIATION:  A93110.22100 (COMMUNICATIONS EQUIPMENT)

CONCERNING ALL REQUESTS:
MANDATED PROGRAM/SERVICE:  YES  NO  X
IF MANDATED CITE AUTHORITY
ANTICIPATED IN CURRENT ADOPTED BUDGET:  YES  NO  X
IF YES, INDICATE REVENUE APPROPRIATION ACCOUNTS:

FISCAL IMPACT - FUNDING:  (DOLLARS OR PERCENTAGES)
FEDERAL
STATE  100%
COUNTY
TERM/LENGTH OF FUNDING

PREVIOUS REQUESTS FOR IDENTICAL OR SIMILAR ACTION:
RESOLUTION/LAW NUMBER:  19-245 (COPY ATTACHED)
DATE OF ADOPTION:  6/10/2019

JUSTIFICATION:  (STATE BRIEFLY WHY LEGISLATIVE ACTION IS REQUESTED)

GRANT FUNDS WILL BE UTILIZED TO HELP FUND OUR INTEROPERABLE RADIO PROJECT THROUGH
THE PURCHASE OF RADIO EQUIPMENT AND ACCESSORIES. ALSO, THERE ARE NO MATCHING FUNDS
REQUIRED. THE APPLICATION FOR THIS GRANT WAS APPROVED ON 6/10/19 VIA RES. 245
BACK-UP MATERIAL SUBMITTED  (I.E. APPLICATION/APPROVAL NOTICES FROM FUNDING SOURCE,
BID TABULATION SHEET, CIVIL SERVICE APPROVAL NOTICE, PROGRAM ANNOUNCEMENT, CONTRACTS
AND/OR ANY MATERIALS WHICH EXPLAIN OR SUPPORT THE REQUEST FOR LEGISLATIVE ACTION.)

BUDGET AMENDMENT FOR 2019

SUBMITTED BY:  CRAIG D APPLE SR
TITLE:  SHERIFF
## 2019 BUDGET AMENDMENT

<table>
<thead>
<tr>
<th>RESOLUTION NO. BTCH</th>
<th>ACCOUNT NO.</th>
<th>RESOLUTION DESCRIPTION</th>
<th>INCREASE</th>
<th>DECREASE</th>
<th>ACTION</th>
</tr>
</thead>
<tbody>
<tr>
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<td></td>
<td>COMMUNICATION EQUIPMENT</td>
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<td>CREATE</td>
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<td>SECURITY EQUIPMENT</td>
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<td><strong>TOTAL APPROPRIATIONS</strong></td>
<td>151,400.00</td>
<td>0.00</td>
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### REVENUES

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<tr>
<th>RESOLUTION NO. BTCH</th>
<th>ACCOUNT NO.</th>
<th>RESOLUTION DESCRIPTION</th>
<th>DECREASE</th>
<th>INCREASE</th>
<th>ACTION</th>
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<tr>
<td>A3 3110 03306 LET19</td>
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<td>HOMELAND SECURITY</td>
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<td><strong>TOTAL REVENUES</strong></td>
<td>0.00</td>
<td>151,400.00</td>
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<tr>
<td></td>
<td></td>
<td><strong>GRAND TOTALS</strong></td>
<td></td>
<td>151,400.00</td>
<td></td>
</tr>
</tbody>
</table>

Attn: Comptroller's Office - new project code - LET19 (Description LAW ENFORCEMENT TERRORISM PREVENTION PROGRAM)
## Budget Summary by Participant

**Albany County**

**Albany County Sheriffs Office - Version 1**

<table>
<thead>
<tr>
<th>#</th>
<th>Equipment</th>
<th>AEL</th>
<th>Number</th>
<th>Unit Cost</th>
<th>Total Cost</th>
<th>Grant Funds</th>
<th>Matching Funds</th>
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<tbody>
<tr>
<td>1</td>
<td>Unmanned Aerial System (Requires DHS approval prior to purchase)</td>
<td>03OE-07-SUAS</td>
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<td>$30,200.00</td>
<td>$30,200.00</td>
<td>$30,200.00</td>
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<tr>
<td>2</td>
<td>P25 mobile/portable radios and repeaters (and related items)</td>
<td>06CP-01-PORT</td>
<td>1</td>
<td>$121,200.00</td>
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<td><strong>Total</strong></td>
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<td><strong>$151,400.00</strong></td>
<td><strong>$151,400.00</strong></td>
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</table>

### Total Project Costs

<table>
<thead>
<tr>
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<th>Total Cost</th>
<th>Grant Funds</th>
<th>Matching Funds</th>
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<tr>
<td><strong>Total</strong></td>
<td><strong>$151,400.00</strong></td>
<td><strong>$151,400.00</strong></td>
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</table>

### Total Contract Costs

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<tr>
<td><strong>Total</strong></td>
<td><strong>$151,400.00</strong></td>
<td><strong>$151,400.00</strong></td>
<td><strong>$0.00</strong></td>
</tr>
</tbody>
</table>
Work Plan

Goal
Prevent terrorist attacks; protect the people of New York, our critical infrastructure and key resources; prepare to respond to and recover from terrorist attacks.

Objective #1

**Investment Justification** - Strengthen Counter-Terrorism and Law Enforcement Capabilities

**NYS Critical Capability**
Primary - Interoperable and Emergency Communications

Adopt and implement law enforcement information technology systems that build law enforcement counter-terrorism capabilities.

**Task #1 for Objective #1**
Purchase allowable interoperable communications equipment (mobile/portable radios). Train appropriate personnel in the proper use of the equipment and place the equipment into service.

# Performance Measure
Identify equipment ordered and received. Provide a brief narrative on the training of personnel and the deployment of equipment. Describe how the project enhanced interoperable communication capabilities in the jurisdiction. Equipment accountability records are properly maintained. Provide explanation if equipment is received but not deployed, and include deployment plans as appropriate.

Objective #2
**G & T Workplan Code** - 30. Enhance capabilities to respond to all-hazards events.

**Investment Justification** - Strengthen Counter-Terrorism and Law Enforcement Capabilities

**NYS Critical Capability**
Primary - Law Enforcement Counter-Terrorism Operations

The development, sustainment and/or enhancement of specialized response team assets.

**Task #1 for Objective #2**
Purchase allowable intelligence gathering equipment (Unmanned Aerial System). Train appropriate personnel in the proper use of the equipment and place the equipment into service.

# Performance Measure
Identify equipment ordered and received. Provide a brief narrative on the training of personnel and the deployment of equipment. Describe how the project enhanced intelligence gathering capabilities in the jurisdiction. Equipment accountability records are properly maintained. Provide explanation if equipment is received but not deployed, and include deployment plans as appropriate.
July 1, 2019

Honorable Andrew Joyce, Chairman
Albany County Legislature
Legislative Clerk’s Office
112 State Street, Room 710
Albany, New York 12207

Dear Chairman Joyce:

The attached correspondence is forwarded for presentation to the Albany County Legislature.

Legislative approval is requested authorizing a transfer of funds and budget amendment. We are requesting to transfer insurance recovery funds in the amount of $26,404.50 from our unclassified revenue line into our automobile line and auction funds of $17,450.00 into our specialty equipment line. A budget amendment is attached for your review.

Should there be any questions, do not hesitate to call.

Sincerely,

Craig D. Apple, Sr.
Sheriff

Att.
Cc: Hon. Daniel P. McCoy, County Executive
Hon. Paul Miller, Public Safety Chairman
Hon. Wanda Willingham, Audit and Finance Committee
Kevin Cannizzaro, Esq., Majority Counsel
Hon. Arnis Zilgme, Esq., Minority Counsel
REQUEST FOR LEGISLATIVE ACTION

DATE: JUNE 28, 2019

DEPARTMENT: ALBANY COUNTY SHERIFF'S DEPT

CONTACT PERSON: CRAIG D APPLE SR
TELEPHONE: 487-5440

DEPT. REPRESENTATIVE ATTENDING COMMITTEE MEETING: SHERIFF CRAIG D APPLE SR

PURPOSE OF REQUEST:
ADOPTION OF LOCAL LAW
AMENDMENT OF PRIOR LEGISLATION
APPROVAL/ADOPTION OF PLAN/PROCEDURE
BOND APPROVAL
BUDGET AMENDMENT (SEE BELOW)
CONTRACT AUTHORIZATION (SEE BELOW) X
ENVIRONMENTAL IMPACT
HOME RULE REQUEST
PROPERTY CONVEYANCE
OTHER: (STATE BRIEFLY IF NOT LISTED ABOVE)

THE SHERIFF'S OFFICE IS REQUESTING A BUDGET AMENDMENT FOR 2019 IN ORDER TO MOVE FUNDS FROM THE UNCLASSIFIED REVENUE LINE INTO OUR AUTOMOBILE LINE AND SPECIALTY EQUIPMENT LINE.

CONCERNING BUDGET AMENDMENTS
STATE THE FOLLOWING:
INCREASE ACCOUNT/LINE NO. A93110.22400 & A93110.22080
SOURCE OF FUNDS:
UNCLASSIFIED REVENUE

CONCERNING CONTRACT AUTHORIZATION,
STATE THE FOLLOWING:
TYPE OF CONTRACT
CHANGE ORDER/CONTRACT AMENDMENT
PURCHASE (EQUIPMENT/SUPPLIES)
LEASE (EQUIPMENT/SUPPLIES)
REQUIREMENTS
PROFESSIONAL SERVICES
EDUCATIONAL/TRAINING
GRANT: NEW
RENEWAL
SUBMISSION DEADLINE DATE
SETTLEMENT OF A CLAIM
RELEASE OF LIABILITY
OTHER: (STATE BRIEFLY)
CONCERNING CONTRACT AUTHORIZATION (CONT'D)

STATE THE FOLLOWING:

CONTRACT TERMS/CONDITIONS: ____________________________

PARTY (NAME/ADDRESS):

_____________________________________________________

AMOUNT/RATE SCHEDULE/FEES:

TERM:

SCOPE OF SERVICES:

_____________________________________________________

CONTRACT FUNDING:

ANTICIPATED IN CURRENT BUDGET: YES _____ NO _____

FUNDING SOURCE: ____________________________

COUNTY BUDGET ACCOUNTS: ____________________________

REVENUE:

APPROPRIATION:

BOND(RES. NO. & DATE OF ADOPTION)

CONCERNING ALL REQUESTS:

MANDATED PROGRAM/SERVICE: YES _____ NO _____ X

IF MANDATED CITE AUTHORITY

ANTICIPATED IN CURRENT ADOPTED BUDGET: YES _____ NO _____ X

IF YES, INDICATE REVENUE APPROPRIATION ACCOUNTS:

_____________________________________________________

FISCAL IMPACT - FUNDING: ____________________________ (DOLLARS OR PERCENTAGES)

FEDERAL

STATE

COUNTY 100%

TERM/LENGTH OF FUNDING ____________________________

PREVIOUS REQUESTS FOR IDENTICAL OR SIMILAR ACTION:

RESOLUTION/LAW NUMBER: 53 OF 2018

DATE OF ADOPTION: 2/12/2018

JUSTIFICATION: (STATE BRIEFLY WHY LEGISLATIVE ACTION IS REQUESTED)

TO TRANSFER INSURANCE RECOVERY FUNDS- DEPOSITED 3/25/19, $ 26,404.50

FROM UNCLASSIFIED REVENUE LINE INTO AUTOMOBILE LINE.

AND ALSO PROCEEDS FROM AUCTION, DEPOSITED 6/25/19, $ 17,450.00 INTO OUR

SPECIALTY EQUIPMENT LINE.

BACK-UP MATERIAL SUBMITTED (I.E. APPLICATION/APPROVAL NOTICES FROM FUNDING SOURCE,

BID TABULATION SHEET, CIVIL SERVICE APPROVAL NOTICE, PROGRAM ANNOUNCEMENT, CONTRACTS

AND/OR ANY MATERIALS WHICH EXPLAIN OR SUPPORT THE REQUEST FOR LEGISLATIVE ACTION.)

_____________________________________________________

_____________________________________________________

SUBMITTED BY: CRAIG D APPLE SR

TITLE: SHERIFF
<table>
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<tr>
<th>RESOLUTION NO.</th>
<th>BTCH</th>
<th>ACCOUNT NO.</th>
<th>RESOLUTION DESCRIPTION</th>
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<td>2080</td>
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<td>TOTAL APPROPRIATIONS</td>
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<th>RESOLUTION DESCRIPTION</th>
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<td>02770</td>
<td>OTHER UNCLASSIFIED REVENUE</td>
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<td>GRAND TOTALS</td>
<td>43,854.50</td>
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</tr>
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</table>
July 3, 2019

Honorable Andrew Joyce, Chairman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairman Joyce:

Enclosed is a Request for Legislative Action (RLA) for the August meeting of the Legislature. Albany County Dept of Health (ACDOH) requests to amend the 2019 budget to add two (2) personnel lines and to increase one (1) salary line in accordance with the CSEA bargaining agreement. The department requires a Disease Intervention Specialist 2 (DIS2) position to provide oversight of the Disease Intervention Specialist (DIS) /Partner Services (PS) and Expanded Partner Services (EXP) grant programs awarded by the New York State Department of Health. This position is essential to meeting the grant deliverables and to ensuring timely treatment of individuals with Sexually Transmitted Diseases and linking partners to testing services. In addition, the DIS2 will coordinate with staff to ensure individuals reported as out of care for HIV, are reconnected to health services.

ACDOH is also in need of an Associate Public Health Planner position to provide both public health emergency preparedness and performance management duties. The Associate Public Health Planner will be responsible for coordinating the department’s training program ensuring conformity with public health emergency preparedness grant deliverables, Public Health Accreditation requirements and NYSDOH regulations. In addition, this position will track and monitor all aspects of the department’s performance management program.

ACDOH will be proposing to re-appropriate funds from two positions in the 2020 budget as well as applying grant funding and state aid reimbursement to these positions.

Please don’t hesitate to contact me if you have any questions or need additional information.

Sincerely,

Elizabeth F. Whalen, MD, MPH
Commissioner of Health

CC: Hon. Dennis Feeney, Majority Leader
    Hon. Frank A. Mauriello, Minority Leader
    Kevin Cannizzaro, Majority Counsel
    Minority Counsel
REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):
Request to Amend the 2019 Health Department Budget

Date: 07/02/2019
Submitted By: Dr. Elizabeth Whalen
Department: Health
Title: Commissioner of Health
Phone: 518-447-4584
Attending Meeting: Dr. Elizabeth Whalen

Purpose of Request:
☐ Adopting of Local Law
☐ Amendment of Prior Legislation
☐ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☒ Budget Amendment
☐ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQR
☐ Home Rule Request
☐ Property Conveyance
☐ Other: (state if not listed)

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
☐ Contractual
☐ Equipment
☐ Fringe
☒ Personnel
☐ Personnel Non-Individual
CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☐ Grant

Choose an item.
Submission Date Deadline Click or tap to enter a date.
☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names_addresses):
Click or tap here to enter text.

Amount.Raise Schedule/Fee: $37897
Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☐
If Mandated Cite Authority:
Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☐ No ☐
Anticipated in Current Budget: Yes ☐ No ☐

County Budget Accounts:
Revenue Account and Line: Click or tap here to enter text.
Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: various lines
Appropriation Amount: $37,897

Source of Funding - (Percentages)
Federal: Click or tap here to enter text.
State: Click or tap here to enter text.
County: 100%
Local: Click or tap here to enter text.

Term
Term: (Start and end date) Click or tap here to enter text.
Length of Contract: Click or tap here to enter text.

Impact on Pending Litigation
Yes ☐ No ☐

If yes, explain:
Click or tap here to enter text.

Previous requests for Identical or Similar Action:
Resolution/Law Number: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)
Albany County Dept of Health (ACDOH) requests to amend the 2019 budget to add two (2) personnel lines and to increase one salary line in accordance to the CSEA bargaining agreement. The department requires a Disease Intervention Specialist 2 (DIS2) position to provide oversight of the Disease Intervention Specialist (DIS) /Partner Services (PS) and Expanded Partner Services (EXP) grant programs awarded by the New York State Department of Health. This position is essential to meeting the grant deliverables and to ensuring timely treatment of individuals with Sexually Transmitted Diseases and linking partners to testing services. In addition, the DIS2 will coordinate with staff to ensure individuals reported as out of care for HIV, are reconnected to health services.

ACDOH is also in need of an Associate Public Health Planner position to provide both public health emergency preparedness and performance management duties. The Associate Public Health Planner will be responsible for coordinating the department’s training program ensuring conformity with public health emergency preparedness grant deliverables, Public Health Accreditation requirements and NYSDOH regulations. In addition, this position will track and monitor all aspects of the department’s performance management program.

ACDOH will be proposing to re-appropriate funds from two positions in the 2020 budget as well as applying grant funding and state aid reimbursement to these positions.
<table>
<thead>
<tr>
<th>ACCOUNT NO.</th>
<th>REVENUE DESCRIPTION</th>
<th>UNIT COST</th>
<th>INCREASE</th>
<th>DECREASE</th>
<th>APPOINTMENTS</th>
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<tr>
<td>4010 1 6302</td>
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<td>$3,107.00</td>
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<td>$5,000.00</td>
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**TOTAL APPROPRIATIONS**

<table>
<thead>
<tr>
<th>DEPARTMENT NAME</th>
<th>REVENUE DESCRIPTION</th>
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<tbody>
<tr>
<td>Health Dept.</td>
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<tr>
<td>Health Dept.</td>
<td></td>
</tr>
</tbody>
</table>

**TOTAL ESTIMATED REVENUES**

| GRAND TOTALS | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 |

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<th>ACCOUNT NO.</th>
<th>REVENUE DESCRIPTION</th>
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<td></td>
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**TOTAL ESTIMATED REVENUES**

| GRAND TOTALS | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 | 37,897.00 |

**TOTAL APPROPRIATIONS**

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<td>Health Dept.</td>
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</table>
July 3, 2019

Honorable Andrew Joyce, Chairman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairman Joyce:

Enclosed is a Request for Legislative Action (RLA) for the August meeting of the Legislature. Albany County Dept of Health has been notified of available grant funding for the Overdose Data to Action (OD2A) program. Grant funding was provided to local health departments in order to implement activities in four (4) general categories.

- Integrate and empower local prevention efforts
- Build capacity for more effective & sustainable local prevention & response efforts
- Implement prevention and response strategies
- Innovation project

ACDOH is requesting approval to contract with NYSDOH and amend the 2019 Health Dept budget. We are requesting an Epidemiologist position to be added to the budget which will be funded 60% from the OD2A funds. This position will provide epidemiological services in support of addressing chronic disease prevention and opioid use disorder(s). The remaining grant funds will be placed in the 2020 Health Dept budget.

Please don’t hesitate to contact me if you have any questions or need additional information.

Sincerely,

Elizabeth F. Whalen, MD, MPH
Commissioner of Health

cc:  Hon. Dennis Feeney, Majority Leader
      Hon. Frank A. Mauriello, Minority Leader
      Kevin Cannizzaro, Majority Counsel
      Minority Counsel

Enclosures
REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):
Request to contract with NYS Dept of Health/HRI for the Overdose Data to Action Grant and amend the 2019 Health Dept Budget

Date: 07/02/2019
Submitted By: Dr. Elizabeth Whalen
Department: Health
Title: Commissioner of Health
Phone: 518-447-4584
Department Rep.: Dr. Elizabeth Whalen
Attending Meeting: Dr. Elizabeth Whalen

Purpose of Request:

☐ Adopting of Local Law
☐ Amendment of Prior Legislation
☐ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☒ Budget Amendment
☒ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQR
☐ Home Rule Request
☐ Property Conveyance
☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
☒ Contractual
☐ Equipment
☒ Fringe
☒ Personnel
CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☒ Grant

New
Submission Date Deadline Click or tap to enter a date.
☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
NYS DOH/Health Research Inc.
150 Broadway
Menands, NY 12204

Additional Parties (Names(addresses)):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.
Scope of Services:
Overdose Data to Action is 3-year funding that focuses on the complex and changing nature of the opioid overdose epidemic and highlights the need for an interdisciplinary, comprehensive, and cohesive public health approach. These funds will support states, territories, cities, and counties in obtaining high quality, more comprehensive, and timelier data on overdose morbidity and mortality, and use those data to inform prevention and response efforts.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.
## Mandated Program/Service:
Yes ☐ No ☒

If Mandated Cite Authority:  
Click or tap here to enter text.

## Is there a Fiscal Impact:
Yes ☒ No ☐

## Anticipated in Current Budget:
Yes ☐ No ☒

### County Budget Accounts:
- **Revenue Account and Line:** A4010.0.4325
- **Revenue Amount:** $37,975
- **Appropriation Account and Line:** Various lines
- **Appropriation Amount:** $37,975

### Source of Funding - (Percentages)
- **Federal:**  
  Click or tap here to enter text.
- **State:** 100%
- **County:**  
  Click or tap here to enter text.
- **Local:**  
  Click or tap here to enter text.

## Term
- **Term: (Start and end date):** 9/1/2019 - 8/31/22
- **Length of Contract:** 36 months

### Impact on Pending Litigation
- **Yes ☐ No ☒**  
  Click or tap here to enter text.

### Previous requests for Identical or Similar Action:
- **Resolution/Law Number:**  
  Click or tap here to enter text.
- **Date of Adoption:**  
  Click or tap here to enter text.

**Justification:** (state briefly why legislative action is requested)

Albany County Dept of Health has been notified of available grant funding for the Overdose Data to Action (OD2A) program. Grant funding was provided to local health departments in order to implement activities in four (4) general categories:

- Integrate and empower local prevention efforts
- Build capacity for more effective & sustainable local prevention & response efforts
- Implement prevention and response strategies
- Innovation project

ACDOH is requesting approval to contract with NYSDOH and amend the 2019 Health Dept budget. We are requesting an Epidemiologist position to be added to the budget which will be funded 60% from the OD2A funds. This position will provide epidemiological services in support of addressing chronic disease prevention and opioid use disorder(s). The remaining grant funds will be placed in the 2020 Health Dept budget.
June 24, 2019

Shanna F. Witherspoon, MPA  
Assistant Commissioner for Finance and Administration  
Albany County Department of Health  
175 Green Street  
Albany, NY 12202  
Shanna.Witherspoon@albanycountyny.gov

Re: Overdose Data to Action – Contract Award

Ms. Witherspoon:

This letter certifies that the New York State Department of Health/Health Research Inc. intends to award Albany County Department of Health $72,000 for Overdose Data to Action activities.

The funds will be awarded through a contractual agreement with Health Research Inc. for the period of 09/01/19 to 08/31/20.

Sincerely,

Christopher Belden  
Health Program Administrator  
Grants Administration  
Office of Public Health
Dear Local Health Partners,

We are pleased to inform you that a new annual contract for LHD opioid prevention and response will begin on September 1, 2019 in the amount of $72,000. This new CDC initiative is called Overdose Data to Action or OD2A. The contractual agreement will be executed with Health Research Inc. (HRI) for the period of 9/1/19 – 8/31/20.

Below is a list of attachments to assist with the development of your work plan and budget for the new period.

- Subrecipient Form
- Work Plan Template
- Strategies and Activities Table
- Budget Template (Excel)
- Budget Reminders and Requirements

Work Plan
- Use the Work Plan template provided in this email.
- Refer to the Strategies and Activities Table to plan your Year 1 Work Plan.
- Communicate often and confirm with your Region Lead which strategies/activities you propose to implement in Year 1.

Budget
- Use the Excel template provided in this email. The first tab of the template includes instructions. Please do not use versions from other programs or old versions of the template, as recent changes have been made.
- This contract will include $100,000 in placeholder funding in the ‘Restricted’ budget category. The placeholder funding will allow us to quickly award additional funds, if they should become available. This contract will have a maximum reimbursable amount equal to your total award of $72,000.
- To avoid having your budget returned, please review the Budget Reminders and Requirements document. This provides important information regarding changing requirements and suggestions for avoiding issues when developing your budget.

Please return the completed subrecipient, work plan and budget documents electronically to grants@health.ny.gov as soon as possible, but no later than 7/12/19. If you are unable to meet this deadline, please notify us at grants@health.ny.gov. A webinar will be held on Monday, June 17th at 11am to provide more details regarding the suggested activities. The webinar details will be sent via a separate email invitation. Thank you all of your work to date. We look forward to continuing our collaborative work with you all on this important program.

Sincerely,

NYSDOH Overdose Data to Action Team

Christopher Belden

Grants Administration Office of Public Health
New York State Department of Health
Riverview Center
150 Broadway, Suite 516
Menands, NY 12204-2719
Phone: 518-408-2063
E-mail: grants@health.ny.gov
Overdose Data to Action (OD2A) Work Plan and Budget Submission
FAQ
as of June 20, 2019

General Questions

1. What is the submission deadline for budget/workplan?
   No later than July 12.

2. Is the funding $72,000 each over 3 years or $72,000 per year for 3 years?
   $72,000 per year for 3 years.

3. Is the $72,000 per year or $24,000 per year for 3 years?
   $72,000 per year - each year we expect to award your county $72,000.

4. Please confirm (a) 3-year contract (as opposed to 3 1-year contracts); and (b) OD2A contract,
   vouchering will now be separate from Public Health Preparedness and Response
   a) There will be three (3), one (1) year contracts.
   b) OD2A contracts and vouchering will be separate from the Public Health Preparedness
      Program.

5. When do you anticipate the official award notification letters will go out to counties?
   Grants Administration won’t be sending official notification award letters. If you need a letter
   please send a request to Grants Administration, grants@health.ny.gov.

Programmatic Questions

6. Are we able to use funding to continue successful efforts?
   Yes, as long as the associated costs are allowable.

7. At some point would you be willing to share / identify NYSDOH related activities (e.g. public
   awareness campaign, buprenorphine waiver training)
   Yes.

8. Is primary prevention an approach that is allowed with OD2A?
   Please talk with your Region Lead about activities you may be thinking about to discuss the
   context, population and community and how this work aligns with the goals of OD2A.

Budget Questions

9. Can funds be used to transport a person to treatment from drug treatment court? Can funds be
   used to purchase vehicles?
   Transportation costs are potentially allowable but would be subject to review and approval
   upon submission of detailed costs. LHDs proposing to use funds for transportation should
   demonstrate how the funds are achieving linkage to care.
If vehicle costs are consistent with the linkage to care strategy, they may be allowable expenses. Please note that there are specific justifications that must accompany proposed costs and they will be subject to additional review and approval. For example, requests to use funds for a vehicle would require very strong justification including an attestation that the vehicle would be used solely for this program. In addition to NYSDOH-HRI approval, the request would also require CDC approval. LHDs must provide an itemized budget that identifies costs and a narrative that links the vehicle (or other transportation costs) to the associated strategies. As with all strategies, funds may not be used to provide treatment services or direct provision of care.

10. What types of expenditures are allowed for Harm Reduction? For example, can syringe exchange and/or injection counseling programs be funded? Will transportation costs be allowable under the new grant (Data to Action)? Will any facilities modifications/renovation costs and/or vehicle purchases be allowed? Please talk with your Region Lead about the allowability of syringe exchange and/or injection counseling programs and vehicle purchase. More details are needed. Transportation costs may be allowed in OD2A (see Q9). Facility modifications/renovation costs are not allowed.

11. Will LHDs be able to use any of the funding toward employee salary? LHDs can allocate existing staff effort to personnel/salaries.

12. Can the funds be used for salary, fringe and/or overtime (OT)? Additionally, can the funds be used for refreshments for large meetings, community gatherings and/or long trainings? Funds can be used for salary, fringe and OT. OT must be justified including why the activities could not be performed during normal business hours. Funds cannot be used for refreshments.

13. Are advertising costs allowable, such as billboards? Yes, advertising costs are allowable. Advertising activities must complement any media being conducted by NYSDOH, connect to a category/strategy, and include harm reduction messages. Advertising/media campaigns must be pre-approved by NYSDOH.

14. Will we be able to provide food at events that we are hosting via this funding? Food/refreshment costs are not allowable with these funds.

15. Based on explanation of Budget - is it safe to say there are not windows allowing for budget modification? Will was 12 mo. period have its own budget? We recognize that putting together a budget at the beginning of a contract period is an estimate, so there will always be opportunities for submitting budget modifications. Each 12-month period will have its own budget.

16. Do supplies purchased have to be used 100% for this grant? Yes. All funds awarded through this contract must solely support this program. If costs support multiple programs, the costs must be shared/allocated among all programs benefiting.
<table>
<thead>
<tr>
<th>Resolution Description</th>
<th>Department Name</th>
<th>Account No.</th>
<th>Action</th>
<th>Amount</th>
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July 3, 2019

Honorable Andrew Joyce, Chairman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairman Joyce,

The Department of Mental Health requests permission to transfer funds from a vacant Staff Social Worker line into our overtime account. This account is currently 19% over budget; we are requesting a transfer of $24,000 in order to ensure adequate funds will be available for the remainder of 2019. The reason for the increase in overtime this year is Staff Social Worker vacancies in our 24/7 Mobile Crisis Team and the Mental Health Unit at the Albany County Correctional Facility.

Feel free to contact me or Kelle Roberts if you have any questions concerning this request.

Sincerely,

Stephen Giordano, Ph.D.
Director

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank A. Mauriello, Minority Leader
Kevin Cannizzaro, Majority Counsel
Minority Counsel
REQUEST FOR LEGISLATIVE ACTION

Overtime Budget Amendment Request
Click or tap here to enter text.

Date: July 3, 2019
Submitted By: Mark Gleason
Department: Department of Mental Health
Title: Operations Analyst
Phone: 518-447-3014
Department Rep.: Dr. Stephen Giordano
Attending Meeting: 

Purpose of Request:

☐ Adopting of Local Law
☐ Amendment of Prior Legislation
☐ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☒ Budget Amendment
☐ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQR
☐ Home Rule Request
☐ Property Conveyance
☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
☐ Contractual
☐ Equipment
☐ Fringe
☒ Personnel
☐ Personnel Non-Individual
File #: TMP-0987, Version: 1

☐ Revenue

Increase Account/Line No.: A9431019900 Overtime
Source of Funds: A9431012205 Staff Social Worker
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☐ Grant

Choose an item.
Submission Date Deadline Click or tap to enter a date.
☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names(addresses)):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.
Scope of Services: Click or tap here to enter text.

Bond Res. No.:
Date of Adoption:
Click or tap here to enter text.
Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service:
Yes ☐ No ☒
If Mandated Cite Authority:
Click or tap here to enter text.

Is there a Fiscal Impact:
Yes ☐ No ☒
Anticipated in Current Budget:
Yes ☐ No ☒

County Budget Accounts:
## File #: TMP-0987, Version: 1

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<th>Revenue Account and Line:</th>
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### Source of Funding - (Percentages)
- Federal: Click or tap here to enter text.
- State: 100%
- County:
- Local: Click or tap here to enter text.

### Term
- Term: (Start and end date) 9/1/19-12/31/19
- Length of Contract: 4 Months

### Impact on Pending Litigation
- Yes ☐ No ☑
- If yes, explain: Click or tap here to enter text.

### Previous requests for Identical or Similar Action:
- Resolution/Law Number: Click or tap here to enter text.
- Date of Adoption: Click or tap here to enter text.

### Justification: (state briefly why legislative action is requested)

The Department of Mental Health requests permission to transfer funds from a vacant Staff Social Worker line to our Overtime account. The Overtime account is currently 19% over budget; we are requesting a transfer of $24,000 in order to ensure adequate funds will be available for the remainder of 2019. The reason for the increase in overtime this year is Staff Worker vacancies in our 24/7 Mobile Crisis Team and the Mental Health Unit at the Albany County Correctional Facility.
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**TOTAL APPROPRIATIONS**

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<td></td>
<td></td>
<td>Mental Health Dept</td>
</tr>
</tbody>
</table>

**TOTAL ESTIMATED REVENUES**

|                     |                         | $ 24,000.00 | $ 24,000.00 |           |                       |

**GRAND TOTALS**

|                     |                         | $ 24,000.00 | $ 24,000.00 |           |                       |
July 3, 2019

Honorable Andrew Joyce, Chairman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairman Joyce,

The Department of Mental Health requests permission to transfer 2019 budgeted funds for The Next Step to Hope House. The Next Step, a New York not-for-profit corporation, has merged with Hope House, also a New York not-for-profit corporation. The merger of these two agencies providing substance use disorder services was completed on June 17, 2019. Hope House will continue to operate the existing facilities and will assume operations of the existing The Next Step programs. We are requesting that the remaining 2019 budgeted funds of $140,913 from The Next Step be transferred to Hope House in order to maintain programs IRT and CTSHP. IRT (Intensive Residential Treatment) is a program that is an alternative to incarceration for women with alcohol and drug abuse problems. CTSHP (Community Transitional Support Housing Program) is a program that supports women with past alcohol and drug problems who are entering the job market.

Feel free to contact me or Kelle Roberts if you have any questions concerning this request.

Sincerely,

Stephen Giordano, Ph.D.
Director

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank A. Mauro, Minority Leader
Kevin Cannizzaro, Majority Counsel
Minority Counsel
REQUEST FOR LEGISLATIVE ACTION

Transfer Budgeted Funds from Provider Next Step to Hope House
Click or tap here to enter text.

Date: 7/3/19
Submitted By: Mark Gleason
Department: Department of Mental Health
Title: Operations Analyst
Phone: 518-447-3014
Department Rep.:
Attending Meeting: Dr. Stephen Giordano

Purpose of Request:

☐ Adopting of Local Law
☐ Amendment of Prior Legislation
☐ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☒ Budget Amendment
☒ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQR
☐ Home Rule Request
☐ Property Conveyance
☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
☒ Contractual
☐ Equipment
☐ Fringe
☐ Personnel
☐ Personnel Non-Individual
CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
☑️ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☐ Grant

Choose an item.
Submission Date Deadline Click or tap to enter a date.
☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
Hope House 573 Livingston Ave Albany NY 12206

Additional Parties (Names(addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: $140,913.00
Scope of Services: IRT (Intensive Residential Treatment) program is an alternative to incarceration for women with alcohol and drug abuse problems. CTSHP (Community Transitional Support Housing Program) is a program that supports women with past alcohol and drug problems that are entering the job market.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☐ No ☒
Anticipated in Current Budget: Yes ☐ No ☒
File #: TMP-0971, Version: 1

County Budget Accounts:
Revenue Account and Line: Click or tap here to enter text.
Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: A94230.44440
Appropriation Amount: $140,913.00

Source of Funding - (Percentages)
Federal: Click or tap here to enter text.
State: 100%
County: Click or tap here to enter text.
Local: Click or tap here to enter text.

Term
Term: (Start and end date) 1/1/2019-12/31/2019
Length of Contract: 12 Months

Impact on Pending Litigation
Yes ☐ No ☒
If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:
Resolution/Law Number: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

Justification: (state briefly why legislative action is requested)
The Next Step a New York not-for-profit corporation has merged with Hope House a New York not-for-profit corporation. The merger of the two not-for-profits agencies providing substance use disorder services was completed on June 17, 2019. Hope House will continue to operate the existing facilities and will assume operations of the existing The Next Step facilities. We are requesting that the remaining 2019 Budgeted Funds of $140,913 from The Next Step be transferred to Hope House in order to maintain programs IRT and CTSP. IRT (Intensive Residential Treatment) is a program that is an alternative to incarceration for women with alcohol and drug abuse problems. CTSP (Community Transitional Support Housing Program) is a program that supports woman with past alcohol and drug problems who are entering the job market. Hope House will need the funds that were designated for The Next Step in the 2019 Budget in order to continue funding these programs (IRT and CTSP).
<table>
<thead>
<tr>
<th>ACCOUNT NO.</th>
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<th>DECREASE</th>
<th>UNIT COST</th>
<th>DEPARTMENT NAME</th>
</tr>
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<tr>
<td>A 4230 44412</td>
<td>Hope House</td>
<td>$ 140,913.11</td>
<td></td>
<td></td>
<td>Mental Health Dept</td>
</tr>
<tr>
<td>A 4230 44440</td>
<td>The Next Step</td>
<td></td>
<td>$ 140,913.11</td>
<td></td>
<td>Mental Health Dept</td>
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</table>

**TOTAL APPROPRIATIONS**

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<td></td>
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<td></td>
<td>Mental Health Dept</td>
</tr>
</tbody>
</table>

**TOTAL ESTIMATED REVENUES**

| GRAND TOTALS | $ 140,913.11 | $ 140,913.11 |
FILING RECEIPT

ENTITY NAME: HOPE HOUSE, INC.

DOCUMENT TYPE: MERGER (DOM. NFP)  COUNTY: ALBA

FILED: 06/17/2019 DURATION: ******  CASH#: 190617000546 FILM #: 190617000596

FILER:  
SAMANTHA W. BRYANT, ESQ.
HINMAN STRAUB P.C.
121 STATE STREET
ALBANY, NY 12207

ADDRESS FOR PROCESS:

REGISTERED AGENT:

CONSTITUENT NAME: THE NEXT STEP, INC.

SERVICE COMPANY: ** NO SERVICE COMPANY **  SERVICE CODE: 00

FEES  PAYMENTS
------  ------
FILING  30.00  CASH  0.00
TAX  0.00  CHECK  60.00
CERT  0.00  CHARGE  0.00
COPIES  0.00  DRAWDOWN  0.00
HANDLING  0.00  OPAL  0.00
  REFUND  30.00

DOS-1025 (04/2007)
ATTORNEY GENERAL OF THE STATE OF NEW YORK
COUNTY OF ALBANY

............................................................-X

In the Matter of the Application of

HOPE HOUSE, INC. and THE NEXT STEP, INC.

ATTORNEY GENERAL
APPROVAL

For Approval of Their Plan of Merger Under
Section 907-b of the Not-for-Profit Corporation Law
and Authorizing the Filing of a Certificate of Merger
under Section 904 of the Not-for-Profit
Corporation Law
............................................................-X

1. By Petition verified on April 10, 2019, HOPE HOUSE, INC., a New York not-for-profit
corporation ("Hope House"), and THE NEXT STEP, INC., a New York not-for-profit
corporation ("TNS"), applied to the Attorney General pursuant to Article 9 of the Not-for-Profit
Corporation Law for approval of an application to merge.

2. The name of the surviving corporation is Hope House, Inc.

3. Following the merger, Hope House as the surviving organization will continue to operate
its existing facilities and will assume operations of the existing TNS facilities.

4. There are no immediate changes planned with respect to the operations or staff reductions
at either facilities upon the effective date of the merger.

5. There are no immediate changes planned with respect to the Articles of Incorporation for
Hope House or its By-Laws.

6. Based on a review of the Petition and the exhibits thereto (and the additional documents
and information requested by the Attorney General), and the verifications of Howard J. Hubbard
and Karen Carpenter Palumbo, the Attorney General has determined that the petitioners have
complied with the provisions of Article 9 the Not-for-Profit Corporation Law applicable to the
merger of not-for-profit corporations, and neither the Petitioners nor any third party having
raised with the Attorney General any objections to the proposed merger, and it appearing to the
satisfaction of the Attorney General that the interests of the constituent corporations and the
public interest will not be adversely affected by the merger, the Plan of Merger is approved and
the Certificate of Merger is authorized to be filed with the Department of State.
7. A copy of the Certificate of Merger, as filed with the Department of State shall be sent to the Attorney General's office within 10 days of its filing.

New York State Attorney General

[Signature]
By: Assistant Attorney General

Date: 6/12/19
CERTIFICATE OF MERGER

OF

HOPE HOUSE, INC.

AND

THE NEXT STEP, INC.

INTO

HOPE HOUSE, INC.

Pursuant to Section 904 of the Not-for-Profit Corporation Law

Filed by: Samantha W. Bryant, Esq.
Hinman Straub P.C.
121 State Street, Albany, NY 12207
CERTIFICATE OF MERGER
OF
HOPE HOUSE, INC.
AND
THE NEXT STEP, INC.
INTO
HOPE HOUSE, INC.

Under Section 904 of the Not-for-Profit Corporation Law

The undersigned, Howard J. Hubbard, being the President of Hope House, Inc. and Karen Carpenter Palumbo, being the President The Next Step, Inc.; said corporations both being domestic not-for-profit corporations organized and existing under and by virtue of the laws of the State of New York, hereby certify:

1. The names of the constituent corporations to the merger are Hope House, Inc. ("Hope House") and The Next Step, Inc. ("TNS").

2. The Certificate of Incorporation of Hope House was filed by the New York Department of State on November 25, 1968, and a Certificate of Amendment of the Certificate of Incorporation was filed on November 7, 2018.

3. The Certificate of Incorporation of TNS was filed by the New York Department of State on December 4, 1974, and a Restated Certificate of Incorporation filed, dated August 23, 1988.

4. On the date the merger becomes effective, TNS shall be merged with and into Hope House, which shall be referred to as the "Surviving Corporation."

5. No amendments or change to the Certificate of Incorporation of the Surviving Corporation shall be made.

6. No amendments or change to the By-Laws of the Surviving Corporation shall be made.

7. The merger of Hope House and TNS was authorized by a meeting of the Board of Directors of Hope House and TNS, respectively. Neither entity has members but has Board of Directors; there are no holders of certificates evidencing capital contributions or subventions.
8. The effective date of the merger of Hope House and TNS shall be the date of the filing of the Certificate of Merger by the Secretary of State of the State of New York.

IN WITNESS WHEREOF, the undersigned have executed and signed this Certificate of Merger as of the 15th day of April, 2019, and the undersigned affirm that the statements contained herein are true under the penalties of perjury.

HOPE HOUSE, INC.
By: ____________________________
    Howard J. Hubbard, President

THE NEXT STEP, INC.
By: ____________________________
    Karen Carpenter Palumbo, President
July 3, 2019

Honorable Andrew Joyce, Chairman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairman Joyce,

The Department of Mental Health has had difficulty finding qualified licensed Masters of Social Work (L-MSW) candidates for vacant positions; therefore we are requesting to add 5 hours per week to six current Staff Social Workers and one Supervising Social Worker. The DMH employees will have their work week increase from 35 hours to 40. Funding for the additional hours will come from a vacant Staff Social Worker line. The additional hours will provide needed services to our Clinic patients by trained staff as an alternative to hiring new staff. In addition there will be savings of fringe benefits (health and dental insurance).

Feel free to contact me or Kelle Roberts if you have any questions concerning this request.

Sincerely,

Stephen Giordano, Ph.D.
Director

cc: Hon. Dennis A. Feeney, Majority Leader
Hon. Frank A. Mauiollo, Minority Leader
Kevin Cannizzaro, Majority Counsel
Minority Counsel
REQUEST FOR LEGISLATIVE ACTION

Personal Services Social Workers Budget Amendment
Click or tap here to enter text.

Date: July 3, 2019
Submitted By: Mark Gleason
Department: Department of Mental Health
Title: Operations Analyst
Phone: 518-447-3014
Department Rep.: Dr. Stephen Giordano
Attending Meeting:

Purpose of Request:

☐ Adopting of Local Law
☐ Amendment of Prior Legislation
☐ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☒ Budget Amendment
☐ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQR
☐ Home Rule Request
☐ Property Conveyance
☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
☐ Contractual
☐ Equipment
☐ Fringe
☒ Personnel
☐ Personnel Non-Individual
□ Revenue

Increase Account/Line No.: A9431012205001, A9431012205010, A431012205020, A9431012205026, A9431012205004, A9431012205045, A9431012201001
Source of Funds: A9431012205019
Title Change: Click or tap here to enter text.

CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
□ Change Order/Contract Amendment
□ Purchase (Equipment/Supplies)
□ Lease (Equipment/Supplies)
□ Requirements
□ Professional Services
□ Education/Training
□ Grant

Choose an item.
Submission Date Deadline Click or tap to enter a date.

□ Settlement of a Claim
□ Release of Liability
□ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address): Click or tap here to enter text.

Additional Parties (Names/addresses): Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.
Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes □ No ☒
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes □ No ☒
Anticipated in Current Budget: Yes □ No ☒
Justification: (state briefly why legislative action is requested)
Albany County Department of Mental Health has had difficulties hiring qualified licensed Masters of Social Work (L-MSW) candidates for vacant positions, therefore we are requesting to add 5 hours per week to 6 Staff Social Workers and 1 Supervising Social Worker. The DMH employees will see their work week increase from 35 hours to 40. Funding for the additional hours will come from a vacant Staff Social Worker line. The additional hours will provide needed services to our Clinic patients by trained staff as an alternative to hiring new staff. The addition of hours to existing Social Workers will realize saving on fringe benefits (health and dental).
### APPROPRIATIONS

<table>
<thead>
<tr>
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<th>UNIT COST</th>
<th>DEPARTMENT NAME</th>
</tr>
</thead>
<tbody>
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<td>15,392</td>
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**TOTAL APPROPRIATIONS**

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**TOTAL ESTIMATED REVENUES**

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**GRAND TOTALS**

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<tr>
<td></td>
<td>REVENUES</td>
<td></td>
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</tr>
</tbody>
</table>
July 8, 2019

Honorable Andrew Joyce
Chair, Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairman Joyce:

Per the request of the Albany County Department of Law, legislative authorization is requested to amend Resolution No. 203 of 2019.

If you have any questions regarding this request I can make myself available at your earliest convenience. Thank you for your consideration

Sincerely Yours,

Shawn A. Theilen

cc:
Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauriello, Minority Leader
Majority Counsel
Minority Counsel
REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):
Resolution amendment- No. 203 of May 2019 - Per Albany County Department of Law

Date: July 8, 2019
Submitted By: Shawn Thelen
Department: Management and Budget
Title: Commissioner
Phone: 518-447-7040
Department Rep.: Anthony DiLella
Attending Meeting: David Reilly/Michael McLaughlin

Purpose of Request:
☐ Adopting of Local Law
☒ Amendment of Prior Legislation
☐ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☐ Budget Amendment
☐ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQR
☐ Home Rule Request
☐ Property Conveyance
☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
☐ Contractual
☐ Equipment
☐ Fringe
☐ Personnel
☐ Personnel Non-Individual
CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☐ Grant

Choose an item.
- Submission Date Deadline

☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed)

Contract Terms/Conditions:

Party (Name/address):
Click or tap here to enter text.

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee:
Click or tap here to enter text.
Scope of Services:
Click or tap here to enter text.

Bond Res. No.:
Click or tap here to enter text.
Date of Adoption:
Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service:
Yes ☐ No ☐
If Mandated Cite Authority:
Click or tap here to enter text.

Is there a Fiscal Impact:
Yes ☐ No ☐
Anticipated in Current Budget:
Yes ☐ No ☐

County Budget Accounts:
**Justification**: (state briefly why legislative action is requested)

Per the request of The Albany County Department of Law in order to correctly convey properties as set forth in Resolution No. 203 of 2019 an amendment of this resolution is necessary.
RESOLUTION NO. 203

RESCINDING THE CONVEYANCE OF VARIOUS PARCELS OF REAL PROPERTY IN THE CITY OF ALBANY AND THE TOWN OF RENSSELAERVILLE TO THE ALBANY COUNTY LAND BANK CORPORATION AND AUTHORIZING THE CONVEYANCE OF SAID REAL PROPERTY TO ACLB HOLDINGS, LLC.

Introduced: 5/13/19
By Audit and Finance Committee:

WHEREAS, Per Resolution Nos. 173 for 2016, 481 for 2017 and 171 for 2018, this Honorable Body authorized the transfer of six parcels of real property acquired through in rem foreclosure located in the City of Albany and one parcel of real property in the Town of Rensselaerville to the Albany County Land Bank Corporation (the “Land Bank”), and

WHEREAS, Pursuant to New York State law, the Land Bank has established a subsidiary, ACLB Holdings LLC, that will focus on those acquired properties with potential environmental impediments and help further the mission of the Land Bank, and

WHEREAS, The Land Bank has requested that seven parcels that were previously authorized for transfer to the Land Bank be rescinded, and that such properties be authorized for transfer to the ACLB Holdings LLC., now, therefore, be it

RESOLVED, By the Albany County Legislature, that Resolution Nos. 173 for 2016, 481 for 2017 and 171 for 2018 are hereby amended by rescinding the transfer of such real property as indicated on the spreadsheet annexed hereto, and be it further

RESOLVED, That the County Executive is authorized to execute on behalf of the County any documents necessary to convey such parcels of real property in the City of Albany and the Town of Rensselaerville as indicated on the spreadsheet annexed hereto to ACLB Holdings LLC., and, be it further

RESOLVED, That the County Attorney is authorized to approve said conveyance as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.
Purposed Amendment to Resolution No 203 of 5/13/2019

Title
Rescinding the Resolutions Approving the Conveyance of Various Parcels of Real Property in the City of Albany and the Town of Rensselaerville to the Albany County Land Bank Corporation and Authorizing the Conveyance of Said Real Property to ACLB Holdings, LLC.

3rd Whereas
WHEREAS, The Land Bank has requested that the aforesaid resolutions approving seven parcels listed on the spreadsheet annexed hereto that were previously authorized for transfer to the Land Bank be rescinded, and that such properties be instead authorized for transfer to the ACLB Holdings LLC, now, therefore, be it

1st Resolve Clause
Resolved, By the Albany County Legislature that Resolution Nos. 173 for 2016, 481 for 2017 and 171 for 2018 are hereby amended by rescinding the conveyance of such real property as indicated on the spreadsheet annexed hereto, and be it further.
July 3, 2019

Honorable Andrew Joyce  
Chair, Albany County Legislature  
112 State St., Rm. 710  
Albany, NY 12207  

Dear Chairman Joyce:  

Legislative authorization is requested to convey 89 Third Street in the City of Albany a tax foreclosed property to the Underground Railroad History Project of the Capital Region, Inc. This conveyance is in accordance with Resolution No.29 of 2019 which states “Properties which will be conveyed by the County to not-for-profit/non-profit entities for use for a public purpose “.  

If you have any questions regarding this request I can make myself available at your earliest convenience. Thank you for your consideration  

Sincerely Yours,  

Shawn A. Thelen  

cc:  
Hon. Dennis Feeney, Majority Leader  
Hon. Frank Mauriello, Minority Leader  
Majority Counsel  
Minority Counsel
REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services): Authorization to convey 89 Third Street located in the City of Albany to the Underground Railroad History Project of the Capital Region, Inc.

Date: July 3, 2019
Submitted By: Shawn Thelen
Department: Management and Budget
Title: Commissioner
Phone: 518-447-7070
Department Rep.: Anthony DiLella
Attending Meeting: David Reilly/Michael McLaughlin

Purpose of Request:
☐ Adopting of Local Law
☐ Amendment of Prior Legislation
☐ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☐ Budget Amendment
☐ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQR
☐ Home Rule Request
☒ Property Conveyance
☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
☐ Contractual
☐ Equipment
☐ Fringe
☐ Personnel
CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
- Change Order/Contract Amendment
- Purchase (Equipment/Supplies)
- Lease (Equipment/Supplies)
- Requirements
- Professional Services
- Education/Training
- Grant
  - Choose an item.
  - Submission Date Deadline: Click or tap here to enter a date.
- Settlement of a Claim
- Release of Liability
- Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
  - Click or tap here to enter text.

Additional Parties (Names(addresses)):
  - Click or tap here to enter text.

Amount/Raise Schedule/Fee:
  - Click or tap here to enter text.
Scope of Services:
  - Click or tap here to enter text.

Bond Res. No.:
  - Click or tap here to enter text.
Date of Adoption:
  - Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service:
- Yes □ No □
If Mandated Cite Authority:
  - Click or tap here to enter text.
Is there a Fiscal Impact:
- Yes □ No □
Anticipated in Current Budget:
- Yes □ No □
Justification: (state briefly why legislative action is requested)
Authorization is requested to convey a tax foreclosed property, 89 Third Street in the City of Albany to the Underground Railroad History Project of the Capital Region, Inc., 184 Livingston Avenue, Albany NY, 12210 for $1.00. The URHP who has worked with Albany County in the preservation of several properties located in the City of Albany’s Arbor Hill, is requesting 89 Third Street (Tax Map No. 65.74-2-7) to turn this property into off-street parking for visitors to access their main location of 184 Livingston Avenue. This conveyance is in accordance with Resolution No. 29 of 2019 which states “Properties which will be conveyed by the County to not-for-profit/non-profit entities for use for a public purpose.”
UNDERGROUND RAILROAD HISTORY PROJECT
OF THE CAPITAL REGION, Inc.
194 Livingston Avenue — Albany, New York 12210
(518) 432-4432
www.UndergroundRailroadHistory.org

Learn the Past, Be the Present, Create the Future *

Daniel McCoy
Albany County Executive
112 State Street - Room 1200
Albany, New York 12207

June 28, 2019

Dear County Executive McCoy,

It has come to the attention of Underground Railroad History Project of the Capital Region that the property located at 89 Third Street will soon come into possession of Albany County. This letter is being written to express the interest of Underground Railroad History Project in taking ownership of 89 Third Street as soon as possible.

Albany County has made available over the past 20 years various properties in Arbor Hill that include the award winning Stephen and Harriet Myers Residence located at 194 Livingston Avenue, as well as vacant lots at 190, 188 Rear, 186, and 184 Livingston Avenue as well as 99 and 97 Third Street. All of these lots have been reclaimed from the ravages of overgrown weeds and debris, and brought back to life to create a park-like setting for the community. These properties are now called The Stephen and Harriet Myers Residence Campus and are used by community members as well as for programs conducted by Underground Railroad History Project. All of this effort has brought new life and pride of place back to the community.

The desire of Underground Railroad History Project (URHP) to own 89 Third Street is related to the need for URHP to maintain off-street parking for visiting guests and program attendees in an effort to reduce the impact of on-street visitor and attendee parking on our neighbors who also need to park on the street. Off-street parking has been part of the URHP vision since 2005.

Currently URHP owns 184 Livingston Avenue with the purpose of turning this lot into off-street parking. However, for the safety of residents and visitors it would be advantageous for vehicles to enter URHP off-street parking from 184 Livingston Avenue and exit via 89 Third Street. The 184 lot is narrow and, as is well know, Livingston Avenue, as an older street is quite narrow with parking allowed on both sides. Additionally, it is a busy thoroughfare for vehicles and pedestrians.

Underground Railroad History Project commits to maintaining 89 Third Street so that it is an asset to the neighborhood, as it has done with all other properties it owns.

It is hoped that you will look favorably upon this request. Please let me know if there is need of any other information regarding this request.

Sincerely,

Mary Elizabeth and Paul Stewart
Co-founders

Underground Railroad History Project of the Capital Region, Inc. is a 501(c)3 non-profit chartered by the Regents of the University of the State of New York
July 1, 2019

David Galin
Deputy Chief of Staff
Office of the Mayor
City of Albany
24 Eagle Street
Albany, NY 12207

Mr. Galin,

Pursuant to the Albany County Property Disposition Plan, I am contacting you to make you aware initiating legislative authorization to dispense of the property located at 89 Third Street within the City of Albany. Provided the request gains passage in the County Legislature, we will convey tax map parcel 65.74-2-7 to the Underground Railroad History Project of the Capital Region.

We view this transaction as beneficial to both County and City residents since it will provide a tax delinquent property to a valuable historical and cultural organization with a positive history of maintaining formerly delinquent property. Please let us know if you know of any potential issues that might arise with such a transfer or if the City of Albany has any objection.

Best,

[Signature]

Mike McLaughlin
Director of Policy and Research
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GRAND TOTALS | 6,476.50 | 6,476.50 | 2,726.07 | 9,202.57 |

PARTIAL PAYMENTS ARE NOT ACCEPTED WITHOUT AN INSTALLMENT AGREEMENT.
IF ANY PARCEL REMAINS SUBJECT TO ONE OR MORE DELINQUENT TAX LIENS,
THE PAYMENT YOU HAVE MADE WILL NOT POSTPONE THE ENFORCEMENT OF THE
OUTSTANDING LIEN OR LIENS. CONTINUED FAILURE TO PAY THE ENTIRE
AMOUNT OWED WILL RESULT IN THE LOSS OF THE PROPERTY(IES).
PAYMENT MADE TO:
ALBANY COUNTY DIVISION OF FINANCE
112 STATE ST. ROOM 1340
ALBANY, NY 12207
TEL: 447-7082

$35.00 WILL BE CHARGED FOR ANY RETURNED CHECK
INTEREST WILL INCREASE ON THE 1ST OF THE MONTH.
May 14, 2019

Honorable Andrew Joyce
Chair, Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairman Joyce:

Legislative authorization is requested to rescind the sale of 182 Brevator Street, located in the City of Albany to the Albany County Land Bank Corporation pursuant to ABL Resolution No. 271 of 2018 and in accordance with ABL Resolution No. 29 of 2019, authorization is also requested to transfer 182 Brevator Street to the immediate former owner Spiritual Center for Human Development C/O Reverend Clara Galus.

Reverend Clara Galus has placed on deposit the amount of $8,214.93 which represents the full amount of delinquent and current taxes owed to and paid by the County for 182 Brevator Street.

If you have any questions regarding this request I can make myself available at your earliest convenience. Thank you for your consideration.

Sincerely Yours,

Shawn A. Thelen

cc:
Hon. Dennis Feeney, Majority Leader
Hon. Frank Mauelillo, Minority Leader
Majority Counsel
Minority Counsel
REQUEST FOR LEGISLATIVE ACTION

Date: May 8, 2019
Submitted By: Shawn Thelen
Department: Management And Budget
Title: Commissioner
Phone: 447-7040
Department Rep.: Anthony Dilella
Attending Meeting: Michael Mc Laughlin

Purpose of Request:
☐ Adopting of Local Law
☒ Amendment of Prior Legislation
☐ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☐ Budget Amendment
☐ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQR
☐ Home Rule Request
☒ Property Conveyance
☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
☐ Contractual
☐ Equipment
☐ Fringe
CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
- [ ] Change Order/Contract Amendment
- [ ] Purchase (Equipment/Supplies)
- [ ] Lease (Equipment/Supplies)
- [ ] Requirements
- [ ] Professional Services
- [ ] Education/Training
- [ ] Grant

Choose an item. Submission Date Deadline Click or tap to enter a date.
- [ ] Settlement of a Claim
- [ ] Release of Liability
- [ ] Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address): Click or tap here to enter text.

Additional Parties (Names/addresses): Click or tap here to enter text.

Amount/Raise Schedule/Fee: Click or tap here to enter text.
Scope of Services: Click or tap here to enter text.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes [ ] No [X]
If Mandated Cite Authority: Click or tap here to enter text.

Is there a Fiscal Impact: Yes [ ] No [X]
Anticipated in Current Budget: Yes ☐ No ☒

County Budget Accounts:
Revenue Account and Line: Click or tap here to enter text.
Revenue Amount: Click or tap here to enter text.

Appropriation Account and Line: Click or tap here to enter text.
Appropriation Amount: Click or tap here to enter text.

Source of Funding - (Percentages)
Federal: Click or tap here to enter text.
State: Click or tap here to enter text.
County: Click or tap here to enter text.
Local: Click or tap here to enter text.

Impact on Pending Litigation
If yes, explain: Yes ☐ No ☒

Previous requests for Identical or Similar Action:
Resolution/Law Number: 519
Date of Adoption: November 13, 2018

Justification: (state briefly why legislative action is requested)

182 Brevator St located in the City of Albany was the domicile and the place of ministry for Reverend Clara Galus, this parcel was foreclosed by the county and intern was authorized by resolution for transfer to the Albany County Land Bank. Reverend Clara Galus has placed on deposit the amount of $8,214.93 which represents the full amount of delinquent and current taxes paid by the County for 182 Brevator St. Authorization is needed to rescind the sale of 182 Brevator St, tax map No. 53.82-1-9 located in the City of Albany to the Albany County Land Bank per Resolution No. 271 of 2018 and in accordance with ABL Resolution No. 29 of 2019, authorization is also requested to transfer 182 Brevator St to the Immediate former owner Spiritual Center for Human Development C/O Reverend Clara Galus, 182 Brevator St, Albany N.Y. 12206.
May 1, 2019

Daniel P. McCoy, Albany County Executive  
Office of the Albany County Executive  
112 State Street — Room 1200  
Albany, New York 12207

VIA E-MAIL ONLY  
Michael.McLaughlin@albanycountyny.gov

c/o Michael McLaughlin, Director of Policy and

Re: 182 Brevator Street, Albany, NY 12206  
Tax Map No. 53.82-1-9  
File No. 5740.32969

Dear Mr. McCoy:

I am Reverend Clara P. Galus. Since September of 1972, I have owned this real property, when I and my late husband, Philip Galus, originally purchased it. The property has recently been classified as tax-exempt, as it was from that which my ministry, The Spiritual Center for Human Development was based.

I am of advanced age and am spending some time away from my home out of state. In the Spring of last year, I made the decision to list my home for sale so that it could be purchased by someone who would be able to care for the property in a manner that is becoming more and more difficult for me to maintain. I eventually entered into a contract of sale, but when a title search was performed, it was determined that the property was no longer owned by me because it had been foreclosed upon by Albany County for non-payment of outstanding water charges. Unfortunately, while I recognize that it is my own responsibility, I did not know that water charges were being incurred and thus did not take any steps to pay them.

In the Summer of 2018, I made inquiries as to whether I would be able to re-purchase the property from the County of Albany. At that time, my attorney was informed that the Albany County Legislature had passed a resolution authorizing the transfer of title to the Albany Land Bank, which transfer to my knowledge has not occurred. When my son recently spoke to Mr. McLaughlin of your office, we were advised that there may be a way where the County could pass new legislation which could bypass the transfer to the Land Bank and instead convey the property to me, provided I pay the full amount of the delinquency for the unpaid water charges. This letter is being sent to request that the County consider this possible option. I am able to affirmatively state that I would be prepared to pay the full amount of taxes owed and paid by the County for 182 Brevator Street which I understand is approximately $8,214.93.

I respectfully ask that you take the steps needed to determine whether this option is available to me.
If you need any additional information, please feel free to contact me.

Thank you and Mr. McLaughlin, for your time and attention.

Very truly yours,

Reverend Clara P. Galus

# 501-C-3
CASHIER'S CHECK

ALBANY COUNTY DEPARTMENT OF MANAGEMENT***
AND BUDGET***

Eight thousand two hundred fourteen dollars and 93 cents***

$8,214.93**

WELLS FARGO BANK, N.A.
2555 MISSION ST
SAN FRANCISCO, CA 94110
FOR INQUIRIES CALL (415) 394-4122

VOID IF OVER $8,214.93

Michael Long
CONTROLLER

0006405501
May 03, 2019

FOR OFFICE USE ONLY: DIVISION OF FINANCE
RECEIVED BY (Initial)

Rev. 12-2007

PAID RECEIPT STAMP

Res 271 of 2018
6/11/2018
ACLB
COUNTY OF ALBANY
DIVISION OF FINANCE

DEPOSIT TRANSMITTAL
Division of Finance, Cash Receipts, 112-State Street, Suite 600, Albany, New York 12207
Office: (518) 447-7070, Fax: (518) 447-5516
PLEASE PRINT OR TYPE INFORMATION

DEPARTMENT: Finance, Division of

ADDRESS: ROOM 1340 112 STATE STREET

Total Number of Checks: 1  Total Amount of Checks: $8,214.93
Total Amount of Cash: $0.00  Total Deposit: $8,214.93

ORG  OBJECT  PROJECT  DESCRIPTION  AMOUNT
A  00690  -----  REACQUISITION  $8,214.93
-----  -----  RLA PENDING MAY 2018  $
-----  -----  $
-----  -----  $
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VOID VOID VOID VOID VOID
CASHIER'S CHECK  0006405501
PAY TO THE ORDER OF ***ALBANY COUNTY DEPARTMENT OF MANAGEMENT***
***AND BUDGET***
 ***Eight thousand two hundred fourteen dollars and 93 cents***

**$8,214.93**

Wells Fargo Bank, N.A.
132 Empire State Plaza
Albany, New York 12207

FOR OFFICE USE ONLY: DIVISION OF FINANCE

REV 12-2007

Req 271 of 2018
6/11/2018
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**COUNTY OF ALBANY**

**Real Estate Tax Statement**

**PARCEL:** 05308200010090000000

**LOCATION:** 182 BREVATOR ST

**OWNER:**

- GALUS REV. CLARA P.
- 182 BREVATOR ST
- ALBANY NY 12206

**STATUS:**

- SQUARE FEET: 0
- LAND VALUATION: 91,200
- BUILDING VALUATION: 0
- EXEMPTIONS: 0
- TAXABLE VALUATION: 91,200
- INTEREST PER DIEM: 1,091.80

**LEGAL DESCRIPTION:**

**DEED DATE:**

**BOOK/PAGE:**

**INTEREST DATE:** 05/21/2019

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GRAND TOTALS | 3,438.36 | 3,438.36 | 1,069.29 | 4,507.65 |
July 10, 2019

Honorable Andrew Joyce, Chairman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairman Joyce:

We are requesting permission to submit multiple applications for the various projects listed below to the 2019 Consolidated Funding Application process managed by New York State on a regional scale. We do not know which applications would be looked upon favorably nor do we have an indication of the amount of money we could be awarded. Any and all applications that are successful will be submitted to the Legislature upon receipt as a request for approval to accept and contract with the grantor.

Planned and potential applications include:

1. Constructing a new elevator on the tower at Nursing Home to make the space more marketable once renovations are complete.
2. Improvements to the Ministry, Trustees, Wash House, Meeting House and Garage at the Shaker Heritage Society.
4. Improvements to the Times Union Center Garage.
5. Enhancements/amenities for the Albany County Rail Trail.
6. Purchase of a Vacuum truck to assist with street sweeping and MS4 compliance.
7. DEC Water Quality Improvement Program grant funding for the Joint Anaerobic Digester Project.

If you should have any questions, please do not hesitate to contact me.

Sincerely

Daniel P. McCoy
Albany County Executive
cc: Hon. Dennis Feeney, Majority Leader
     Hon. Frank Mauroello, Minority Leader
     Kevin Cannizzaro, Acting Majority Counsel
     Arnis Zilgme, Minority Counsel
REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):
Authorization to apply for multiple grants through the 2019 Consolidating Funding Application

Date: 7/10/2019
Submitted By: Lucas Rogers
Department: Office of the County Executive
Title: Senior Policy Analyst
Phone: 518-447-7040
Department Rep.
Attending Meeting:
Lucas Rogers/Michael McLaughlin

Purpose of Request:
☐ Adopting of Local Law
☐ Amendment of Prior Legislation
☐ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☐ Budget Amendment
☒ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQR
☐ Home Rule Request
☐ Property Conveyance
☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
☐ Contractual
☐ Equipment
☐ Fringe
☐ Personnel
☐ Personnel Non-Individual
CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☒ Grant

New
Submission Date Deadline 7/26/2019
☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed)  

Contract Terms/Conditions:

Party (Name/address):
New York State
NYS State Capitol Building
Albany, NY 12224

Additional Parties (Names(addresses)):
Click or tap here to enter text.

Amount/Raise Schedule/Fee:
Scope of Services:
Click or tap here to enter text.

Bond Res. No.: 
Date of Adoption: 
Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: 
Click or tap here to enter text.

Is there a Fiscal Impact: Yes ☐ No ☒
Anticipated in Current Budget: Yes ☐ No ☒
Justification: (state briefly why legislative action is requested)
We are requesting permission to submit multiple applications for the various projects listed below to the 2019 Consolidated Funding Application process managed by New York State on a regional scale. We do not know which applications would be looked upon favorably nor do we have an indication of the amount of money we could be awarded. Any and all applications that are successful will be submitted to the Legislature upon receipt as a request for approval to accept and contract with the grantor.

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6. Purchase of a Vacuum truck to assist with street sweeping and MS4 compliance.
7. DEC Water Quality Improvement Program grant funding for the Joint Anaerobic Digester Project.
Available CFA Resources

2019

A Division of Empire State Development
## Table of Contents

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Introduction

In 2011, Governor Andrew M. Cuomo created ten Regional Economic Development Councils (REDC) and the Consolidated Funding Application (CFA) to advance the administration’s efforts to improve New York’s business climate and expand economic growth.

New York State drives economic growth by making investments that enforce four interconnecting strategies:

- **Placemaking** - The notion that where investment occurs matters. In order to attract a talented workforce, our built environment must emphasize creating accessible job centers, sustainable infrastructure, and livable communities.
- **Workforce** - The belief that people drive the economy. Targeted job training and education ensures that jobs in high-paying, in-demand, tradeable sectors are filled in an equitable way.
- **Tradeable Sectors** - The industries New York State is targeting to increase export based employment opportunities. They are the industry sectors that are part of a global market like manufacturing, agricultural products, and energy.
- **Innovation** - The strategy of investing in and creating synergies between research and commercialization to drive the economy forward.

Regional Councils are asked to address statewide priorities in ways uniquely tailored to their region which complement the regional vision. The REDCs developed strategic plans with input from a broad spectrum of stakeholders taking into account the unique strengths and weaknesses of each region. The strategic plans serve as a road map, guiding each region’s efforts to stimulate economic growth. Each region's strategic plan, implementation agenda and progress reports can be found online at www.regionalcouncils.ny.gov

For Round IX, Regional Councils will once again focus on the implementation of each region’s strategic plan, and continue to identify and invest in significant economic development projects. The Governor has directed over $750 million in State resources to be made available in 2019 to support the economic development priorities of the regions and spur job creation across the state, including $225 million in competitive funds from Empire State Development.

In 2019, REDCs will advance projects and other actions identified for implementation and identify new strategies, as appropriate, to pursue. The Regional Councils are having a positive impact on economic development, helping to bring unemployment levels down through the creation and retention of thousands of jobs.

During Round IX, in addition to the strategies established in their regional strategic economic development plans, each REDC is asked to focus on priorities outlined in the 2019 REDC Guidebook.

In addition to the $225 million available for the competitive aspect of the Regional Council process, over $525 million will be available to applicants through the CFA from various State agencies to support economic development projects that align with REDC strategic plans.

This year, there are over 30 programs available through 10 state agencies, including Empire State Development; NYS Canal Corporation; NYS Energy Research and Development Authority; Environmental Facilities Corporation; Homes and Community Renewal; New York Power Authority; Office of Parks, Recreation and Historic Preservation; Department of State; Department of Environmental Conservation, and Council on the Arts. In an effort to provide the most appropriate state resources through this process, participating agencies will be able to review and potentially
provide funding to a project that did not specifically apply to that program, if the materials submitted at time of application satisfy the requirements for that program and allow for fair review under the circumstances. In addition, all programs contained in this document will use regional distribution standards to ensure projects are funded throughout the state.

Please be advised that all CFA grants are subject to the New York State Executive Law Article 15-A which requires, where applicable, the establishment of 30% minority-and women-owned enterprises ("MWBE") contract goals.

In 2014, the Service-Disabled Veteran-Owned Business Act was signed into law. The new law created the Division of Service-Disabled Veterans’ Business Development in Office of General Services to certify, promote and encourage economic development among disabled veterans throughout the state. Project applicants are urged to work with Service-Disabled Veteran-Owned Businesses (SDVOBs) and a directory can be found at https://ogs.ny.gov/Veterans.

This document outlines information about each agency’s grant programs, including eligibility, scoring criteria, applicant requirements, and agency contact information.
2019 Available Resources

Empire State Development: Up to $242 million
- Up to $150 million for ESD Grant Funds
- Up to $75 million for Excelsior Jobs Program
- Up to $2 million for Strategic Planning and Feasibility Studies
- Up to $15 million for Market New York

New York State Council on the Arts: Up to $6.75 million
- Up to $5 million for Arts and Cultural Initiatives
- Up to $1.75 million for Arts & Cultural Facilities Improvement Program – Mid-Size Capital Project Fund

Homes and Community Renewal: Up to $26.2 million
- Up to $20 million for New York State Community Development Block Grant Program
- Up to $6.2 million for New York Main Street Program

Office of Parks, Recreation and Historic Preservation: Up to $21.4 million
- Up to $19.5 million for Environmental Protection Fund Grants Program for Parks, Preservation and Heritage (EPF)
- Up to $1.9 million for Recreational Trails Program

Department of State: Up to $21 million
- Up to $15 million for the Local Waterfront Revitalization Program
- Up to $2 million for the Brownfield Opportunity Area Program
- Up to $4 million for Local Government Efficiency Program

New York State Canal Corporation: Up to $1 million
- Up to $1 million for the Canalway Grants Program

New York State Energy Research and Development Authority: Up to $40 million
- Up to $10 million for Energy Efficiency Programs
- Up to $15 million for Net Zero Energy for Economic Development
- Up to $15 million for Commercial and Industrial Carbon Challenge

New York State Power Authority: Up to 144 Megawatts
- Up to 144 MW for the ReCharge NY Program

Department of Environmental Conservation: Up to $85.7 million
- Up to $11.7 million for the Climate Smart Communities Grant Program
- Up to $70 million for the Water Quality Improvement Project (WQIP) Program
- Up to $1 million for the Non-Agricultural Nonpoint Source Planning Grant Program
- Up to $3 million for NYS DEC/EFC Wastewater Infrastructure Engineering Planning Grant Program

Environmental Facilities Corporation: Up to $15 million
- Up to $15 million for the Green Innovation Grant Program

Federal Industrial Development Bond Cap: Up to $300 million
- Up to $300 million for Industrial Development Bond Cap (IDBC)
Empire State Development

Empire State Development Grant Funds
Funding Available: Up to $150 million

DESCRIPTION:
ESD has $150 million of capital grant funding from the Regional Council Capital Fund available for the State’s Regional Economic Development Council initiative, which helps drive regional and local economic development across New York State in cooperation with ten Regional Economic Development Councils (“Regional Councils”).

Capital grant funding is available for capital-based economic development projects intended to create or retain jobs; prevent, reduce or eliminate unemployment and underemployment; and/or increase business or economic activity in a community or Region.

Grant funding will be allocated among the ten regions, each represented by a Regional Council. Funding decisions will be based on each Regional Council’s development and implementation of a five-year strategic plan that sets out a comprehensive vision for economic development and specific strategies to implement that vision, coupled with New York State’s economic growth priorities. Funding will be allocated to projects, including priority projects identified by the Regional Councils, identified as significant, regionally supported and capable of stimulating economic investment.

In addition, special consideration shall be given to projects supporting the Downtown Revitalization Initiative and Strategic Community Investment, Improving Access to Child Care, and Environmental Justice.

Funding will be awarded by the New York State Urban Development Corporation (d/b/a Empire State Development) at its discretion.

ELIGIBLE TYPES OF APPLICANTS:
Eligible Applicants include but are not limited to: for-profit businesses, not-for-profit corporations*, business improvement districts, local development corporations, public benefit corporations (including industrial development agencies), economic development organizations, research and academic institutions, incubators, technology parks, municipalities, counties, regional planning councils, tourist attractions and community facilities.

* If awarded funding, prior to ESD Directors’ approval and execution of a contract with ESD, a not-for-profit corporation must be registered and up-to-date with filings with the New York State Office of the Attorney General’s Charities Bureau and the New York State Office of the State Comptroller’s VendRep System and must be prequalified in the New York State Grants Gateway.

ELIGIBLE ACTIVITIES / PROGRAM BENEFIT REQUIREMENTS:
Applicants applying for ESD Grant Funds should clearly describe the scope and budget for the “project” for which funds are being requested. For example, an Applicant may be planning a larger project that would include multiple phases, such as in the case of a major infrastructure project or redevelopment of a specific geographic area. However, the “project” for which funds are being requested via the CFA might consist of only one phase of the larger project. In such situations, the request for ESD Grant funds should be specific to the phase, NOT the overall multi-phase project.
Assistance generally falls into three categories:

1. Strategic Community Development Investment:
Grant funds may be used to finance strategic community development investments within a downtown, mixed-use commercial district in order to attract new businesses and expand existing businesses, thereby fostering further investment. Strategic community development investments include but are not limited to capital expenditures for infrastructure including transportation, parking garages, water and sewer, communication, and energy generation and distribution. Applicants must demonstrate how the project will result in a benefit to the viability of the area it is located in and how it will lead to increased economic activity. Applicants that propose a project within a specific area where there are other proposed or ongoing projects may be looked upon favorably as a way to redevelop a specific area as opposed to standalone projects without other investments within an area.

Strategic community development investment projects that are able to provide job commitments will be viewed favorably. It is important, however, to note that (1) few strategic community development investment projects are anticipated to be able to provide job commitments and (2) if the employer will be an entity other than the Applicant, a third party guarantee of the Applicant's job commitment must be provided by the prospective employer and both the prospective employer and the third party guarantor must be found by ESD to be creditworthy.

Strategic community development investment projects may also include planning or feasibility studies relating to a specific capital project, site, or downtown, mixed-use district.

2. Business Investment:
Business investments are capital expenditures that facilitate an employer's ability to create new jobs in New York State or to retain jobs that are otherwise in jeopardy. Five-year job commitments will be required of all award recipients because it is by underwriting these job commitments that ESD is best able to forecast the economic benefits of providing assistance to any particular project. Applicants will therefore be required to commit to the number of jobs at risk (of relocation or loss) that will be retained by the proposed project, the number of new full-time jobs that will be created by the project, and the average salaries of each. Failure to achieve or maintain these employment commitments will subject a funding recipient to potential recapture of assistance.

3. Economic Growth Investment:
An Economic Growth Investment initiative fosters economic growth through cultural activity, higher education activity, agribusiness initiatives, other local or regional initiatives, planning or feasibility studies relating to a specific capital project or site, improvements to facilities in highly distressed areas, commercial revitalization activities in central business districts or commercial strips, or other types of projects that may not have direct job creation goals. Economic Growth Investment projects that are able to provide direct job commitments will be viewed favorably.

GRANT FUNDS MAY BE USED FOR:
- Acquisition of land, buildings, machinery and/or equipment;
- Demolition and environmental remediation;
- New construction, renovation or leasehold improvements;
- Acquisition of furniture and fixtures;
- Soft costs of up to twenty-five percent (25%) of total project costs; and
- Planning and feasibility studies related to a specific capital project or site.
INELEGIBLE ACTIVITIES:
The following types of expenses may be included in budgets but shall not be eligible for reimbursement by grant funds:
- Developer fees;
- Training;
- Residential development, although program funds may only be used for the commercial component of a mixed-use project; and
- Block grant projects or revolving loan funds

PRE-APPLICATION REQUIREMENTS:
Applicants must complete and submit a Consolidated Funding Application (CFA) for review by ESD and the Regional Council for the region in which the proposed project is located.

SUCCESSFUL APPLICANT REQUIREMENTS:
Because awards are offered as an incentive to undertake a project, the project should not begin, and expenses should not be incurred, before funding awards have been announced. Expenses incurred prior to submission of a CFA should not be included in CFA project budgets, nor should expenses that will be incurred after submission of a CFA but prior to an award announcement.

The amount of an award from ESD is based on a number of factors including, but not limited to, the project's alignment with the state and regional priorities, as well as the potential for direct and indirect job creation, direct and indirect fiscal benefit to the state and local governments, overall economic activity, community development and private investment. Generally, applicants should not apply for, nor will be considered for, more than twenty percent (20%) of the financing for any particular project based on the eligible total project cost. Typically, awards will be less than 20% of the eligible total project cost and the likelihood of winning an award improves as the percentage of ESD assistance is reduced and private investment or other sources are better leveraged. Exceptions to this limit may be made at the sole discretion of ESD in cases where it is found that a project or projects will have an unusual or extraordinary regional or statewide impact.

ESD requires that the Applicant contribute a minimum of ten percent (10%) of the total project cost in the form of equity contributed after the Applicant's acceptance of ESD's incentive proposal. Equity is defined as cash injected into the project by the Applicant or by investors and should be auditable through the Applicant's financial statements or accounts, if so requested by ESD. Equity cannot be borrowed money secured by the assets in the project or grants from a government source.

The Applicant must always disclose whether it is pursuing or intends to pursue multiple ESD funding sources, including grants, loans and tax incentives.

SELECTION CRITERIA:
In addition to the criteria noted below, ESD shall have the discretion to consider additional factors in determining the relative merits of projects.

Vision and Regional Economic Development Strategies:
- The overall economic impact that the project identified in the application will have on a region, including, but not limited to, the amount of capital investment and the level of increased economic activity from the proposed capital investment;
- The economic and community benefit to the viability of the area in which the project is located in;
- The number and impact of any direct or indirect jobs that will be created;
- The number of new jobs created and/or at-risk jobs that will be retained;
- The likelihood that the project identified in the application would be located outside of New York State or would not occur in New York State but for the availability of state or local incentives.
Public/Stakeholders:
• Whether the project has demonstrated support from local government and private sector leaders in the locality and the region where the project will be located;
• Whether the project will have a significant regional impact or is likely to increase the subject community’s economic and social viability and vitality; and
• The degree of economic distress in the area where the Applicant will locate the project identified in its application, including downtown revitalization and brownfield areas.

Implementation:
• The degree of project readiness and likelihood of completion, including, where applicable for real estate development projects, if there are identified tenants for a completed project; and
• The degree of the Applicant’s financial viability and strength of financials/operating history/ESD credit score.

Leveraged Resources:
• The amount of private financing leveraged; and
• The amount of public financing leveraged.

Performance Measures:
• The estimated return on investment that the project identified in the application will provide to New York State;
• For downtown areas, whether or not the project concerns the preservation of the architectural character of a building or neighborhood;
• Whether, where applicable, there are identified tenants for a completed project;
• The degree to which the project supports the principals of smart growth, energy-efficiency (including but not limited to, the reduction of greenhouse gas and emissions and the Leadership in Energy and Environmental Design [LEED] green building rating system for the project identified in its application), and sustainable development; and
• The degree to which the project identified in the application supports New York State minority- and women-owned business enterprises.

Significant Statewide Programs:
• Proposed projects that are part of the following initiatives will be looked upon favorably:

  Downtown Revitalization Initiative and Strategic Community Investment  
  Priority consideration will be given to proposals which demonstrate they will advance downtown revitalization and strategic place making through transformative housing, economic development, transportation and community projects that will attract and retain residents, visitors and businesses - creating dynamic neighborhoods where tomorrow's workforce will want to live, work, and raise a family. Projects should reflect the general principles of smart growth and sustainable development.

  Improving Access to Child Care  
  Despite record investments in child care, many New Yorkers still struggle to access high quality child care. This issue forces families to choose between quality child care and employment or places families in the position to use unlicensed child care providers. Applicants should provide any information about their project that works to improve access to child care.

  Environmental Justice  
  Environmental justice means the fair treatment and meaningful involvement of all people regardless of race, color, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. As we transition to a greener
economy, it is imperative that no subset of the population be marginalized or left behind. Applicants should provide any information about how their project actively works to address these issues.

AWARD CRITERIA DETAILS:

- Notice of a funding award will be given in the form of an incentive proposal outlining the terms of the proposed assistance. The award is subject to approval of the ESD Directors and compliance with applicable laws and regulations. Project funding may only be used for expenses incurred after the date that notice of the funding award is given. Applicants are strongly encouraged to review and countersign ESD’s Incentive Proposal prior to starting the project.

- All Applicants will be requested to certify and agree that any decrease in the scope of work described in the Applicant’s final CFA submission including, but not limited to, total project costs, jobs retained, and jobs created, may result in ESD’s reduction of the award, in ESD’s sole discretion, in an amount proportionate to any such decrease.

- All Applicants will be requested to certify and agree that any expansion of the scope of work described in the Applicant’s final CFA submission including, but not limited to, total project costs, jobs retained, and jobs created, will not result in the increase of the award by ESD.

- Please note that awarded grants are transferable at the sole discretion of ESD.

- The essential terms for the disbursement of assistance are included in the incentive proposal. Although funding is offered prior to project commencement as an inducement to undertake the project, funds are disbursed in arrears, as reimbursement for eligible project expenditures.

- Grant funds will be subject to pro rata recapture if property is sold within 5 years of disbursement of funds.

- It is expected the project will proceed in the time frame set forth by the Applicant. If the implementation of a project fails to proceed as planned and is delayed for a significant period of time and there is, in the exclusive judgment of ESD, doubt as to its viability, ESD reserves the right to cancel its funding commitment to such project. If awarded, the Incentive Proposal expires two years from the date of acceptance by the Recipient. ESD reserves the right to require Recipient to provide any additional information and/or documentation ESD deems necessary and terminate the project at any point if the applicant fails to provide such documentation in a timely manner.

- For Business Investment projects, partial funding is disbursed upon project completion and interim job creation/retention milestones, with additional funding disbursed upon achievement of job creation/retention milestones. For Infrastructure Investment and Economic Growth Investment projects, funding typically is disbursed upon project completion.

- Projects generally are presented to the ESD Directors once all project expenditures have been undertaken and disbursement of ESD assistance is sought.

- All required public approvals must be in place prior to the start of construction and approval by the ESD Directors, including State Environmental Quality Review (SEQR) and consultation with the State Historic Preservation Office, if applicable. Physical work on an ESD-funded project
may not be started prior to the completion of any necessary environmental, historic and/or smart
growth review.

• For projects with job creation and/or retention, job numbers will be verified using the employer's
NY-45 and NY-45 ATT and/or payroll reports prior to disbursement of funds and annually
thereafter through the required reporting period.

• In accordance with the requirements of Article 15-A of the New York State Executive Law:
Participation By Minority Group Members and Women With Respect To State Contracts,
projects awarded funding shall be reviewed by ESD's Office of Contractor and Supplier
Diversity, which will set business and participation goals for minorities and women. Such goals
shall typically be included in the incentive proposal.

Please note that ESD's agency-wide MWBE utilization goal is 30%. Each project will be
assigned an individual contract-specific goal, which may be higher or lower than 30%. Should
an Applicant receive a funding award, the Applicant shall be required to use good faith efforts to
achieve the prescribed MWBE goals assigned to this project. Applicants must maintain such
records and take such actions necessary to demonstrate such compliance. Failure to achieve
the specified MWBE goal may result in award reduction.

• In 2014, the Service-Disabled Veteran-Owned Business Act was signed into law. The new law
created the Division of Service-Disabled Veterans' Business Development in Office of General
Services to certify, promote and encourage economic development among disabled veterans
throughout the state. Project Applicants are urged to work with Service-Disabled Veteran-
Owned Businesses (SDVOBs) and a directory can be found at https://ogs.ny.gov/Veterans.

• In accordance with State law, after approval by the ESD Directors, a public hearing will be
required if the project involves the acquisition, construction, reconstruction, rehabilitation,
alteration or improvement of any property. ESD will schedule a public hearing in accordance
with the New York State Urban Development Corporation Act ("UDC Act") and will take such
further action as may be required by the UDC Act and other applicable law and regulations. The
ESD Directors must reconsider the matter if any negative testimony is received at the public
hearing. (Generally, this hearing occurs the month after the Directors' initial approval). Approval
by the Public Authorities Control Board ("PACB"), New York State Comptroller ("OSC") and the
New York State Attorney General ("AG") may then be required. Following approval by the ESD
Directors and PACB, OSC and AG approval, if required, the documents for processing the grant
award will be prepared by ESD. Notwithstanding the process outlined above, no project shall be
funded if sufficient resources are not received by ESD for such project.

• A $250 Application Fee, payable when funding is documented in an Incentive Proposal, and a
one percent (1%) non-reimbursable commitment fee based on the grant amount awarded will be
assessed to all awardees. The commitment fee will be due when the Applicant executes
documents required for processing the award, after approval by the ESD Directors. The
Applicant will be obligated to pay for out-of-pocket expenses incurred by ESD in connection with
the project, including, but not limited to, expenses related to attorney fees, appraisals, surveys,
title insurance, credit searches, filing fees, public hearing expenses and other requirements
deemed appropriate by ESD.

• Grant funds originally awarded to projects that have since been cancelled or terminated will be
repurposed for economic development projects by ESD at its discretion in consultation with the
REDCs.
• Projects having a hotel as a principal function will be required to demonstrate compliance with Section 2879-b of Public Authorities Law regarding labor peace if funding is awarded. Public Authorities Law Section 2879-b prohibits public authorities from providing financing for any project that includes, as one of the principal functions, a hotel with more than fifteen employees unless a labor peace agreement ("LPA") is entered into with a labor organization representing hotel or convention center employees in the State, for a period of at least five years. An LPA is an agreement between the project developer (or its contractors) and a labor organization prohibiting the labor organization and its members from engaging in labor activities that disrupt the hotel's operations.

ADDITIONAL RESOURCES:
For more information, eligible Applicants should contact the local Empire State Development Regional Office. A complete list of the ESD Regional Offices can be found at http://esd.ny.gov/RegionalOverviews.html
20
July 3, 2019

Honorable Andrew Joyce, Chairman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Dear Chairman Joyce:

Enclosed is a Request for Legislative Action (RLA) for the August meeting of the Legislature. Albany County Department of Health has been notified of additional funding to expand our current Community Health Worker Program. Our current Maternal and Infant Community Health Collaborative, works to improve maternal and infant health outcomes for Medicaid-eligible, low-income women, and their families, and reduce disparities in those outcomes. The project expands CHW activities to address key barriers that impact maternal health outcomes, including providing childbirth education and support, promoting collaborative childcare and social support networks, assisting with the development of a birth plan and supporting increased health literacy. The grant period will be from 8/1/2019 – 9/30/2020. ACDOH is requesting permission to contract with NYS and to amend the 2019 Health Dept budget to include two new personnel positions which is a requirement of the grant. The remaining grant funds will be placed in the 2020 Health Dept Budget.

Please don’t hesitate to contact me if you have any questions or need additional information.

Sincerely,

[Signature]

Elizabeth F. Whalen, MD, MPH
Commissioner of Health

cc: Hon. Dennis Feeney, Majority Leader
    Hon. Frank A. Maierello, Minority Leader
    Kevin Cannizzaro, Majority Counsel
    Minority Counsel

Enclosures
REQUEST FOR LEGISLATIVE ACTION

Description (e.g., Contract Authorization for Information Services):
Request to contract with NYS Dept of Health and amend the 2019 Health Dept Budget

Date: 07/02/2019
Submitted By: Dr. Elizabeth Whalen
Department: Health
Title: Commissioner of Health
Phone: 518-447-4584

Purpose of Request:

☐ Adopting of Local Law
☐ Amendment of Prior Legislation
☐ Approval/Adoption of Plan/Procedure
☐ Bond Approval
☐ Budget Amendment
☐ Contract Authorization
☐ Countywide Services
☐ Environmental Impact/SEQR
☐ Home Rule Request
☐ Property Conveyance
☐ Other: (state if not listed) Click or tap here to enter text.

CONCERNING BUDGET AMENDMENTS

Increase/decrease category (choose all that apply):
☐ Contractual
☐ Equipment
☐ Fringe
☐ Personnel
☐ Personnel Non-Individual
☐ Revenue
CONCERNING CONTRACT AUTHORIZATIONS

Type of Contract:
☐ Change Order/Contract Amendment
☐ Purchase (Equipment/Supplies)
☐ Lease (Equipment/Supplies)
☐ Requirements
☐ Professional Services
☐ Education/Training
☒ Grant

New
Submission Date Deadline: Click or tap to enter a date.
☐ Settlement of a Claim
☐ Release of Liability
☐ Other: (state if not listed) Click or tap here to enter text.

Contract Terms/Conditions:

Party (Name/address):
NYS Dept of Health
Riverview Center
150 Broadway Center, Suite 560
Menands, NY 12204

Additional Parties (Names/addresses):
Click or tap here to enter text.

Amount/Raise Schedule/Fee: 134,993

Scope of Services:
Albany County Department of Health, utilizing Community Health Workers funded through this initiative will work with community partners to assist women in accessing insurance, health care and other services to improve their health prior to pregnancy, during pregnancy and between pregnancies.

Bond Res. No.: Click or tap here to enter text.
Date of Adoption: Click or tap here to enter text.

CONCERNING ALL REQUESTS

Mandated Program/Service: Yes ☐ No ☒
If Mandated Cite Authority: Click or tap here to enter text.
Is there a Fiscal Impact: Yes ☑ No ☐
Anticipated in Current Budget: Yes ☐ No ☑

County Budget Accounts:
Revenue Account and Line: A4010.0.3402
Revenue Amount: $48,881
Appropriation Account and Line: Various lines
Appropriation Amount: $48,881

Source of Funding - (Percentages)
Federal: Click or tap here to enter text.
State: 100%
County: Click or tap here to enter text.
Local: Click or tap here to enter text.

Term
Term: (Start and end date) 8/1/2019 - 9/30/2020
Length of Contract: 14 months

Impact on Pending Litigation
Yes ☐ No ☑
If yes, explain: Click or tap here to enter text.

Previous requests for Identical or Similar Action:
Resolution/Law Number: 257/2018
Date of Adoption: June 11, 2018

Justification: (state briefly why legislative action is requested)
Albany County Department of Health has been notified of additional funding to expand our current Community Health Worker Program. Our current Maternal and Infant Community Health Collaborative, works to improve maternal and infant health outcomes for Medicaid-eligible, low-income women, and their families, and reduce disparities in those outcomes. The project expands CHW activities to address key barriers that impact maternal health outcomes, including providing childbirth education and support, promoting collaborative childcare and social support networks, assisting with the development of a birth plan and supporting increased health literacy. The grant period will be from 8/1/2019 - 9/30/2020. ACDOH is requesting permission to contract with NYS and to amend the 2019 Health Dept budget to include two new personnel positions which is a requirement of the grant. The remaining grant funds will be placed in the 2020 Health Dept Budget.
April 25, 2019

Dr. Elizabeth Whalen
Commissioner of Health
Albany County Health Department
112 State ST RM 1030
Albany, NY 12207

Re: Maternal and Infant Community Health Collaboratives – Community Health Worker Expansion Project 8/1/19 – 9/30/20

Dear Dr. Whalen:

As a Maternal and Infant Community Health Collaboratives (MICHC) program, your organization is being awarded a non-competitive grant for the fourteen-month contract period August 1, 2019 – September 30, 2020 for expansion of Community Health Worker (CHW) services to reduce maternal mortality and racial disparities in outcomes. Expanding access to CHW services was a top recommendation made by Governor Cuomo’s Taskforce on Maternal Mortality and Disparate Racial Outcomes (Taskforce) and was a common suggestion for addressing maternal mortality made by women participating in the Department of Health’s (DOH) Maternal Mortality Listening Sessions conducted in Summer 2018.

In April of 2018, Governor Cuomo announced a comprehensive initiative to target maternal mortality and reduce racial disparities in outcomes. The Governor’s initiative included the Taskforce to provide expert policy advice on improving maternal outcomes, addressing racial and economic disparities and reducing the frequency of maternal mortality and morbidity in New York State; and a series of community listening sessions led by Health Commissioner, Dr. Howard Zucker, in partnership with MICHC programs in high-risk areas across the state. In March 2019, the Taskforce released a report to Governor Cuomo with ten recommendations including expanding CHW services.

This grant expands CHW services in key communities across the state to provide needed outreach, information, assistance with access to services, advocacy, and enhanced social support; and expands CHW activities to address key barriers that impact maternal health outcomes identified by listening session participants including providing childbirth education and support, promoting collaborative childcare and social support networks, assisting with the development of a birth plan and supporting increased health literacy.

The DOH has initiated a non-competitive contract in the New York State Grants Gateway (GG) on your behalf. The award amount is $77,139 for the 8-month budget period 8/1/19 – 3/31/20, $57,854 for the 6-month budget period 4/1/20 – 9/30/20 for a total contract value of $134,993. The assigned contract # is C34810GG and must be referenced on all claims and correspondence with the State Agency. Final grant awards are subject to the availability of funds and approval by the Office of the State Comptroller (OSC).
The enclosed standardized Work Plan outlines the requirements of the MICHCH CHW Expansion project. Funding supports expansion of MICHCH CHW services to pregnant and postpartum women and families. It is expected that funding will support approximately 2.75 CHW FTEs, providing services to approximately 110 pregnant and postpartum women and families annually.

The required timeline for on-time execution of this contract is outlined below. An on-time contract allows the Grantee to receive a contract advance (if applicable) and prompt payment of claims. *Grantees have approximately 15 calendar days from the date of this letter to submit all required contract documents in the Grants Gateway (GG). If the expenditure budget, work plan, and all required supporting documents are not submitted in the scheduled number of calendar days, your organization may receive a “Suspension of Time Frames” notice. Failure to meet the time frames below may result in a late contract, and/or delayed payments to the Grantee.

Your contract is now available in the Grants Gateway. All users from your organization that have been assigned the roles of “Grantee” and “Grantee Contract Signatory” should find the contract in the “My Tasks” section of their home page. If the contract is not listed, the user should verify they are signed into the portal using the correct role.

<table>
<thead>
<tr>
<th>Required Process Step</th>
<th>Responsible Area</th>
<th>Time Frame</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contract Information Requested (PM) -</td>
<td>Grantee</td>
<td>April 25 - May 9, 2019</td>
</tr>
<tr>
<td>When this step is completed, the Grantee MUST change the status to Contract Information Submitted.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Manager Review - Grantee has completed requested budget and/or work plan edits and the contract is now back with the PM for their approval. During this time additional edits may be requested of the Grantee.</td>
<td>State Agency</td>
<td>May 9 - May 28, 2019</td>
</tr>
<tr>
<td>Contract Manager Review - PM has completed their review of the contract, approved all edits made by the Grantee, and advanced to the CM for final review of all information. During this time additional edits may be requested of the Grantee.</td>
<td>State Agency</td>
<td>May 28 - June 5, 2019</td>
</tr>
<tr>
<td>Grantee Contract Signature Required - contract is reviewed, and, if approved, it is signed and returned to the CM for electronic signature.</td>
<td>Grantee</td>
<td>June 5 - June 14, 2019</td>
</tr>
<tr>
<td>Contract Package Validation - Grantee has signed the contract and returned it to the CM. At this stage of the contract approval process a verification that all required supporting documents have been uploaded by the CM to the Contract Package Tool (CPT) occurs. Also, confirmation that all forms provided by the Grantee related to prequalification, insurance, charities registration, and vendor responsibility, are again</td>
<td>State Agency</td>
<td>June 14 - June 20, 2019</td>
</tr>
</tbody>
</table>
verified. The contract is then advanced to the Agency Head for electronic signature. *During this time additional follow up with the Grantee may be required.*

<table>
<thead>
<tr>
<th><strong>Agency Signature Required</strong> — contract is reviewed, and if approved it is signed and advanced to the AG for electronic signature.</th>
<th>State Agency</th>
<th>June 20 - June 25, 2019</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>AG Review and Approval</strong> — contract is reviewed, and if approved it is signed and advanced to the OSC for electronic signature.</td>
<td>AG</td>
<td>June 25 - July 12, 2019</td>
</tr>
<tr>
<td><strong>Offline OSC Review And/Or SFS Approval</strong> — contract is reviewed, and if approved is changed to a “Contract Executed” status.</td>
<td>OSC</td>
<td>July 12 - July 17, 2019</td>
</tr>
<tr>
<td><strong>Contract Executed</strong> — before the contract start date of August 1, 2019.</td>
<td>OSC</td>
<td>July 17 - August 1, 2019</td>
</tr>
</tbody>
</table>

All grantees are strongly encouraged to complete Grants Gateway training. Training opportunities are posted on [https://grantsmanagement.ny.gov/resources-grant-applicants](https://grantsmanagement.ny.gov/resources-grant-applicants).

We look forward to working with you on the successful implementation of the program. Your assigned Program Manager will be contacting you within the next few days to answer any questions that you may have regarding this communication. If you have any questions, or concerns before that time, please contact Cindi Dubner at Cindi.Dubner@health.ny.gov or 518-474-0535.

Sincerely,

Lauren J. Tobias, Director
Division of Family Health

Enclosures

cc: Cindi Dubner
    Diane Riley
    Lara Madison
SUMMARY

PROJECT NAME: Maternal and Infant Community Health Collaboratives -- Community Health Worker Expansion

CONTRACTOR SFS PAYEE NAME: ____________________________

CONTRACT PERIOD: From: August 1, 2019
To: September 30, 2020

Overview of the Project:

The goal of the project is to expand community health worker services in key communities across the state to reduce maternal mortality and racial disparities in outcomes.

The Maternal and Infant Community Health Collaboratives work to improve maternal and infant health outcomes for Medicaid-eligible, low-income women, and their families, and reduce disparities in those outcomes. MICHCs use community health workers (CHW) to assist women and their families to effectively access continuous and coordinated healthcare and other services, including home visiting. Under the supervision of a licensed professional, CHWs provide outreach, information, assistance with access to services, advocacy, and enhanced social support.

Funding for this project supports expansion of MICHC CHW services to pregnant and postpartum women as described in this workplan. The project expands CHW activities to address key barriers that impact maternal health outcomes, including providing childbirth education and support, promoting collaborative childcare and social support networks, assisting with the development of a birth plan and supporting increased health literacy.

Staff responsibilities and qualification requirements are provided in Attachment 1.
<table>
<thead>
<tr>
<th>Objective Name: Expand Community Health Worker (CHW) Services</th>
<th>Task Name: Hire qualified CHW staff</th>
<th>PM Name: Staff Hired</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Qualified CHW staff are available to assist high-need women and their families to effectively access continuous and coordinated healthcare and other services including home visiting.</td>
<td>1.1 Hire CHWs and CHW Coordinators (CHW Supervisor) in compliance with the MICHC program CHW standards (Attachment 1).</td>
<td>1.1.1 Number of CHWs and CHW Coordinators supported by funding for this project.</td>
</tr>
<tr>
<td>Objective Name: Connect high-need women to healthcare.</td>
<td>Task Name: Outreach and engagement</td>
<td>PM Name: Enrollment in health insurance and healthcare.</td>
</tr>
<tr>
<td>2. High-need pregnant and postpartum women and infants are enrolled in health insurance, and engaged in health care and other supportive services, appropriate to their needs.</td>
<td>2.1. Outreach to identify pregnant women early in pregnancy and assist them with enrollment in Medicaid/health insurance, and enrollment in comprehensive prenatal care</td>
<td>2.1.1 Number of women enrolled in Medicaid/health insurance.</td>
</tr>
<tr>
<td></td>
<td>Task Name: Prenatal appointments</td>
<td>PM Name: Prenatal Care Enrollment</td>
</tr>
<tr>
<td></td>
<td>Task Name: Postpartum appointments</td>
<td>PM Name: Postpartum Care Appointment</td>
</tr>
<tr>
<td>Objective Name: Health and psychosocial needs are identified and addressed</td>
<td>Task Name: Identify risks</td>
<td>PM Name: Risks identified</td>
</tr>
<tr>
<td>The medical, behavioral, and psychosocial risk factors of high-need pregnant and postpartum women and infants are identified and addressed</td>
<td>3.1: Provide home visiting services, case management, advocacy, and support through short and long-term encounters.</td>
<td>3.1.1 Number of long-term clients and number of short-term clients.</td>
</tr>
<tr>
<td>Task Name: Services and referrals</td>
<td>PM Name: Services and referrals provided</td>
<td></td>
</tr>
<tr>
<td>through timely, and coordinated, counseling; management; referral and follow-up; and home visits</td>
<td></td>
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</tr>
<tr>
<td>3. 2. Conduct basic health assessments, assist families to identify needs, provide basic health information, assist with obtaining essential support services and make appropriate referrals responsive to needs.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.2.1 Number of basic health assessments conducted, type of information provided and number and types of referrals made.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Task Name: Follow-Up</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PM Name: Follow-up provided</td>
<td></td>
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</tr>
<tr>
<td>3.3 Follow-up to determine if services are received including providing advocacy, and assistance with health literacy.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.3.1 Number of referrals completed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Objective Name: Supports are available for women and infants to achieve healthy behaviors</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Task Name: Healthy Behaviors</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PM Name: Breastfeeding Initiation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. There are supports and opportunities in place that help high-need pregnant and postpartum women and infants to be engaged in, and maintain healthy behaviors, and reduce or eliminate risky behaviors.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.1 Assist families with health behavior change</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.1.1 Number of women that initiate breastfeeding.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Task Name: Community Education and Support</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PM Name: Education and Support provided</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.2 Provide and promote community and social support for example, childbirth education, parenting classes, and social support networks.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.2.1 Number of group sessions provided and number of participants.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Task Name: Birth Plans</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PM Name: Birth Plans developed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.3. Assist women with the development of a birth plan.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.3.1 Number of birth plans developed.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Task Name: Collaboration</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PM Name: Collaborations achieved</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.4 Collaborate with other community programs to achieve shared goals including coordination of outreach, screening and referral, and service delivery.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.4.1 Number of community partners engaged in coordinated outreach and referrals.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Objective Name: Staff training</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Task Name: Skill building training</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PM Name: Skill building training provided</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. CHWs and CHW Coordinators receive appropriate training.</td>
<td></td>
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</tr>
<tr>
<td>5.1 CHWs receive training on appropriate skill-building topics including home visiting, outreach, communication skills, cultural competency, and using a positive, strengths-based approach.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.1.1 Type of skills-based training provided and number of CHWs trained.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Task Name: Knowledge building training</td>
<td></td>
<td></td>
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<tr>
<td>PM Name: Knowledge building training provided</td>
<td></td>
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</tr>
<tr>
<td>Task Name: Supervisory training</td>
<td>PM Name: CHW Coordinator(s) trained</td>
<td></td>
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<tr>
<td>---------------------------------</td>
<td>----------------------------------</td>
<td></td>
</tr>
<tr>
<td>5.3 The CHW Coordinator participates in NYSDOH on-line Supervisory trainings.</td>
<td>5.3.1 Number and type of trainings completed</td>
<td></td>
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</table>
## APPROPRIATIONS

<table>
<thead>
<tr>
<th>ACCOUNT NO.</th>
<th>RESOLUTION DESCRIPTION</th>
<th>INCREASE</th>
<th>DECREASE</th>
<th>UNIT COST</th>
<th>DEPARTMENT NAME</th>
</tr>
</thead>
<tbody>
<tr>
<td>A 4010 1</td>
<td>Public Health Aide II</td>
<td>$11,442.00</td>
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<tr>
<td>A 4010 1</td>
<td>Public Health Aide II</td>
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<td>A 4010 8</td>
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<td>A 4010 8</td>
<td>Social Security</td>
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<td>A 4010 8</td>
<td>Hospital and Medical Insurance</td>
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**TOTAL APPROPRIATIONS**  
$48,881.00  

## REVENUES

<table>
<thead>
<tr>
<th>ACCOUNT NO.</th>
<th>RESOLUTION DESCRIPTION</th>
<th>DECREASE</th>
<th>INCREASE</th>
<th>UNIT COST</th>
<th>DEPARTMENT NAME</th>
</tr>
</thead>
<tbody>
<tr>
<td>A 4010 0</td>
<td>NYS CHW Expansion Project</td>
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<td>$48,881.00</td>
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**TOTAL ESTIMATED REVENUES**  
$48,881.00  

**GRAND TOTALS**  
$48,881.00  $48,881.00