

AUDIT AND CONTROL - 1315

MISSION STATEMENT

The Albany County Department of Audit and Control (Audit and Control) strives to promote fiscal accountability in Albany County Government. Audit and Control is led by an independently-elected Comptroller who serves as the Chief Fiscal Officer of Albany County.

WHO WE SERVE

The Comptroller is “the people’s watchdog”. The Department of Audit and Control strives to provide fiscal transparency to the taxpayers of Albany County.

ABOUT OUR DEPARTMENT

It is the ultimate responsibility of the Comptroller to provide guidance and oversight relating to all Albany County fiscal affairs. To achieve these objectives, Audit and Control:

- Audits and processes all payments made by the County of Albany
- Conducts audits of departments and programs, inclusive of biannual occupancy tax audits of all hotels and motels registered within Albany County
- Administers all aspects of debt issuance on behalf of the County
- Responsible for the oversight of the County’s annual independent audit
- Engages in other distinct projects that benefit Albany County taxpayers

2016 ACCOMPLISHMENTS AND CHALLENGES

Building on the success of our Countywide Government Efficiency Plan last year that distributed approximately \$2.5 million in tax relief to real property taxpayers in the county, our office has continued to meet with the project advisory board to complete phase II of the project. This phase will ultimately help local municipalities within Albany County to better share services and employees.

In 2015, Audit and Control audited over 53,000 claims and continued its hotel and motel audit program to ensure that hotels and motels within Albany County are complying with the occupancy tax. Maintaining the integrity of occupancy tax collections is vital as the proceeds of this tax are estimated to total \$8.6 million for this year, up from \$7.6 million in 2015.

2017 GOALS AND PERFORMANCE TARGETS

As always, Audit and Control appreciates the support it has received from the County Legislature for projects throughout the year. We hope to continue working to improve shared services throughout the county and continuing our efforts to provide transparency to Albany County taxpayers.

SUMMARY OF BUDGET CHANGES

The 2017 Executive budget for Department of Audit and Control does not include any substantive programmatic changes.

A1315 Comptroller		2016	2017	2015	2016	2017	2017	2017	
		Count	Count	Expended	Adjusted	Requested	Proposed	Adopted	
Personnel Services Individual									
A1315	10110 001 190001	Comptroller	1	1	\$111,785	\$115,139	\$118,593	\$118,593	-
A1315	11135 001 190054	Director of Municipal Affairs	1	1	\$75,375	\$76,883	\$76,883	\$78,421	-
A1315	11145 001 190002	Exec. Deputy Comptroller	1	1	\$92,579	\$94,431	\$94,431	\$96,320	-
A1315	11145 002 190003	Exec. Deputy Comptroller	1	1	\$92,579	\$94,431	\$94,431	\$96,320	-
A1315	11381 001 190005	Assoc. Operations Supervisor	1	1	\$92,579	\$94,431	\$94,431	\$96,320	-
A1315	12216 001 190055	Project Manager	0	1	\$0	\$0	\$75,000	\$75,000	-
A1315	12513 001 190028	Accountant II PT	1	1	\$62,096	\$55,421	\$55,421	\$56,530	-
A1315	12521 001 190006	Auditor	1	1	\$40,000	\$40,800	\$40,800	\$41,616	-
A1315	12521 003 190008	Auditor	1	1	\$46,286	\$59,535	\$59,535	\$60,726	-
A1315	12523 001 190043	Auditor PT	1	1	\$0	\$21,295	\$21,295	\$21,721	-
A1315	12551 001 190010	Policy Analyst	1	1	\$37,785	\$47,532	\$47,532	\$48,483	-
A1315	12557 001 190047	Confidential Assist.Compr.Leg	1	1	\$40,326	\$52,573	\$52,573	\$53,625	-
A1315	15502 001 190030	Administrative Aide PT	1	1	\$9,227	\$32,474	\$30,600	\$30,600	-
A1315	15502 002 190031	Administrative Aide PT	1	1	\$24,857	\$30,126	\$32,474	\$33,124	-
A1315	15505 001 190051	Administrative Assistant PT	1	1	\$24,618	\$26,564	\$26,564	\$27,095	-
A1315	15511 001 190041	Technical Writer	1	1	\$40,326	\$53,818	\$53,818	\$54,895	-
A1315	16103 001 190018	Account Clerk I P.T.	1	1	\$33,699	\$34,640	\$34,640	\$35,333	-
A1315	16103 002 190025	Account Clerk I P.T.	1	1	\$25,016	\$26,544	\$26,544	\$27,075	-
A1315	16103 003 190026	Account Clerk I P.T.	1	1	\$25,157	\$25,244	\$25,244	\$25,749	-
A1315	16104 001 190050	Account Clerk II	1	1	\$48,494	\$49,465	\$49,465	\$50,455	-
A1315	16106 001 190020	Account Clerk III	1	1	\$52,761	\$53,817	\$62,817	\$62,817	-
A1315	16206 001 190021	Clerk I	1	1	\$16,777	\$18,539	\$18,539	\$18,910	-
A1315	16401 001 190022	Confidential Secretary	1	1	\$61,021	\$62,242	\$62,242	\$63,487	-
<i>Personnel Services Individual Subtotal</i>			22	23	\$1,053,344	\$1,165,944	\$1,253,872	\$1,273,215	\$0

Personnel

Personnel Non-Individual

A 1315	19950	Longevity Raise			\$11,950	\$12,650	\$14,400	\$14,400	\$0
A 1315	19951	Health Insurance Buyout			\$6,500	\$6,000	\$6,000	\$6,000	\$0
A 1315	19970	Temporary Help			\$7,040	\$10,000	\$10,000	\$10,000	\$0
Subtotal for: Personnel Non-Individual					\$25,490	\$28,650	\$30,400	\$30,400	\$0

Equipment

A 1315	22001	Office Equipment			\$0	\$16,240	\$0	\$0	\$0
A 1315	22050	Computer Equipment			\$0	\$8,309	\$0	\$0	\$0
Subtotal for: Equipment					\$0	\$24,549	\$0	\$0	\$0

A1315 Comptroller			2016	2017	2015	2016	2017	2017	2017
			Count	Count	Expended	Adjusted	Requested	Proposed	Adopted
Contractual Expenses									
A 1315 44020	Office Supplies				\$1,879	\$2,900	\$2,900	\$2,900	\$0
A 1315 44035	Postage				\$419	\$400	\$400	\$400	\$0
A 1315 44036	Telephone				\$1,357	\$1,047	\$1,047	\$1,047	\$0
A 1315 44037	Insurance				\$4,514	\$4,740	\$4,335	\$4,335	\$0
A 1315 44038	Travel-Mileage, Freight				\$388	\$550	\$550	\$550	\$0
A 1315 44039	Conference/Training/Tuition				\$0	\$4,000	\$4,000	\$4,000	\$0
A 1315 44040	Books/Transcripts/Subscripts				\$205	\$150	\$150	\$150	\$0
A 1315 44042	Printing And Advertising				\$154	\$300	\$300	\$300	\$0
A 1315 44044	Auditing Fees				\$72,036	\$70,000	\$70,000	\$70,000	\$0
A 1315 44046	Fees For Services				\$192,754	\$199,769	\$58,500	\$58,500	\$0
A 1315 44051	GASB Implementation Services				\$1,500	\$1,500	\$6,500	\$6,500	\$0
A 1315 44070	Equipment Repair And Rental				\$1,495	\$3,199	\$3,000	\$3,000	\$0
A 1315 44902	Risk Retention Fund Charges				\$73,568	\$73,568	\$73,568	\$73,568	\$0
A 1315 44903	DGS Shared Services Charges				\$76,258	\$75,467	\$77,704	\$77,704	\$0
Subtotal for: Contractual Expenses					\$426,526	\$437,589	\$302,954	\$302,954	\$0
Fringe Benefits									
A 1315 89010	State Retirement				\$159,430	\$233,209	\$184,531	\$184,531	\$0
A 1315 89030	Social Security				\$81,668	\$91,387	\$93,885	\$97,363	\$0
A 1315 89060	Hospital And Medical Insurance				\$240,494	\$199,602	\$214,664	\$214,664	\$0
Subtotal for: Fringe Benefits					\$481,592	\$524,198	\$493,080	\$496,558	\$0
Total Appropriations					\$1,986,952	\$2,180,931	\$2,080,306	\$2,103,127	\$0
Revenue									
A1315	01232	Comptroller Fees			(\$9,418)	(\$15,000)	(\$15,000)	(\$15,000)	\$0
A1315	02701	Refund Prior Year Expenses			(\$2,923,759)	(\$400,000)	(\$400,000)	(\$400,000)	\$0
Total Revenue					(\$2,933,177)	(\$415,000)	(\$415,000)	(\$415,000)	\$0
County Share					(\$946,225)	\$1,765,931	\$1,665,306	\$1,688,127	\$0